

# Computational Methods in NeuroImage Analysis

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September 3, 2010

# Instructor

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Office Hour: Friday 12:00-1:00pm.

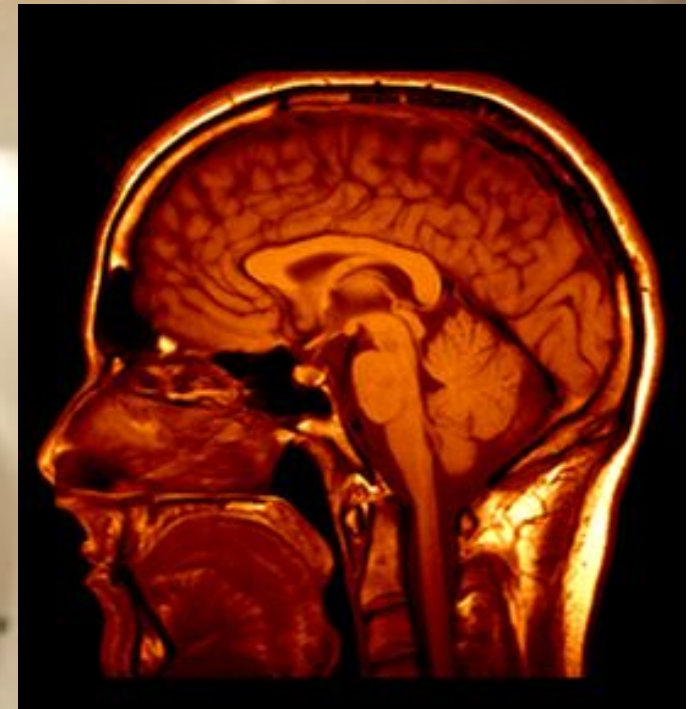
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<http://brainimaging.waisman.wisc.edu>

*Your instructor is from*



Waisman Laboratory for Brain Imaging and Behavior

3T MRI, PET, microPET, EEG, MEG, eye tracking, etc.  
everything under a single roof. Research only facility.

6 faculty + 10 PhD level scientists + 10 postdocs + 5  
administrative staff + 50 graduate students + plus many  
undergraduate students + bunch of rodents and monkeys

# Waisman laboratory for brain imaging

Active research areas: autism, depression, mood disorders, emotion related, meditation, DTI, MRI anatomical studies, developmental, animal studies



Dalai Lama & Richard J. Davidson

# Course Aims

- To present **computational** and **statistical** techniques used in the field of brain image analysis, with an emphasis on actual **computer implementation**.
- MATLAB is the language of instruction but students can use any computer language to do a course project.

# Target Audience

- This course is designed for researchers and students who wish to analyze and model brain images quantitatively beyond t-statistics and ANOVA.
- The course material is applicable to a wide variety of other medical and biological imaging problems.
- Course requirement: none.

# Course Evaluation

- Submission of research proposal & preliminary analysis before the course drop deadline. 10%
- Give 20-minute oral presentation at the end of the semester. 20%
- Final exam at the end of November. 30%
- Submission of the final research report of about 15-25 pages excluding figures, tables and references. It also should contain more than 20 related references. 40%

# Course Workload

- Approximately 10-20 hours/week depending on the qualification of students assuming you have 60-80 work hours/week.
- Read my lecture notes, textbook and about two assigned papers per week.



# Course Topics

- Numerical techniques for (ordinary and partial) differential equations, FEM
- Spectral methods (Fourier analysis, PCA, sparse-PCA, functional-PCA, marching pursuit)
- Optimization (least squares, multivariate general linear model (MGLM), L1-norm minimization, maximum likelihood)
- Discrimination and classification (linear, quadratic and logistic discrimination and SVM).
- Geometric and topological computation (curvatures, Euler characteristics, other topological invariants).
- Brain connectivity & network modeling

# Course website

[brainimaging.waisman.wisc.edu/~chung/neuro.processing/](http://brainimaging.waisman.wisc.edu/~chung/neuro.processing/)

Lecture notes will be uploaded 30mins before each lecture.  
Feel free to bring laptops for note taking and web surfing.

## Textbook

“Computational Neuroanatomy: The Methods” to be published in 2011. It can be downloaded from the webpage. It's huge at 60-100MB.

# Sample data & Codes

Look for directory `\data` and `\matlab` few hours before each lecture starts.

## Class discussion board

[groups.google.com/group/brainimage](https://groups.google.com/group/brainimage)

If you don't become a member, you won't receive any email from me.

# Tips for students

1. Your best friend

[www.google.com](http://www.google.com)

2. Your second best friend

[scholar.google.com](http://scholar.google.com)

# Occam's razor

- When given two equally valid explanations (model) for a phenomenon, one should embrace the less complicated formulation (model).
- All things being equal, the simplest solution tends to be the best one.
- If you want to try complicated modeling, do the simplest model first.

Do not try bang your head on the wall trying to do a complicated analysis when you can't even build a simpler model.



This bear knows what Occam's razor is.

# NOTES

1. **No plagiarism of any sort** will be allowed in the course.

2. Work alone for the project. But feel free to discuss all other matters with class mates and the instructor.

3. Office hour: talk to me after each class or send email to [mkchung@wisc.edu](mailto:mkchung@wisc.edu) to set up the appointment.

# **Lecture 1**

## **Overview of Computational Methods**

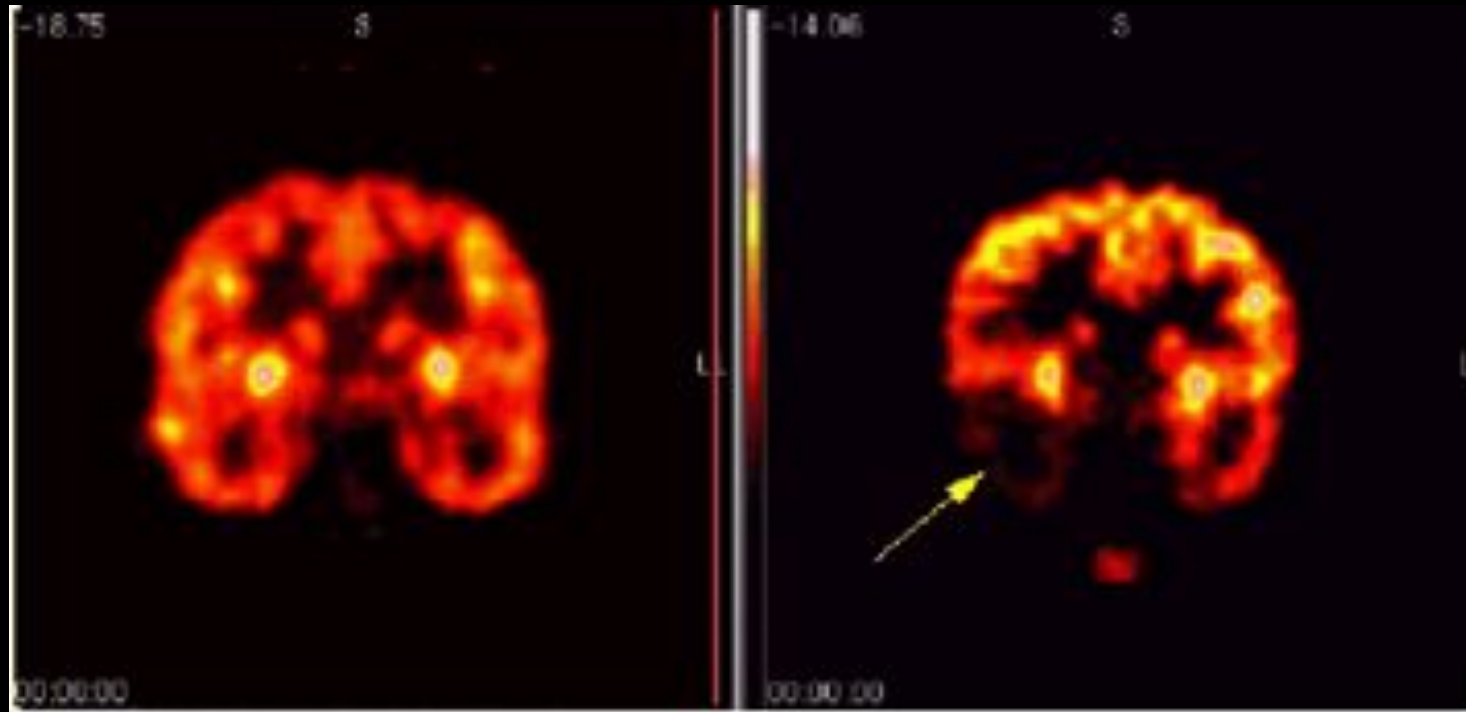
**September 3, 2010**



# DATA

- Brain images: various imaging modalities can be modeled and analyzed in a similar mathematical fashion.
- Examples of brain images:  
MRI, fMRI, PET, DTI

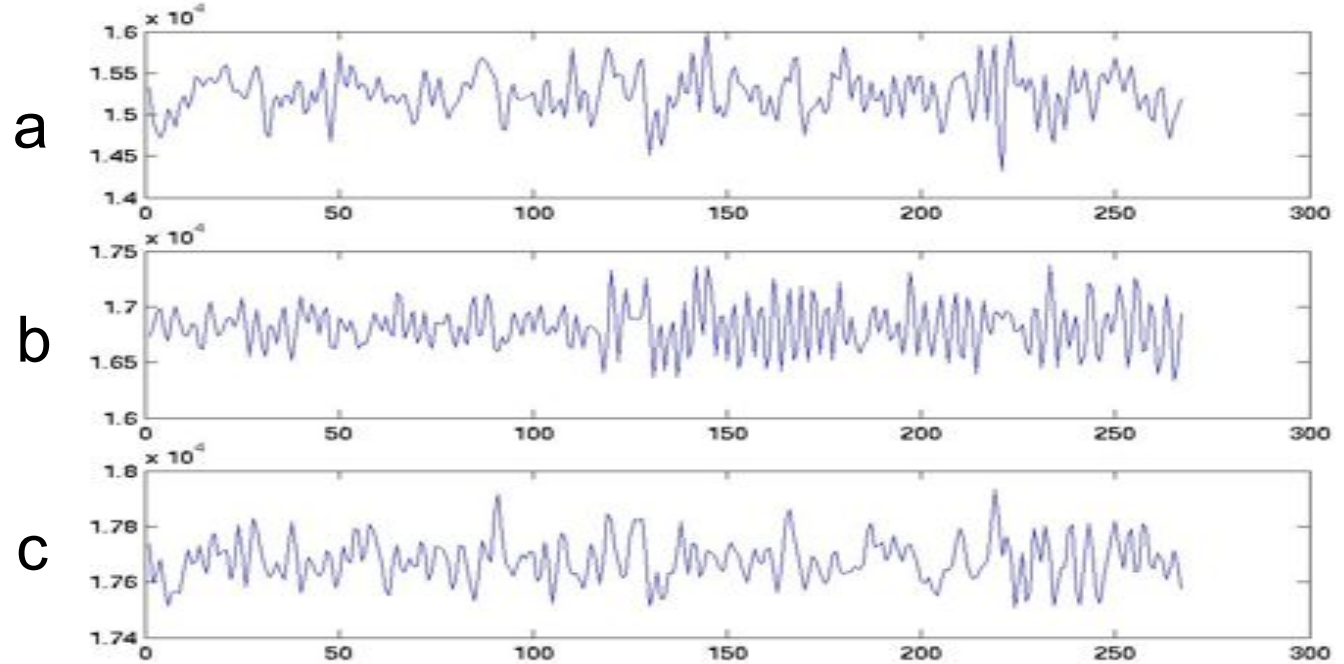
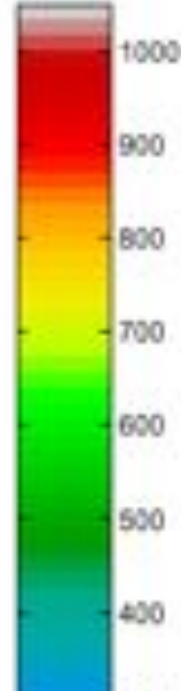
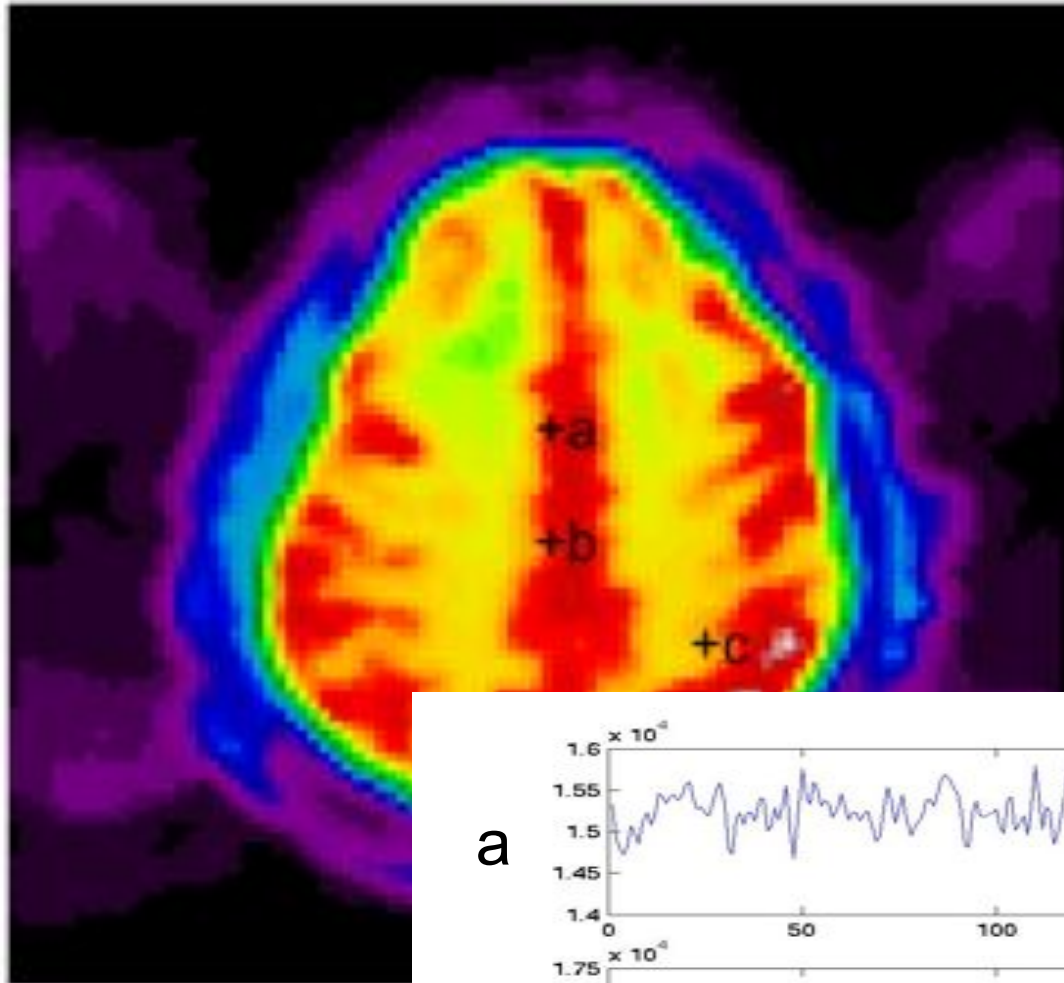
# Positron Emission Tomography ( PET)



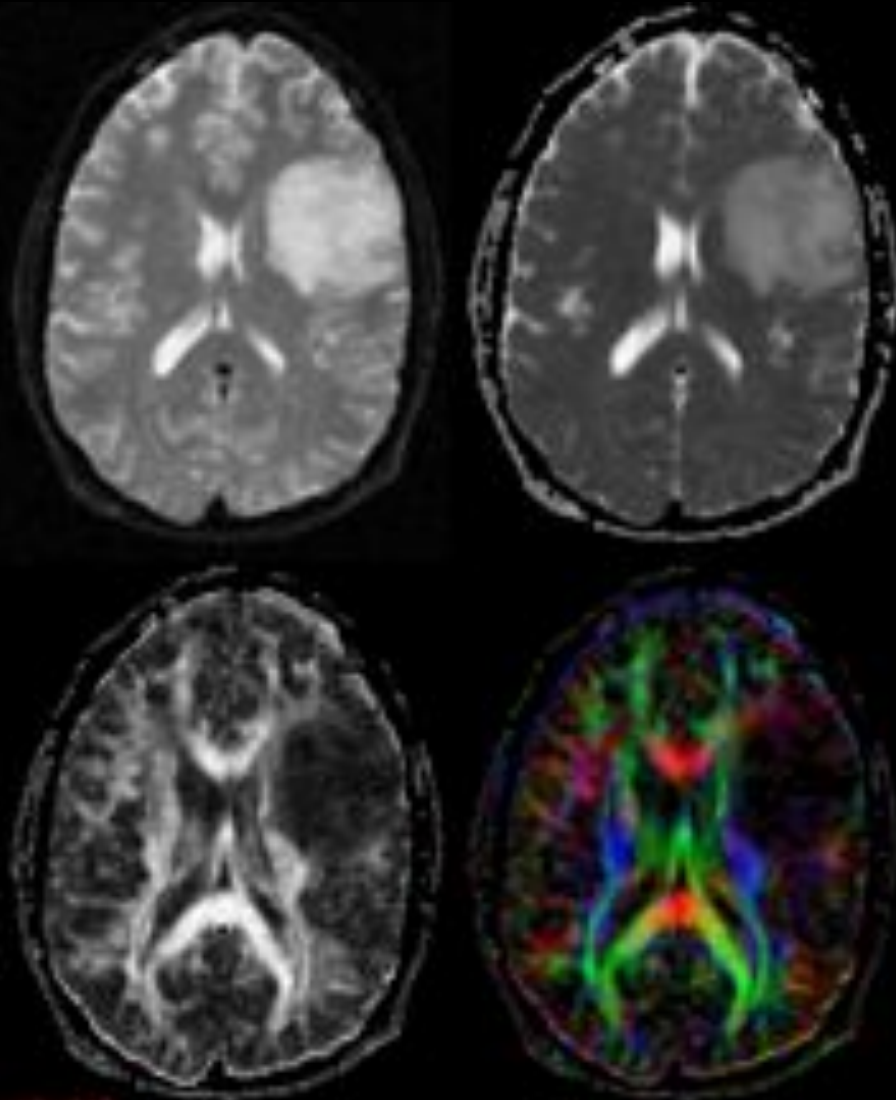
Normal Brain

Brain of 9 year old girl suffering from epilepsy.

# Functional MRI



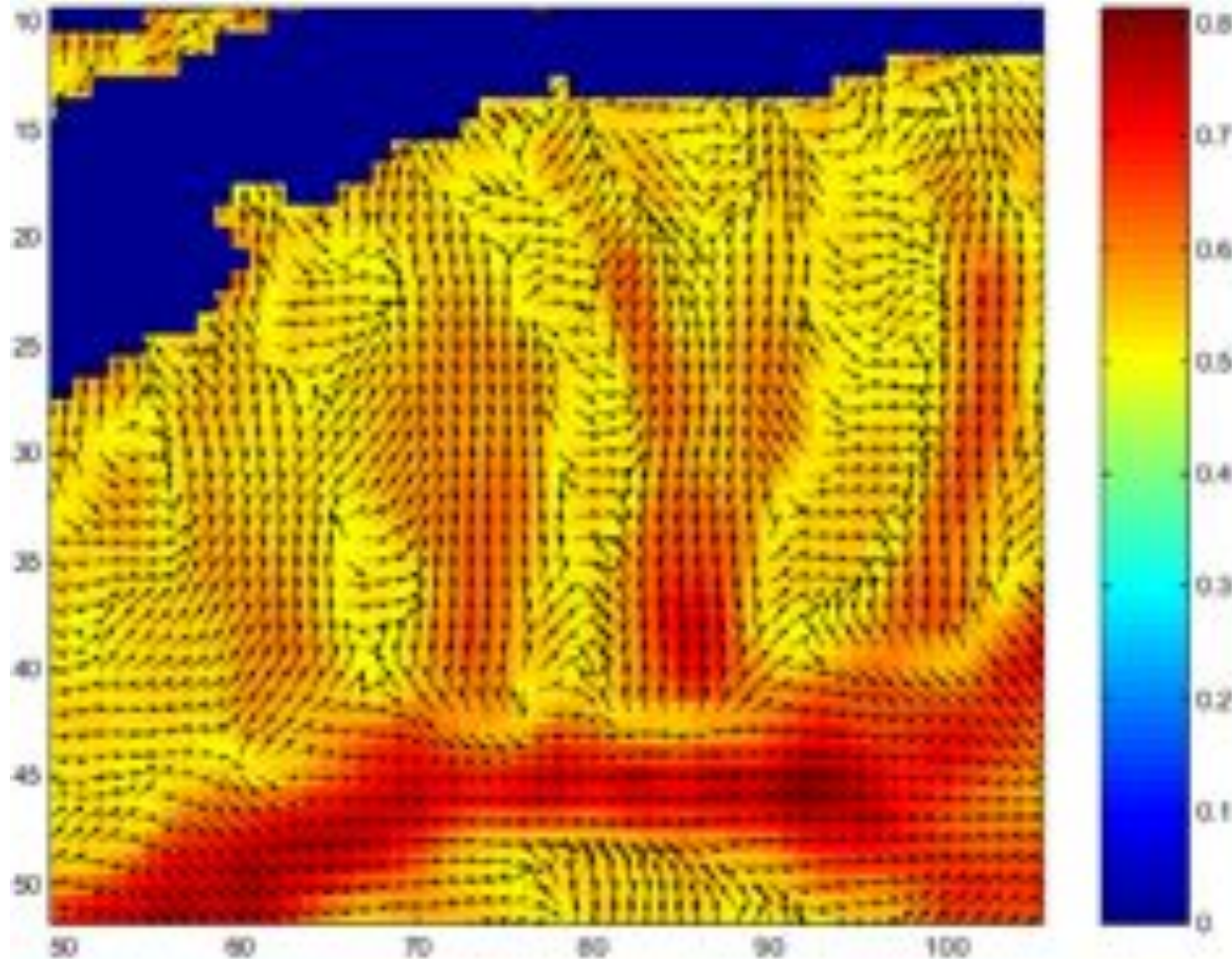
# Diffusion tensor imaging (DTI)



**Tensor data**  
= 3 by 3 matrix values  
at each voxel are diffusion  
coefficients.

Andrew L. Alexander  
University of Wisconsin-Madison

Principal eigenvectors of the diffusion coefficient matrix can be considered as the tangent vector of the stream lines that represents white fiber.

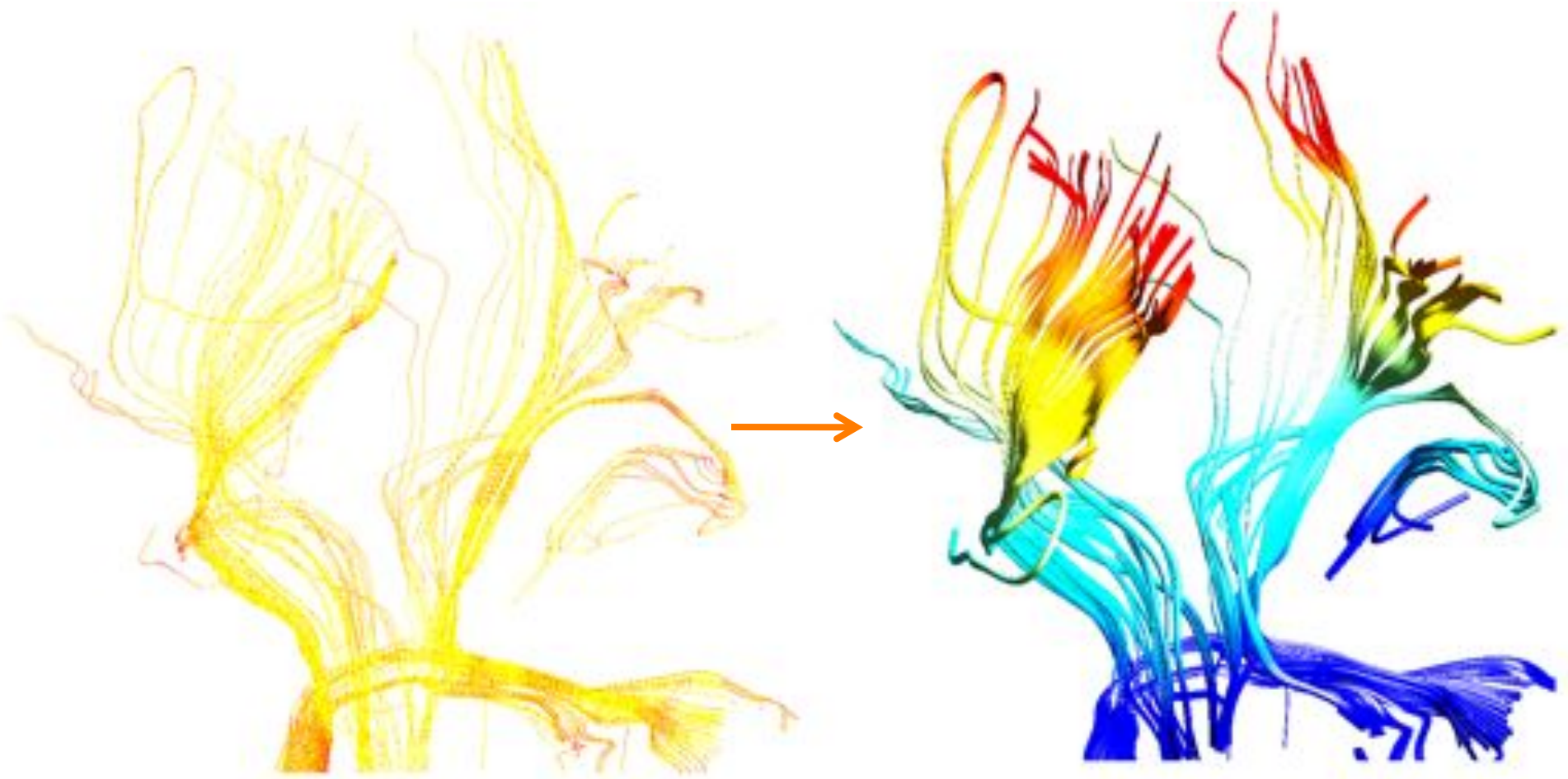


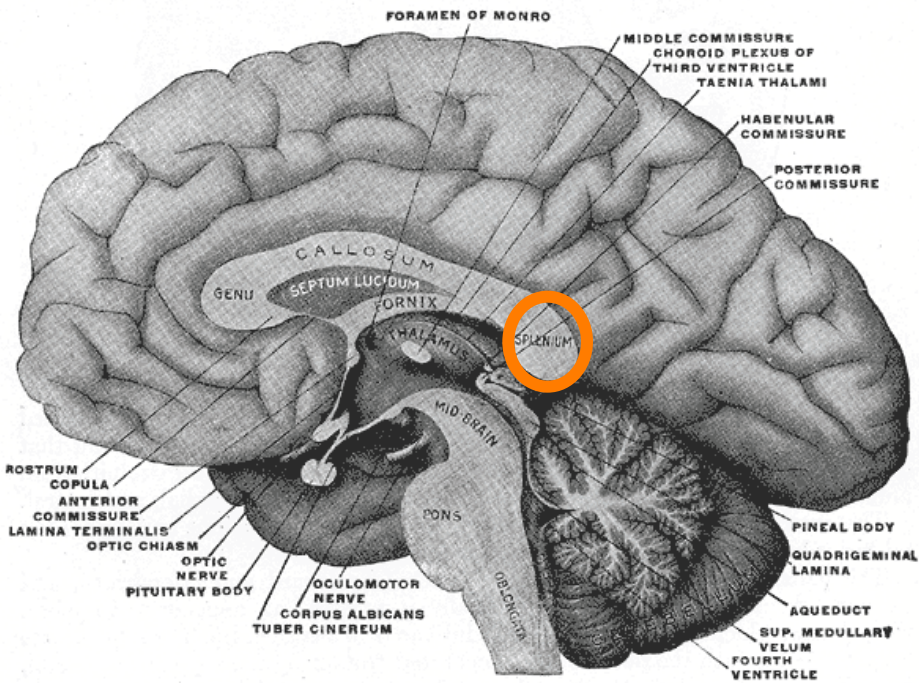
Intensity = eigenvalues



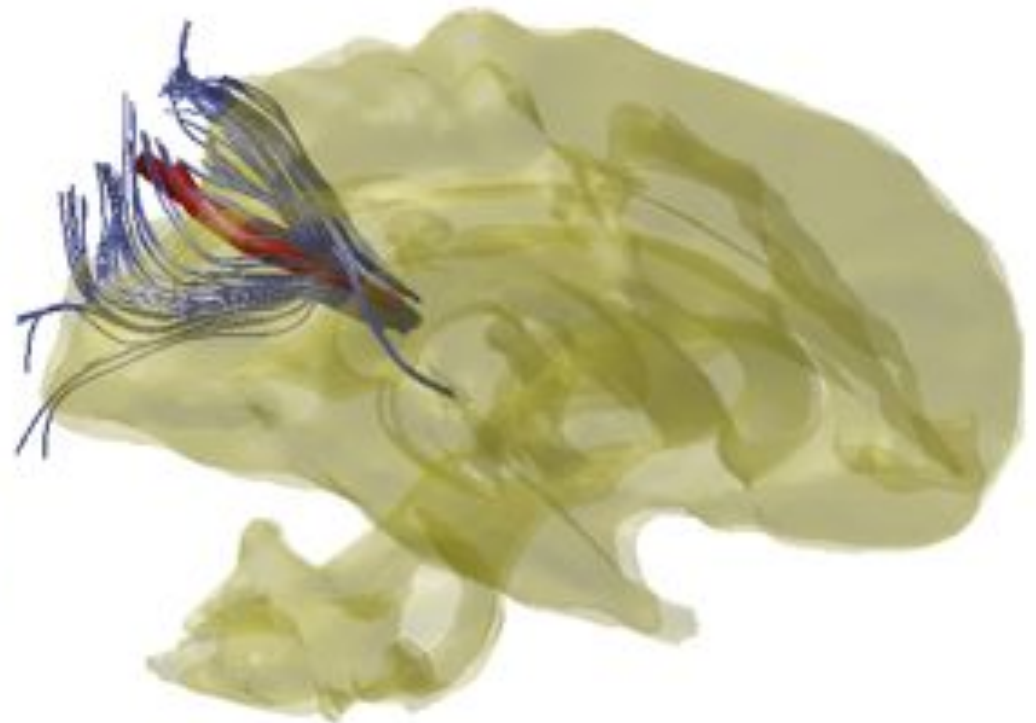
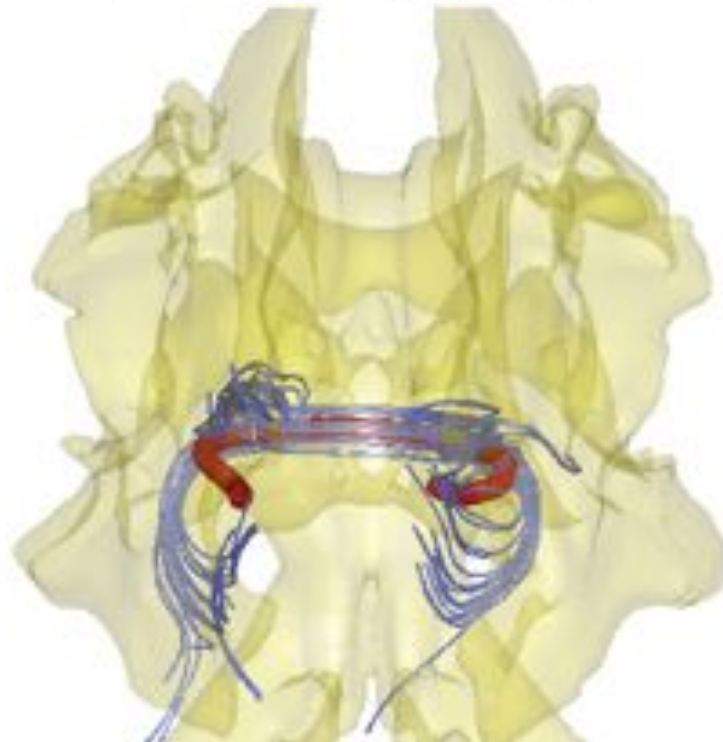
# Streamline based tractography

second order Runge-Kutta algorithm (Lazar et al., HBM. 2003).



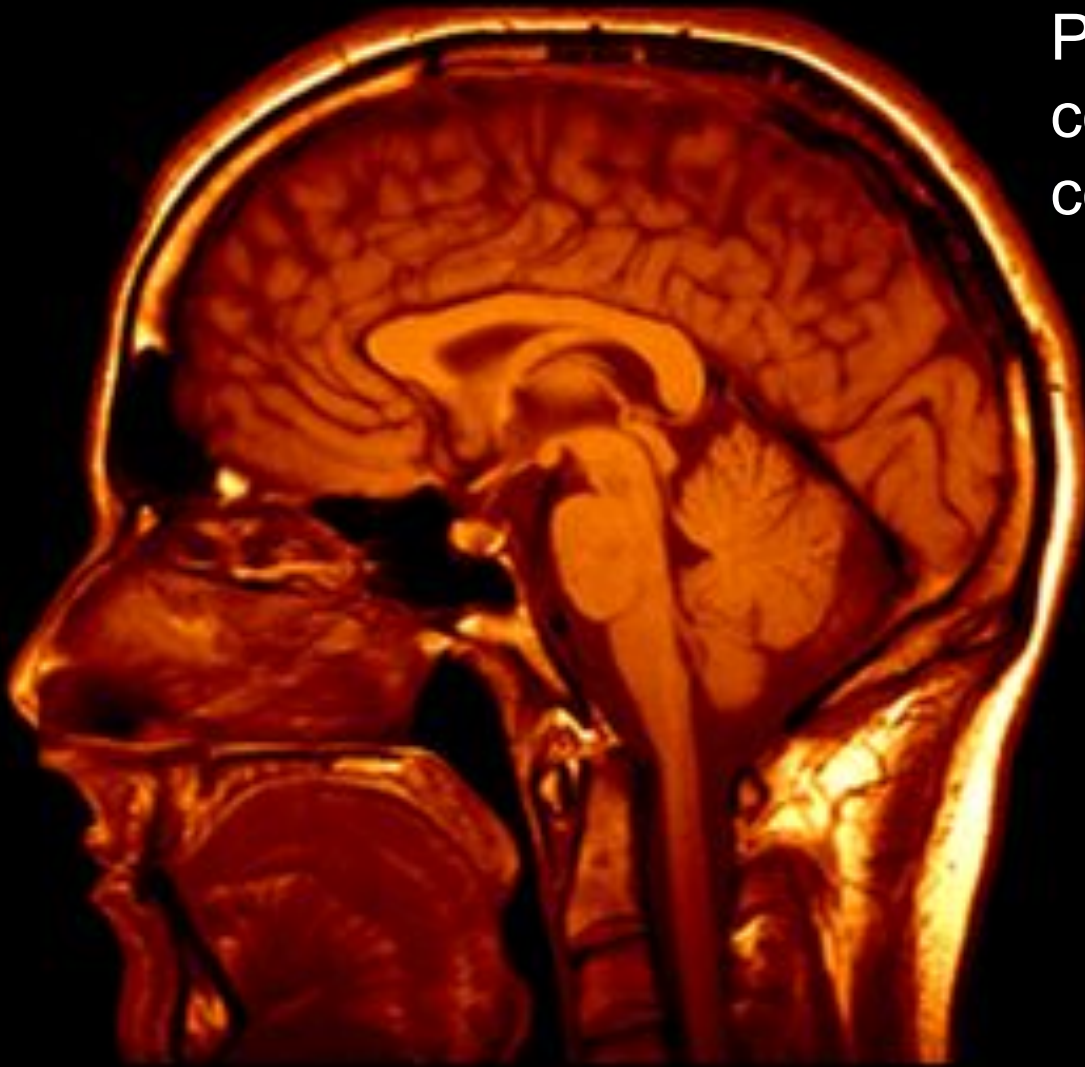


Tracts passing through the splenium of the corpus callosum



## 3T Magnetic resonance imaging (MRI)

Provide greater image contrast in soft tissues than computed tomography (CT)





# Computational Issues in Brain Image Analysis

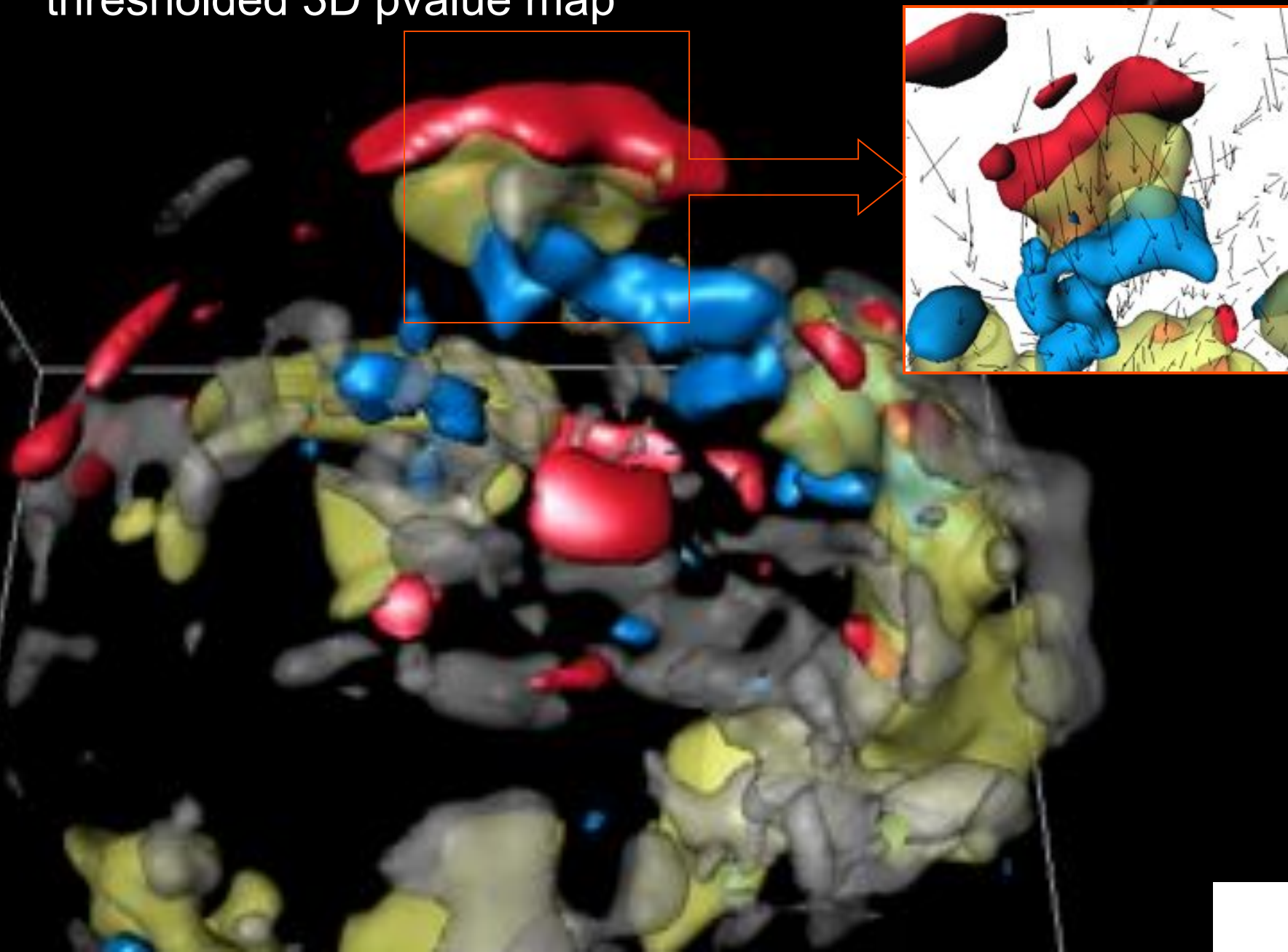
- Differential equations (ordinary & partial)
- Variation & optimization (least squares, L1 norm minimization)
- Spectral approaches (Fourier, PCA etc)
- Discrimination & classification
- Geometric & topological computation

# Data & Image Visualization

Data & image visualization has to be your first step in analyzing images

Statistical visualization is an important issue

thresholded 3D pvalue map

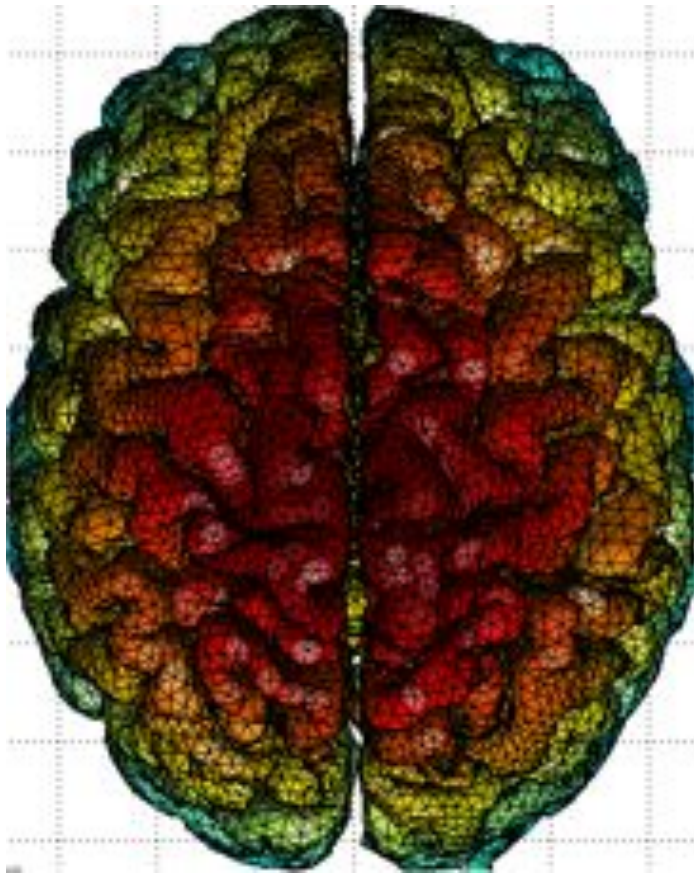


# Least squares estimation

statistical parameter estimation technique  
by the sum of squared residual

# Cortical Surface Polygonal mesh

Mesh resolution 3mm



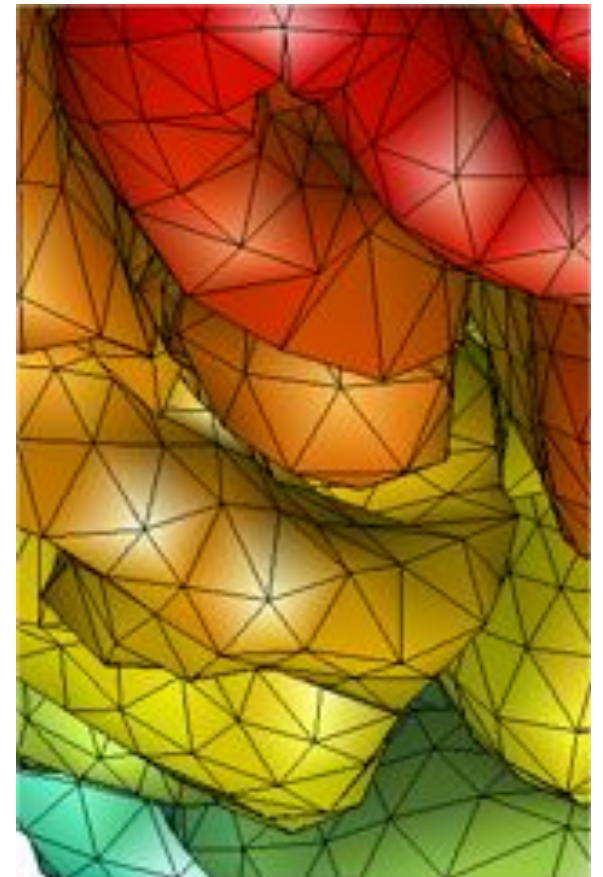
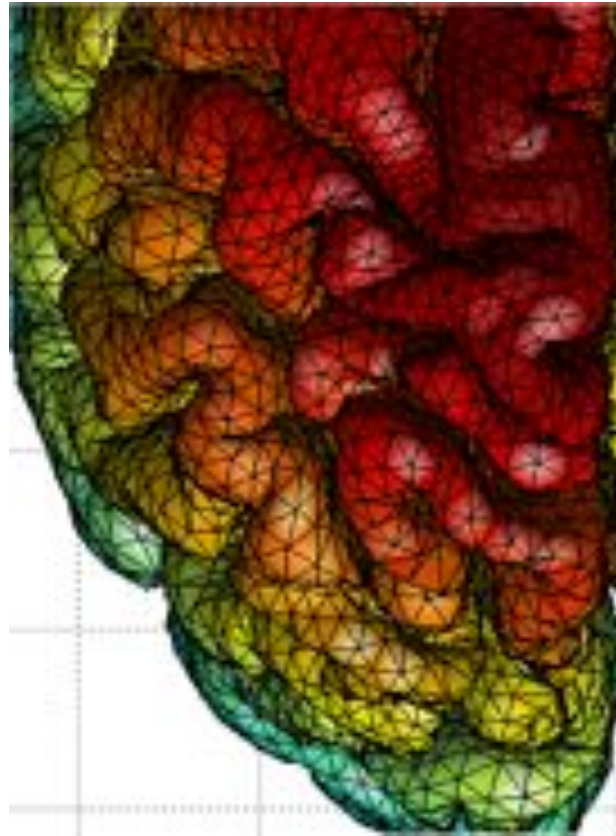
82,190 triangles

40,962 vertices

Spherical harmonic  
representation

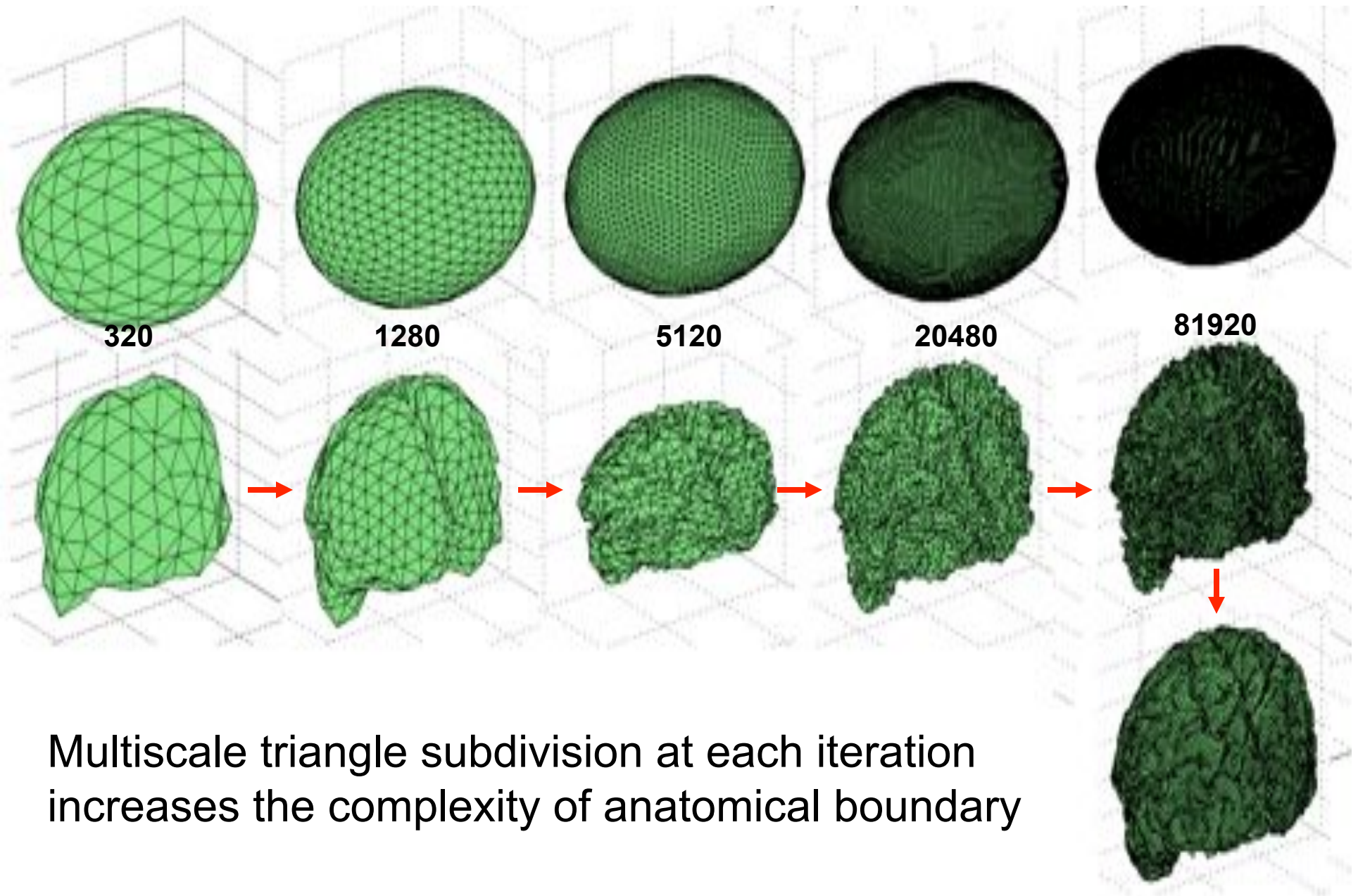


20,000 parameters per surface



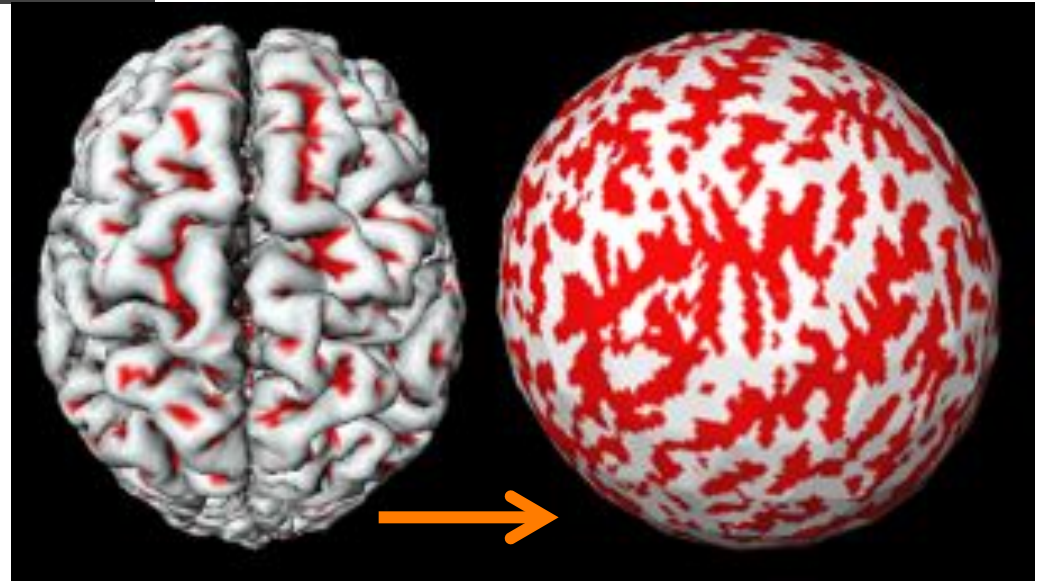
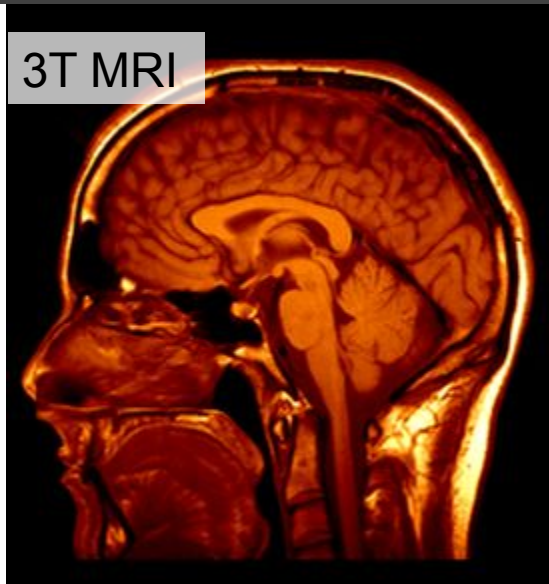


# Deformable surface algorithm McDonalds *et al.* (2001) NeuroImage

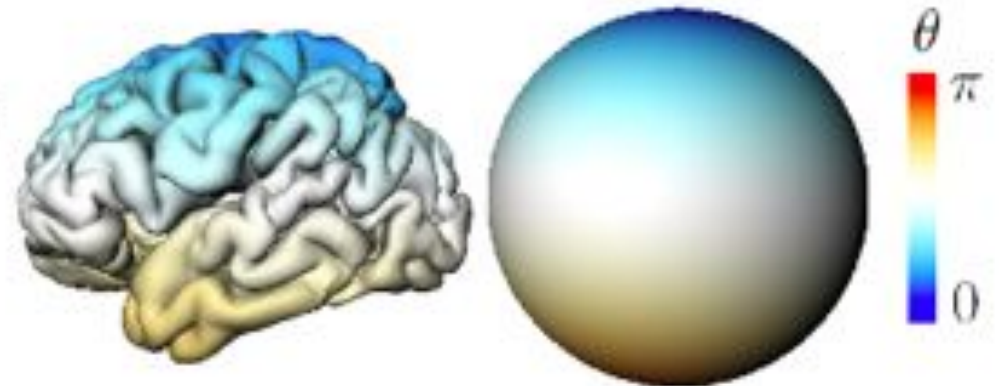
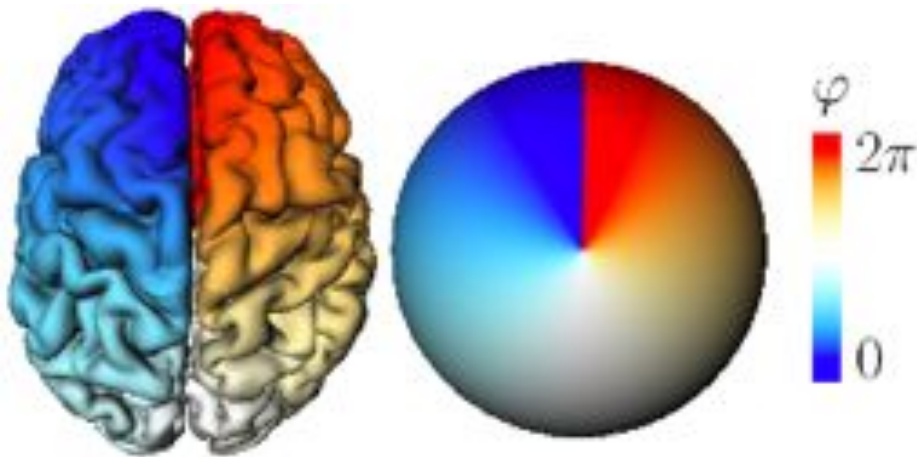


Multiscale triangle subdivision at each iteration increases the complexity of anatomical boundary

# Parameterize mapping to a sphere



Deformable surface algorithm

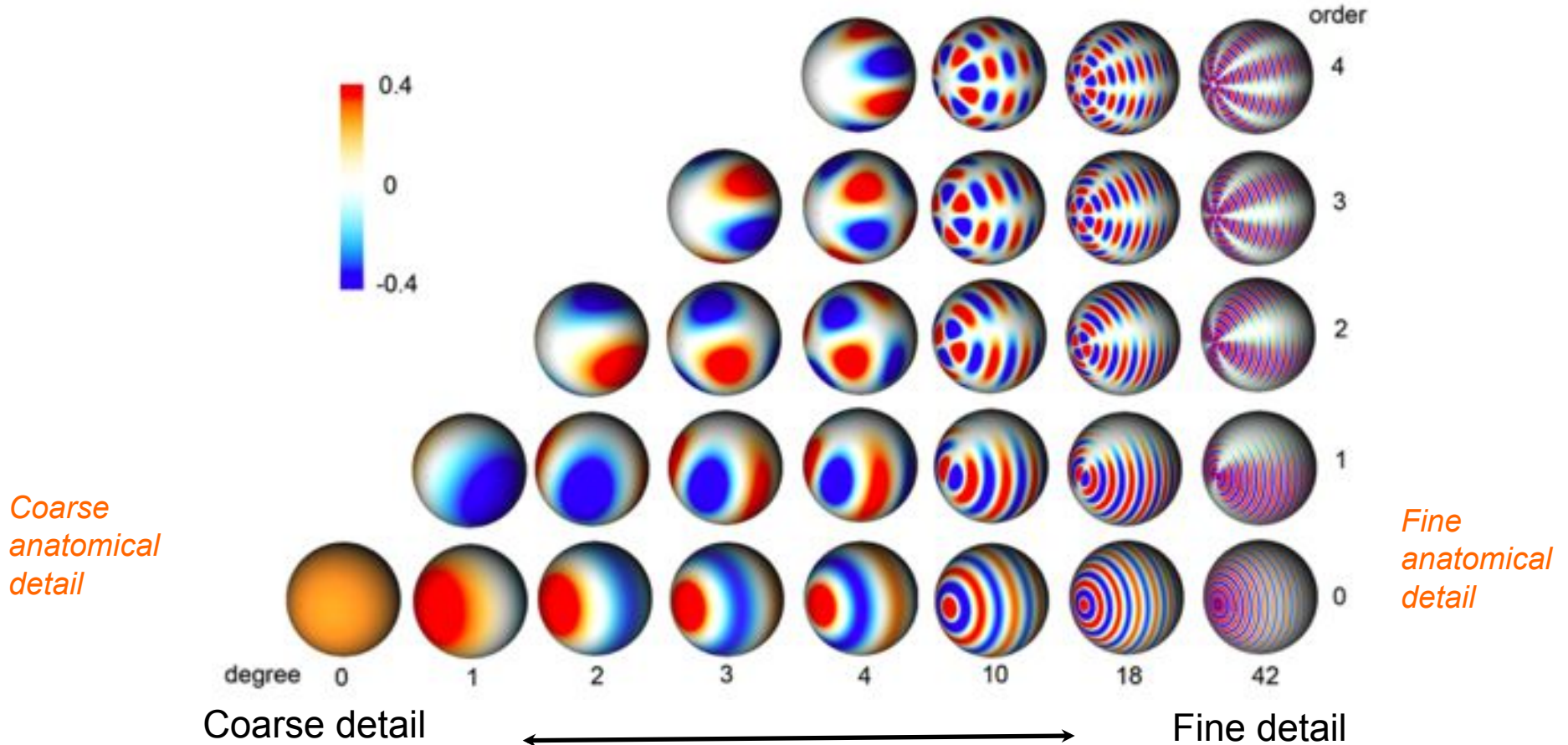


Spherical angle based coordinate system



# Spherical harmonic of degree $l$ and order $m$

$$Y_{lm} = \begin{cases} c_{lm} P_l^{|m|}(\cos \theta) \sin(|m|\varphi), & -l \leq m \leq -1, \\ \frac{c_{lm}}{\sqrt{2}} P_l^0(\cos \theta), & m = 0, \\ c_{lm} P_l^{|m|}(\cos \theta) \cos(|m|\varphi), & 1 \leq m \leq l, \end{cases}$$





# SPHRM representation

- Given functional measurement  $f(p)$  on a unit sphere, we represent it as

$$f(p) = \sum_{l=0}^k \sum_{m=-l}^l f_{lm} Y_{lm}(p) + e(p)$$

**$e$ : noise** (image processing, numerical, biological)

$f_{lm}$ : unknown Fourier coefficients

- The parameters are estimated in the least squares fashion.

# FreeSurfer results



0



10



20



30



40



50



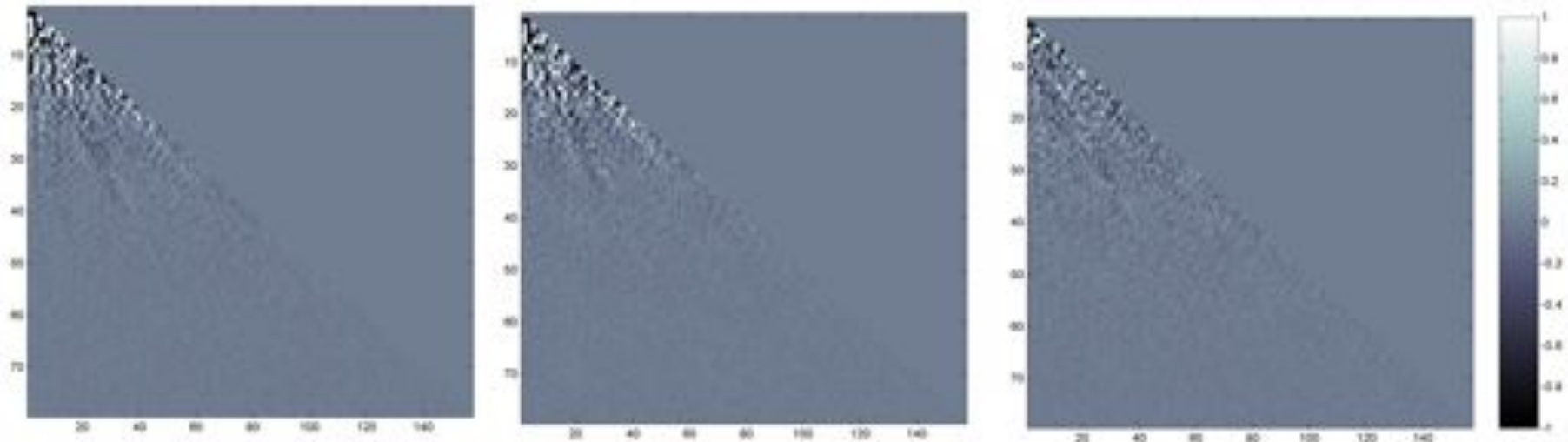
60



70

# 6241 Fourier coefficients can be used to quantify individual anatomical shape variations

Average SPHARM coefficients



autistic

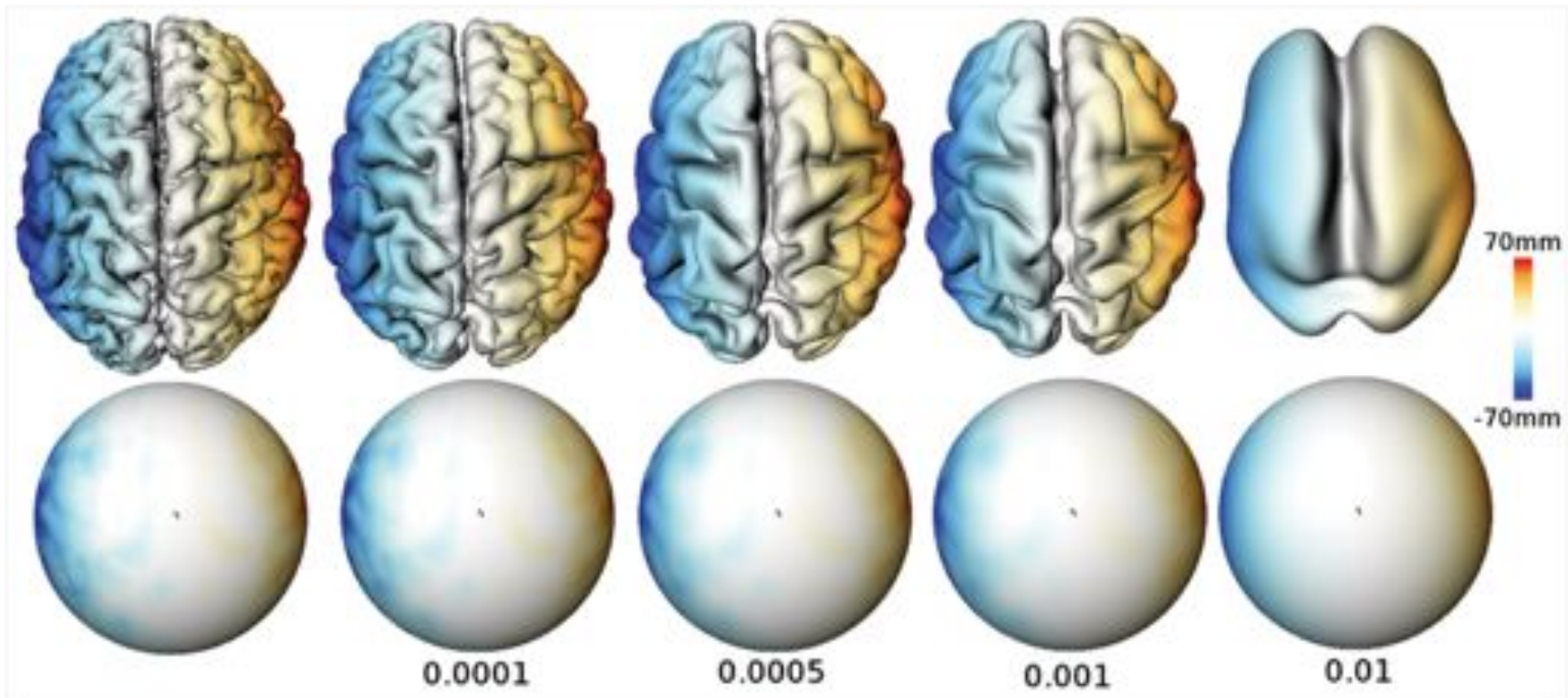
control

difference

## 78<sup>th</sup> degree Weighted-SPHARM representation

$$\sum_{l=0}^k \sum_{m=-l}^l e^{-l(l+1)t} \langle f, Y_{lm} \rangle Y_{lm}(\theta, \varphi)$$

# Weighted-SPHARM



**Color scale= x-coordinate**

# Maximum likelihood estimation

statistical parameter estimation technique  
by maximizing a likelihood function

# Image segmentation

Skull

Outer  
Cortical  
Surface

Gray  
Matter

Inner  
Cortical  
Surface

White  
Matter

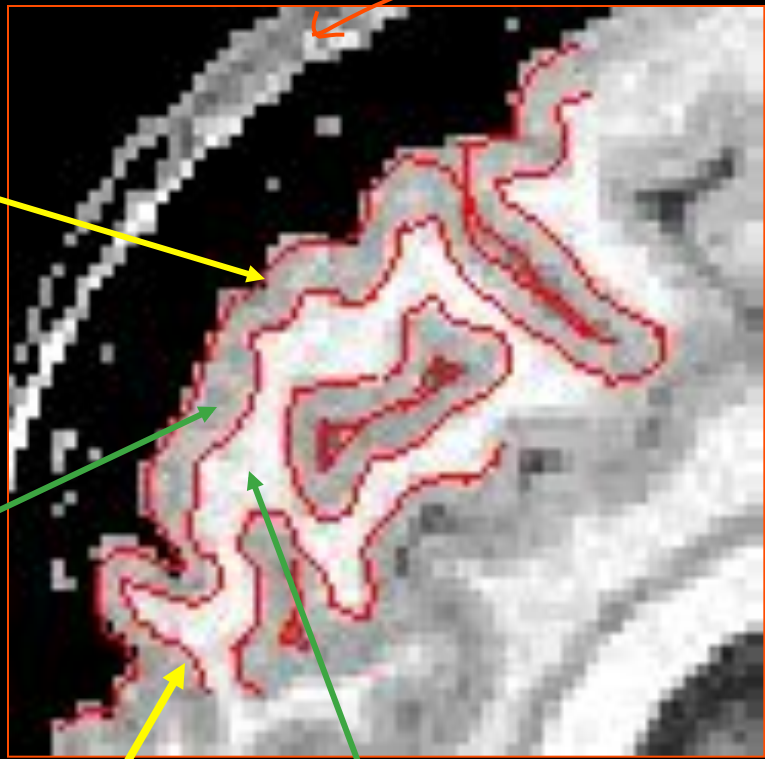
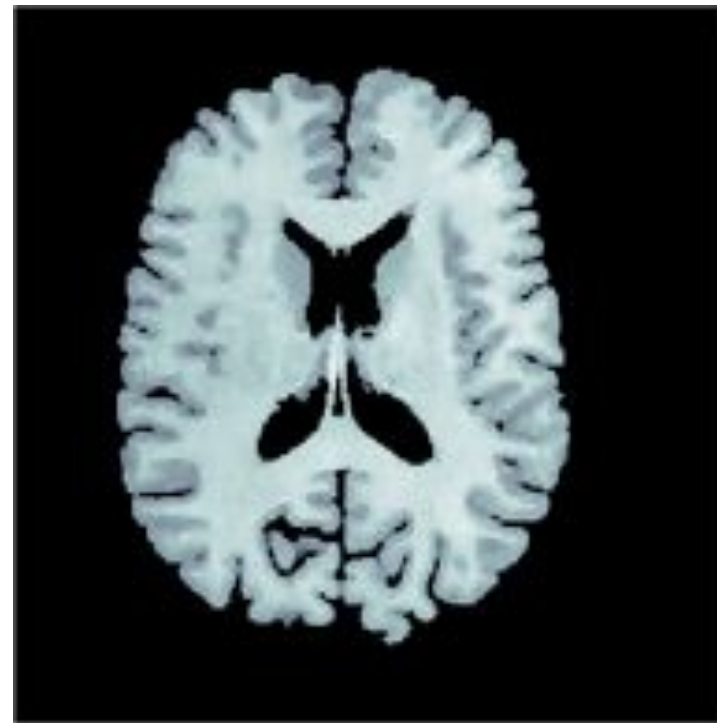
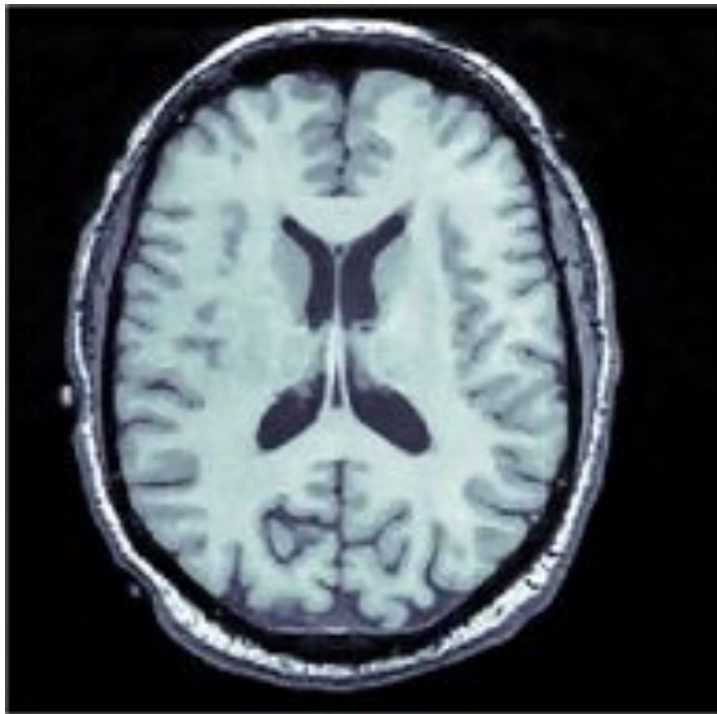


Image segmentation is necessary to quantify anatomical substructures

## Segmentation based on Gaussian mixture model SPM result



Automatic skull stripping can remove unwanted anatomical regions automatically.

# Two-components Gaussian mixture model

$$f(y) = pf_1(y) + (1 - p)f_2(y)$$

$$f_1(y) \approx N(\mu_1, \sigma_1^2)$$

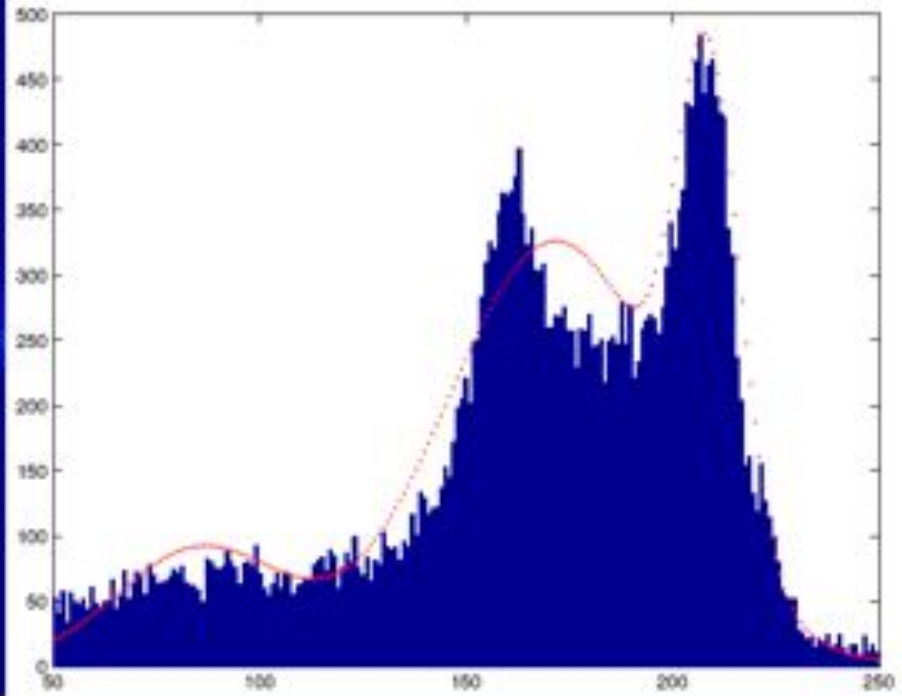
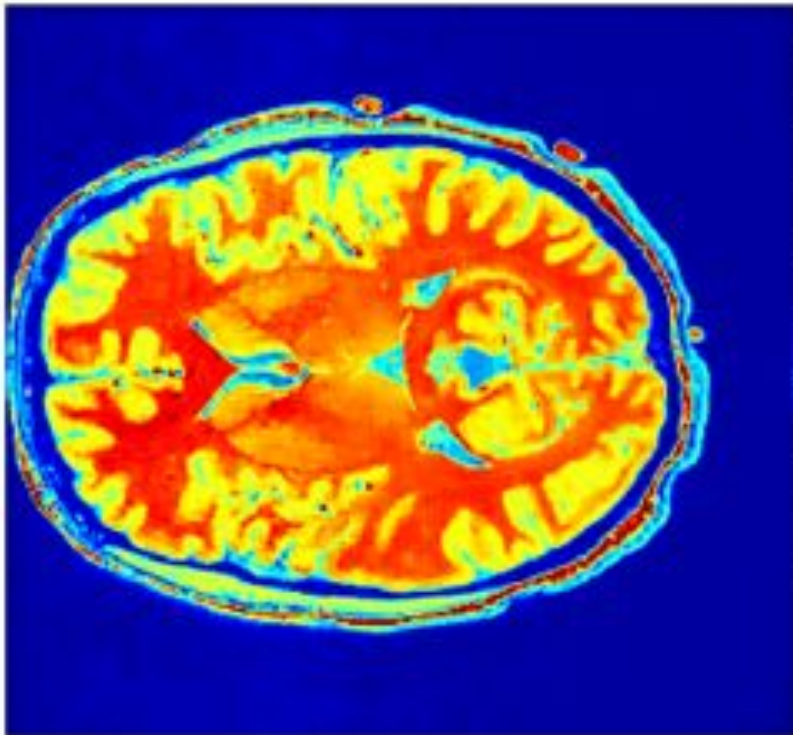
$$f_2(y) \approx N(\mu_2, \sigma_2^2)$$

$p$  = mixing proportion  $\rightarrow$  estimated tissue density

Parameters are estimated by the EM-algorithm

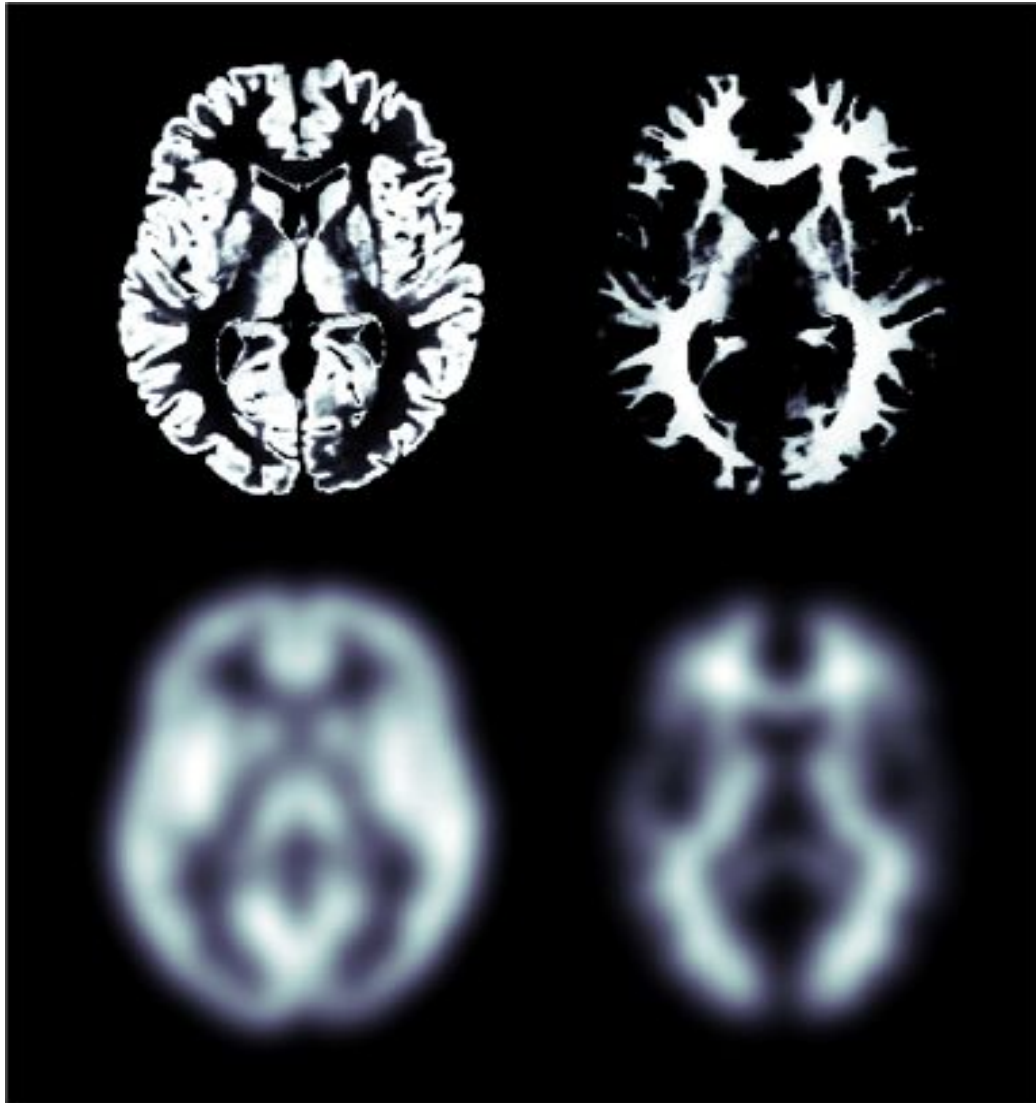


# Gaussian mixture modeling - EM algorithm



Shubing Wang  
Merck

# Segmentation result



**Gray matter**

**White matter**

**Binary masks: 0 or 1**

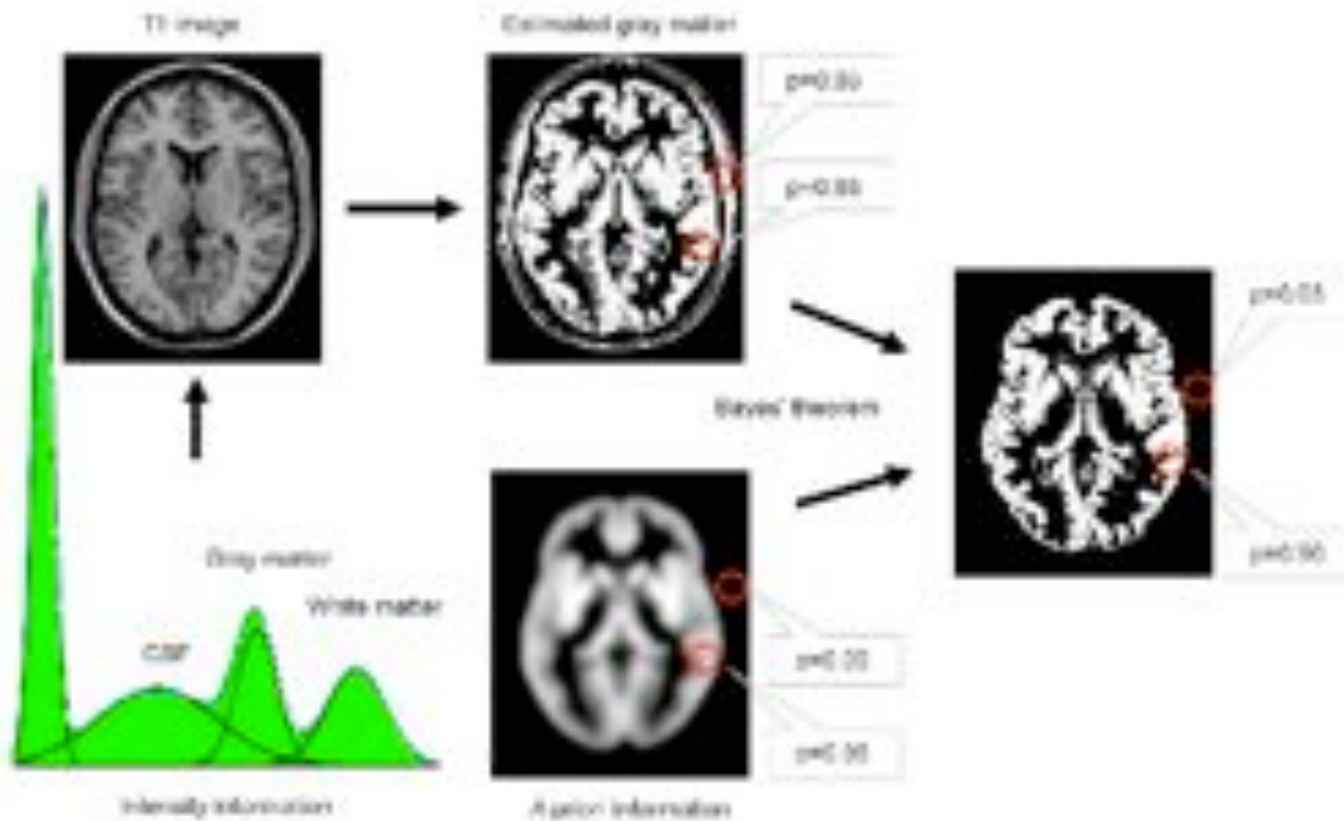


**Gaussian  
kernel smoothing**



**Probability map [0 , 1]**

# Probabilistic segmentation



**FIGURE 1** Image segmentation using a prior information. In the first step, the image intensities of the T<sub>1</sub> image (upper left) are used to plot the frequency in histogram. Several peaks - corresponding to different image intensities of the tissue classes - can be differentiated. In the next step, Gaussian curves to each tissue class are fitted into the histogram to estimate the probability of a voxel belonging to that tissue class (bottom left). A map

for gray matter is shown (upper right) with the estimated probability for two selected locations (red circles). These locations in a similar image intensity, the cerebral and the subcortical area will be a similar probability for belonging to gray matter. This can be corrected by combining the image intensity-based information with prior information (bottom), e.g. using a Bayesian approach.

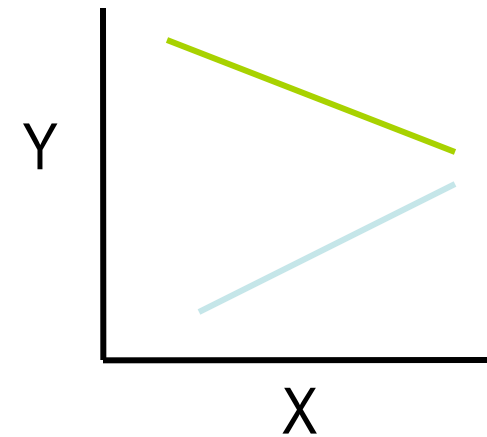
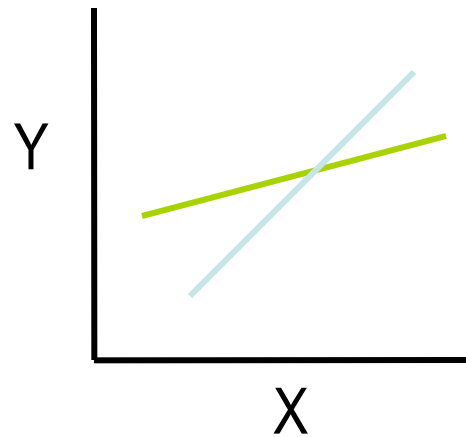
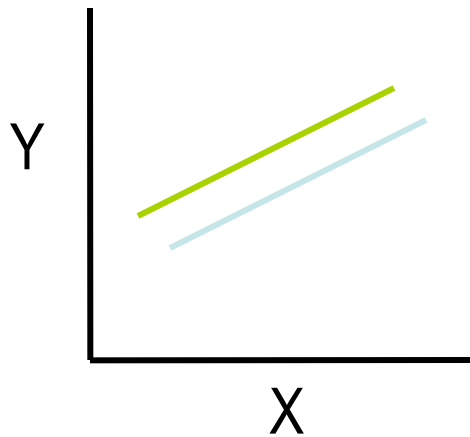
# Multivariate General Linear Model

Multivariate version of general linear model.  
SPM, AFNI do not have it. Only SurfStat has it.

# Comparing rate of biological change between groups

Test null hypothesis

*Ho: slopes in linear models are identical*

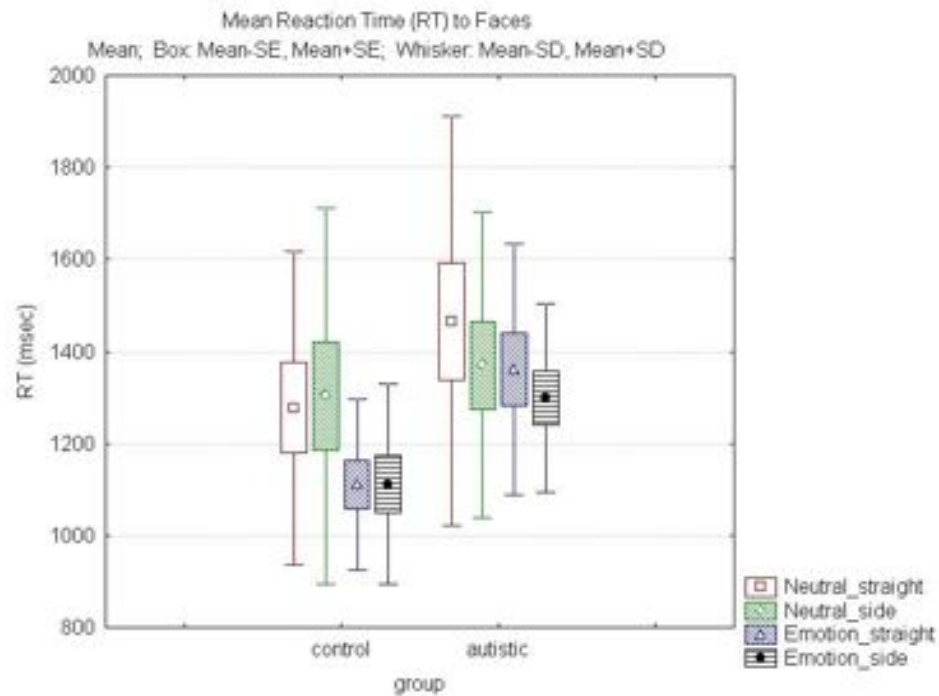
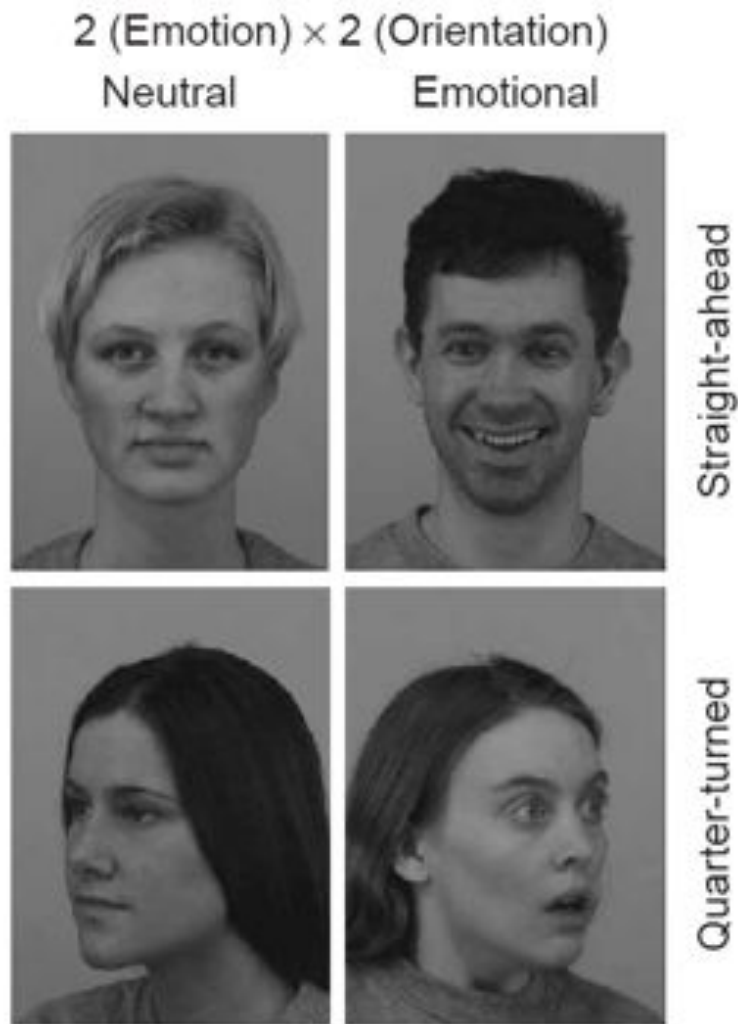


**Question:** what statistical procedure should we use?

**Next question:** what do you do with vector data?

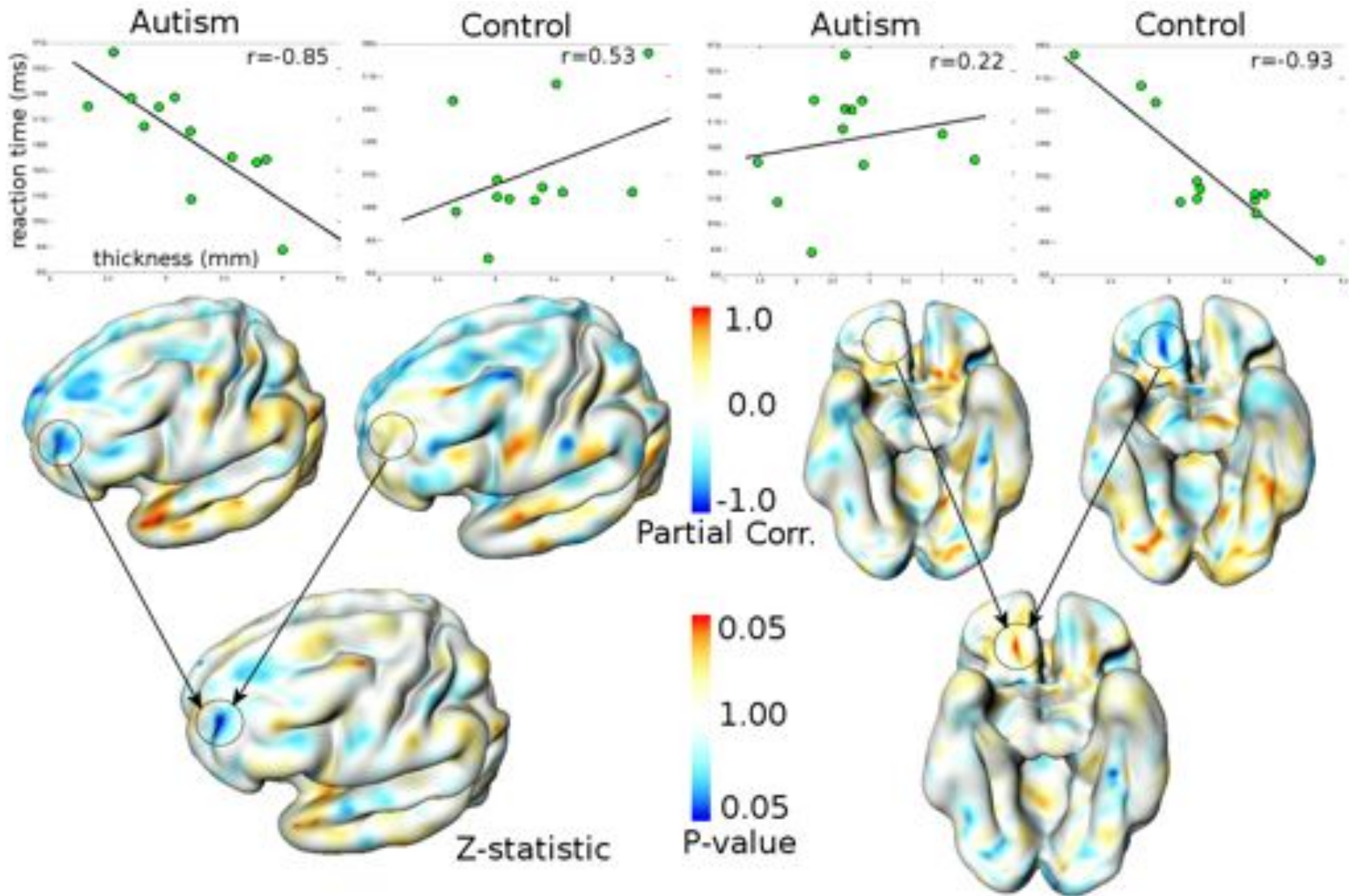
# Facial emotion discrimination task response time

24 emotional faces, 16 neutral faces

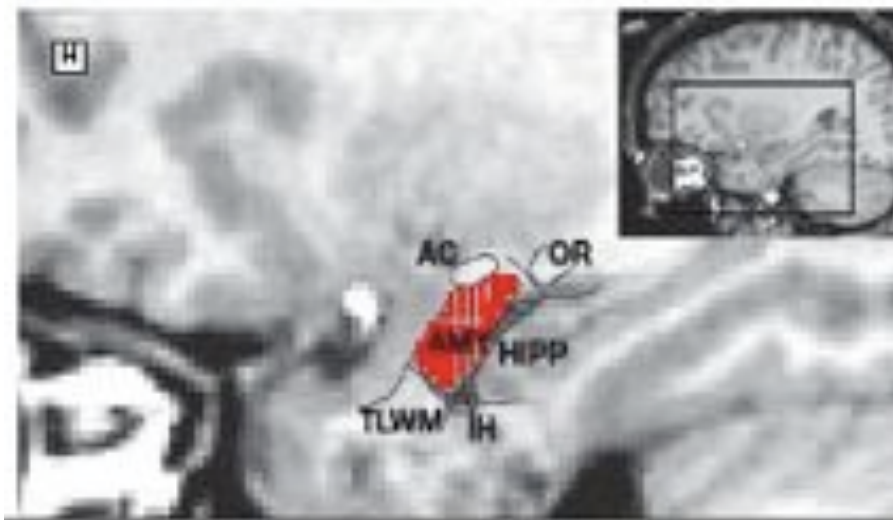
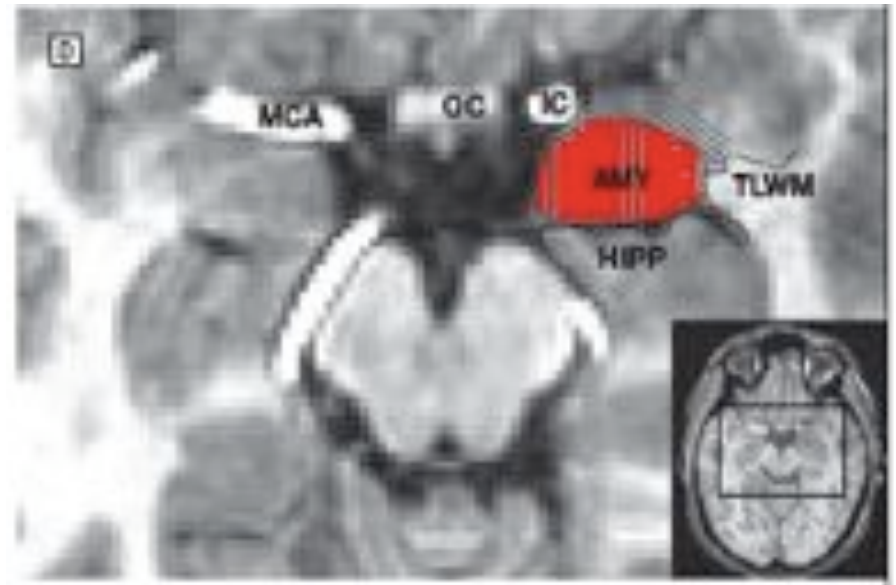
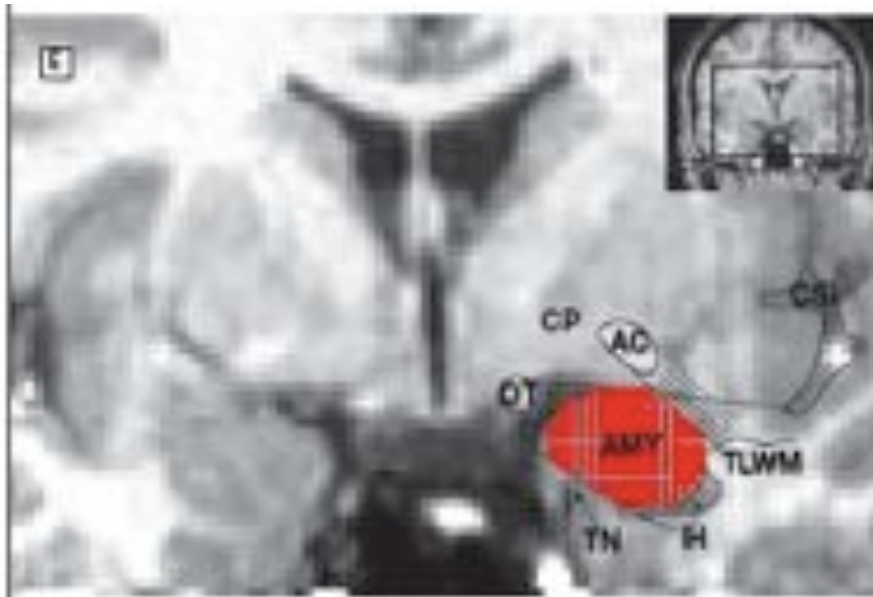


Dalton et al. (Nature Neuroscience 2005)

# Correlating behavioral and imaging measures







## Amygdala manual segmentation

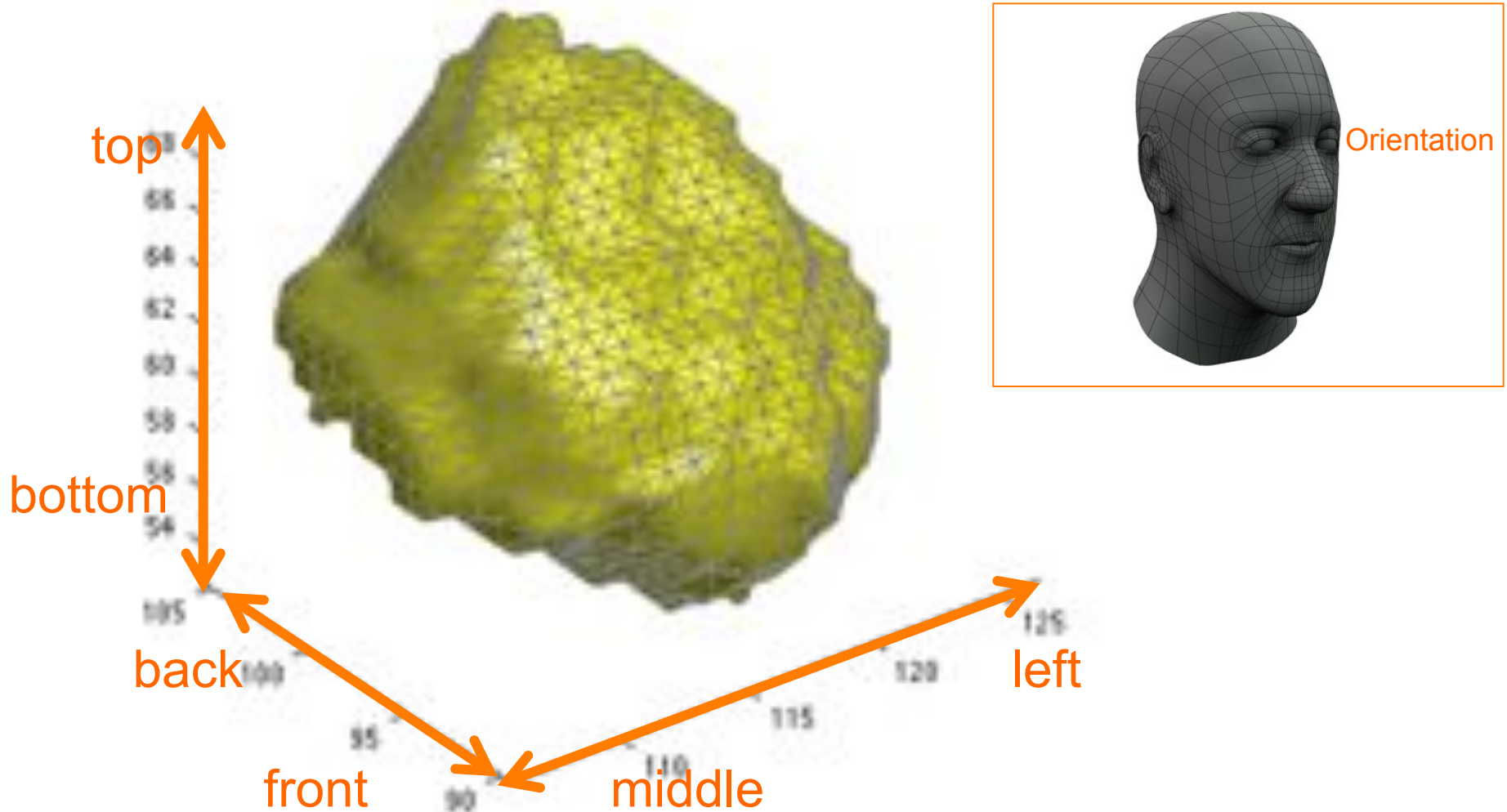
Why manual? It's one of few structures we can't segment automatically with 100% confidence.

Nacewicz et al., Arch. Gen. Psychiatry 2006

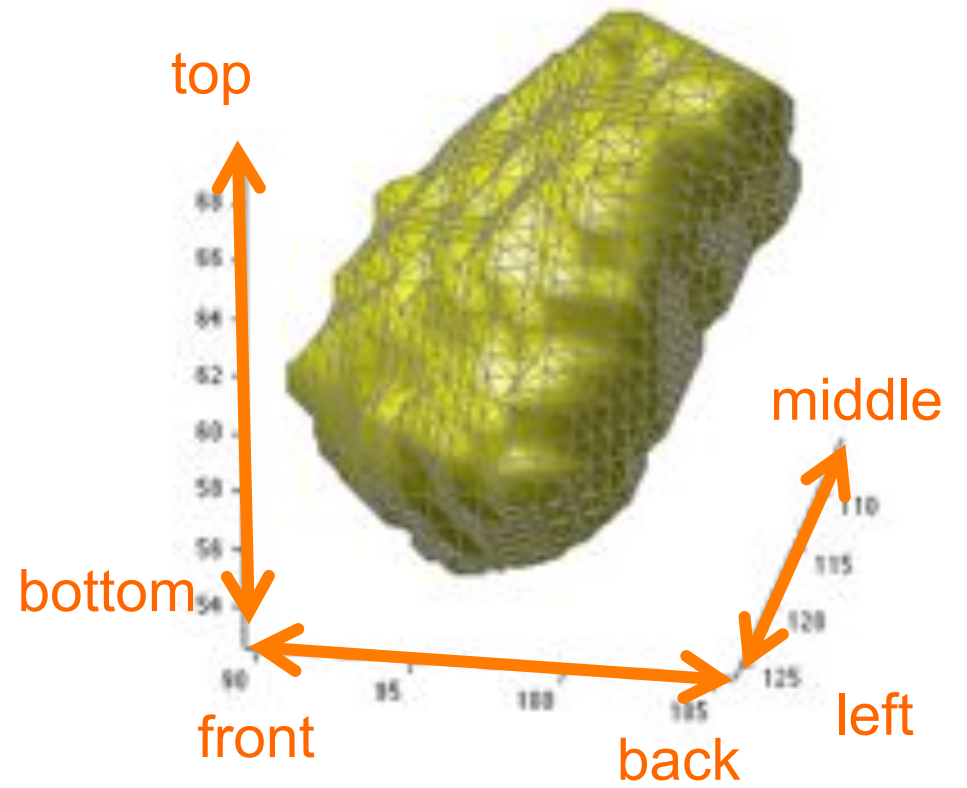
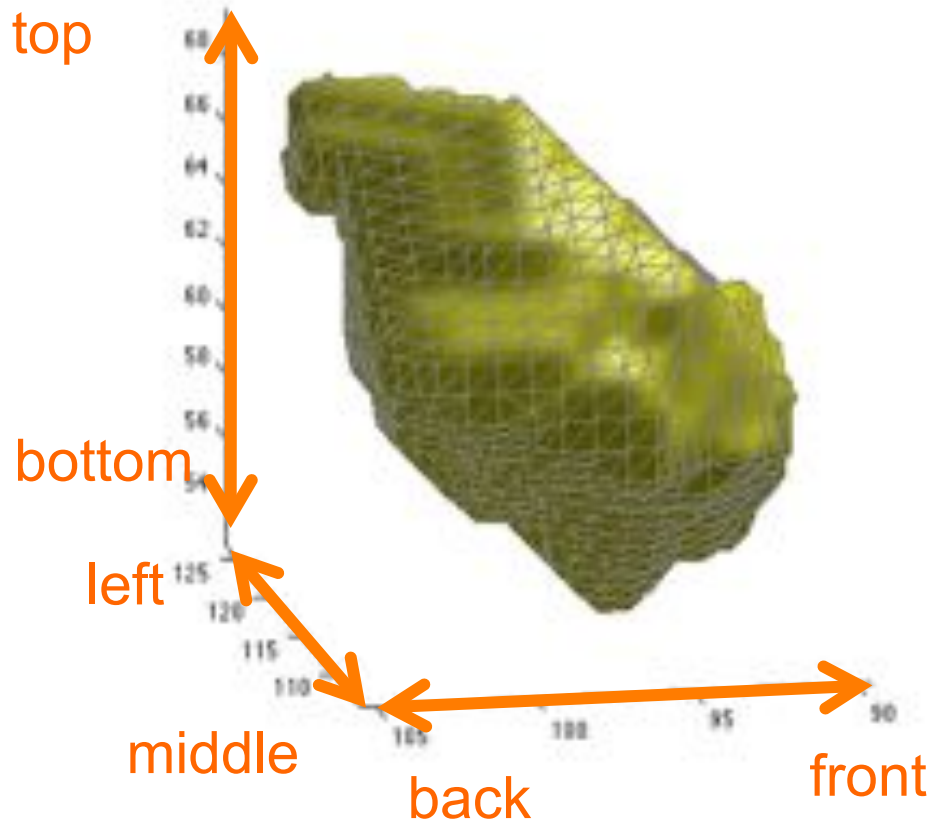
Chung *et al.*, NeuroImage 2010



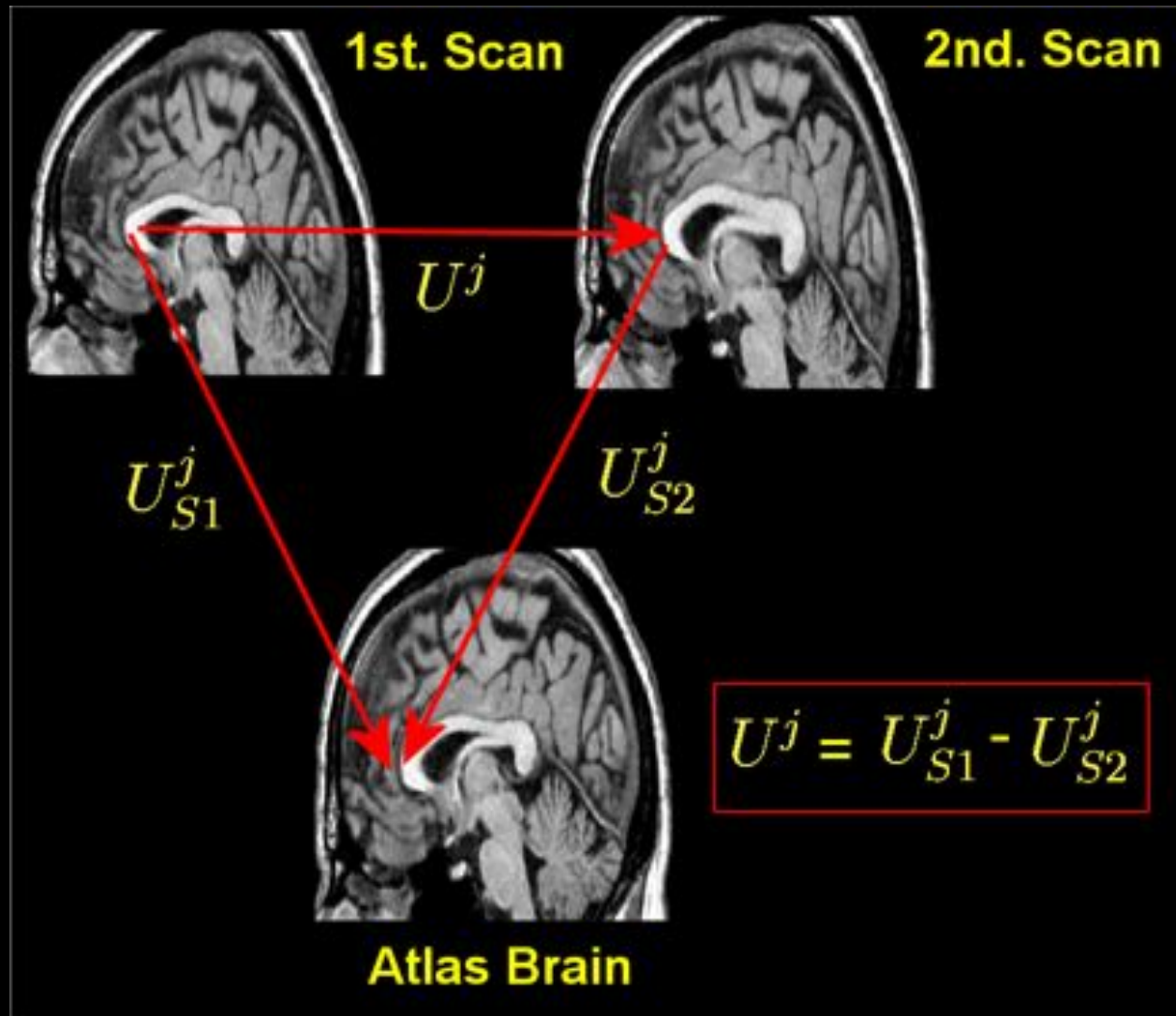
# 2D surface model of left amygdala using marching cubes algorithm



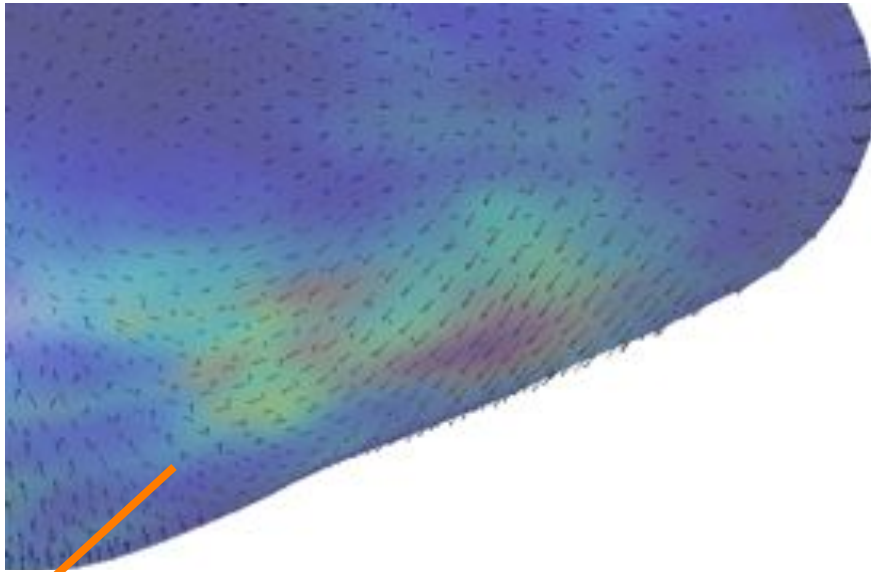
# 2D model of left amygdala of subject 001



## Displacement vector fields in multiple images



# Displacement vector field on a template



covariance  
matrix

$$P_{n \times 3} = X_{n \times p} B_{p \times 3} + Z_{n \times r} G_{r \times 3} + U_{n \times 3} \Sigma_{3 \times 3};$$

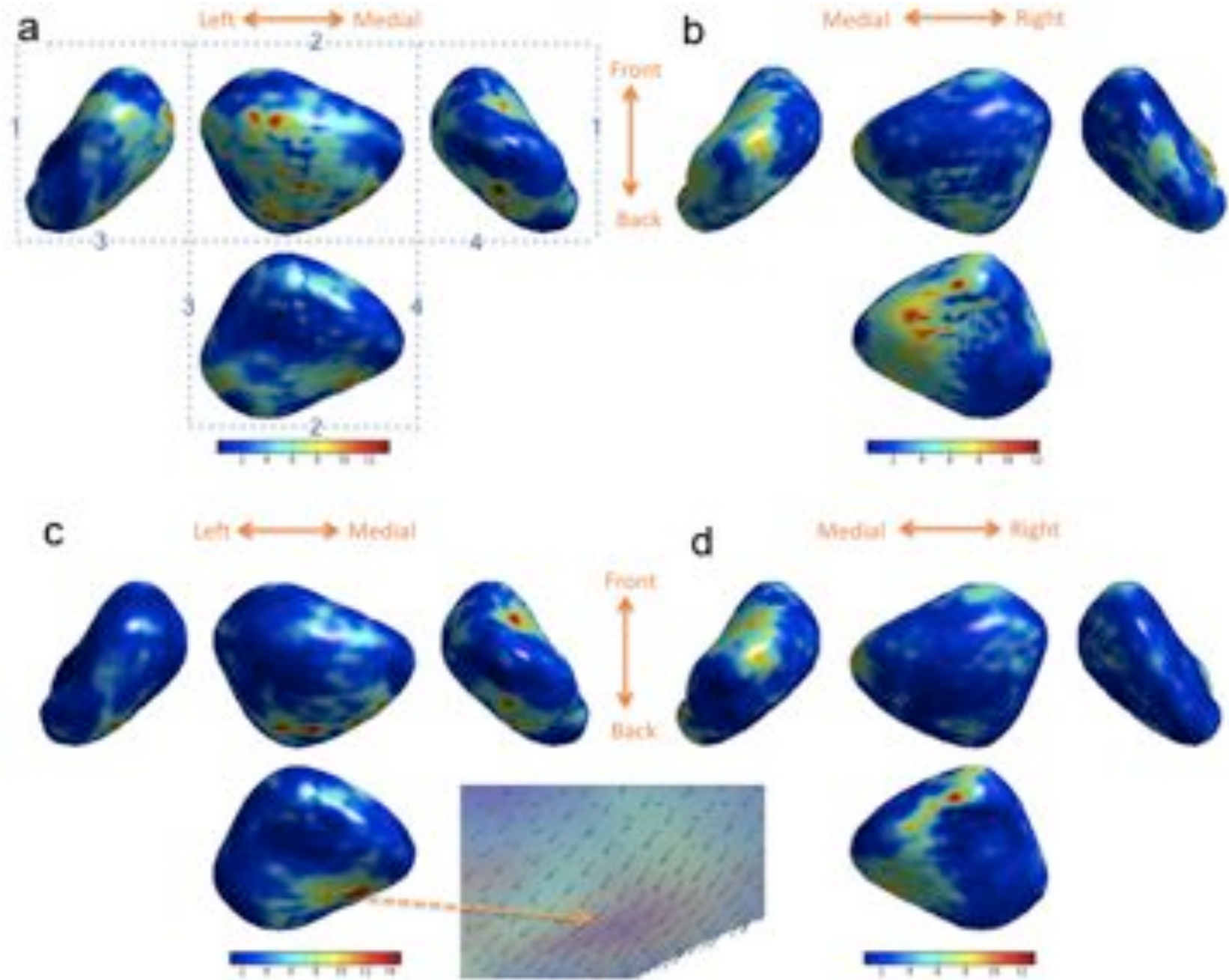
displacement  
vector

variable of  
interest

nuisance  
covariates

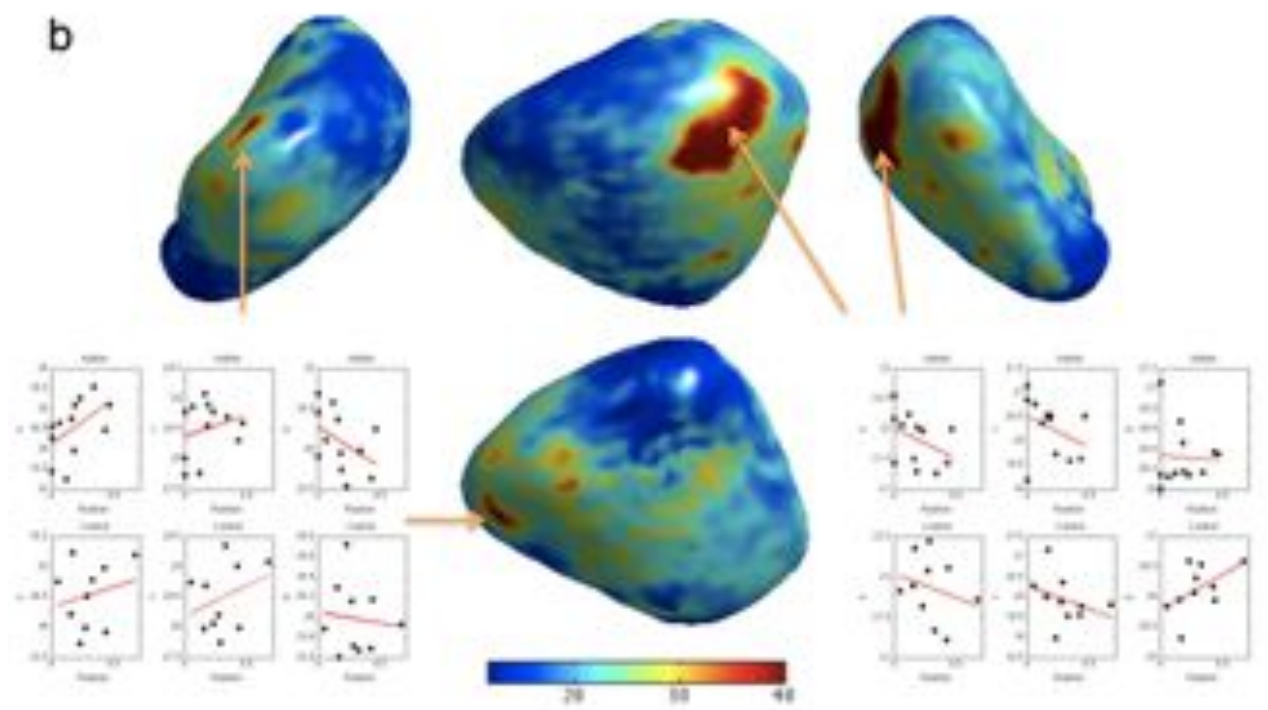
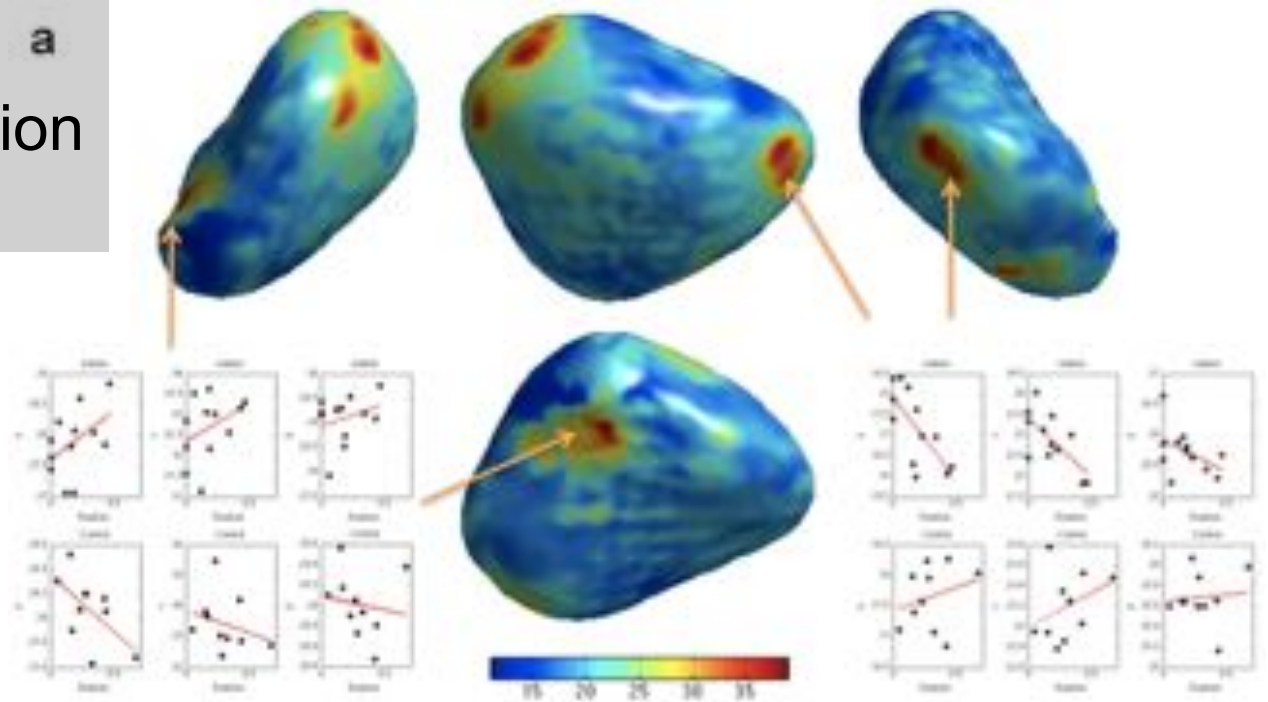
noise

# Difference between autism and controls





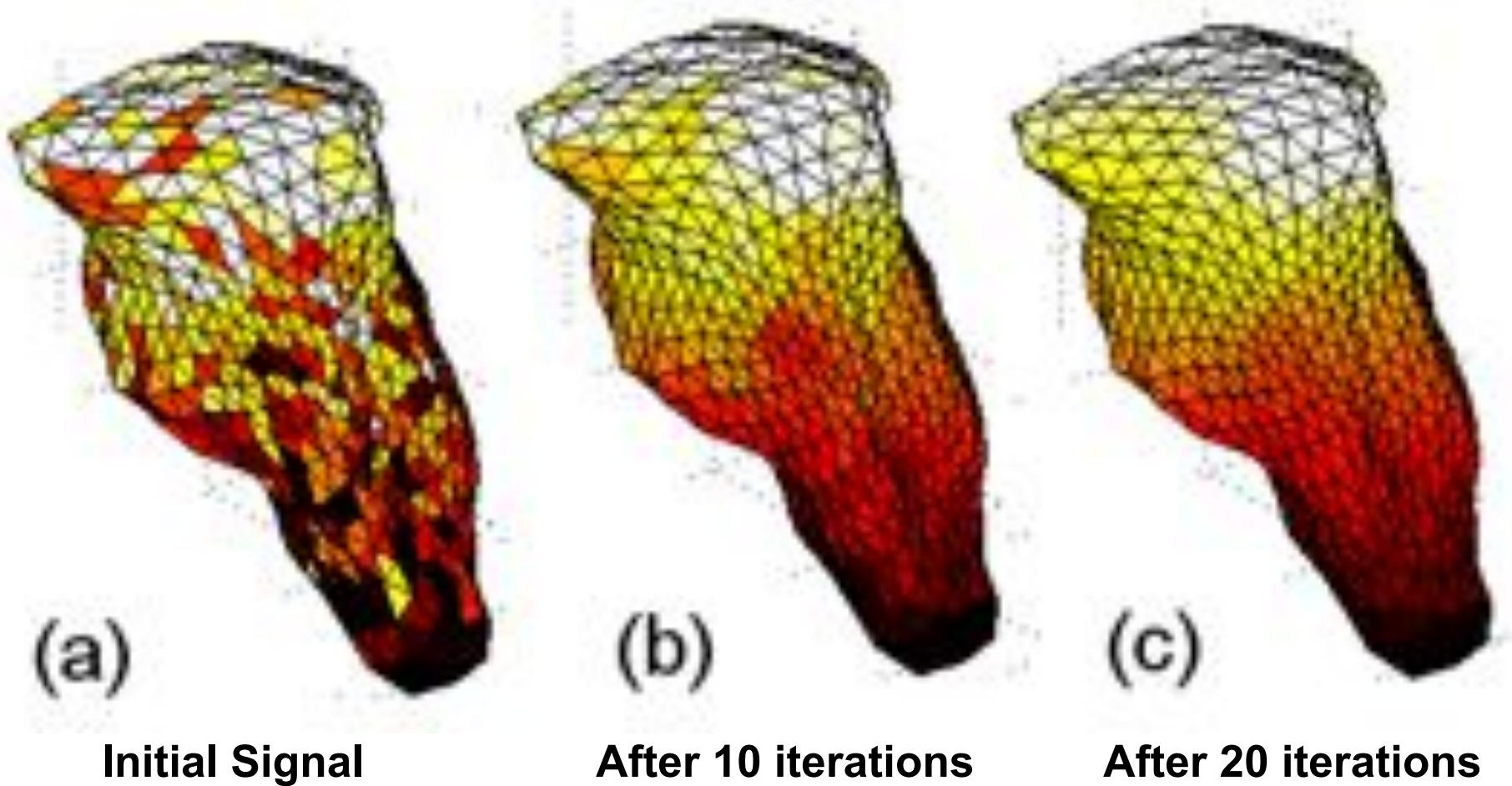
Interaction between shape and gaze fixation duration in autism



# Finite Element Method

A numerical technique for discretizing a partial differential equation (PDE). PDE simplifies to a system of linear equations which can be solved by the usual least squares estimation.

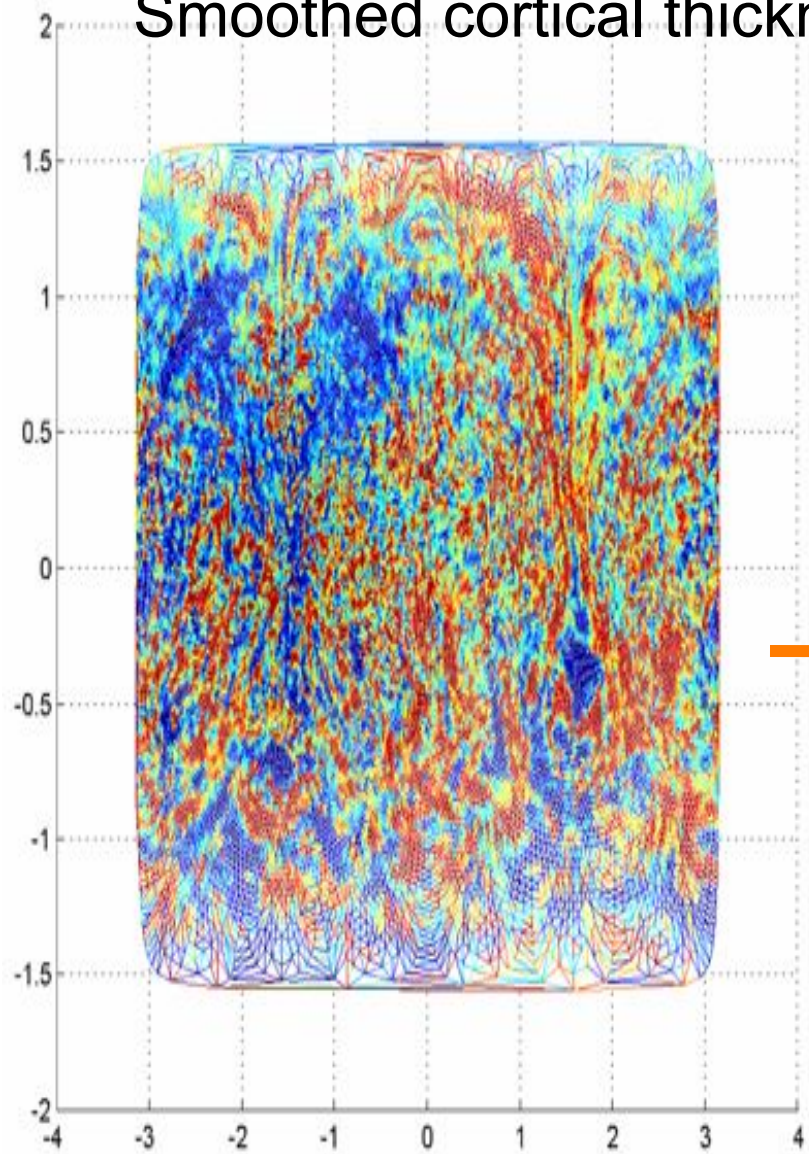
Smoothing along anatomical tissue boundary to increase SNR



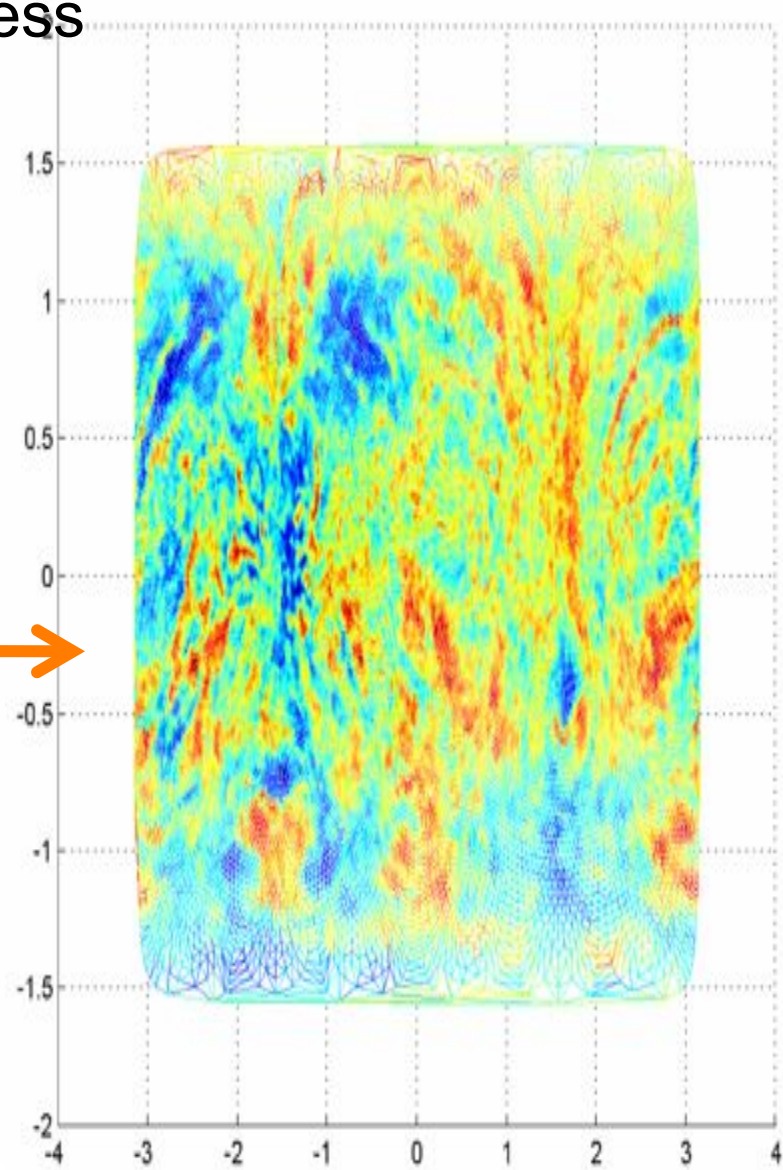
**Diffusion smoothing: isotropic diffusion equation**



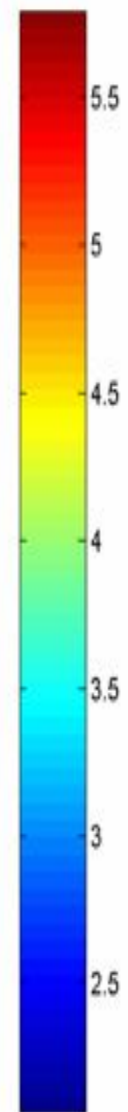
# Smoothed cortical thickness



Thickness measure

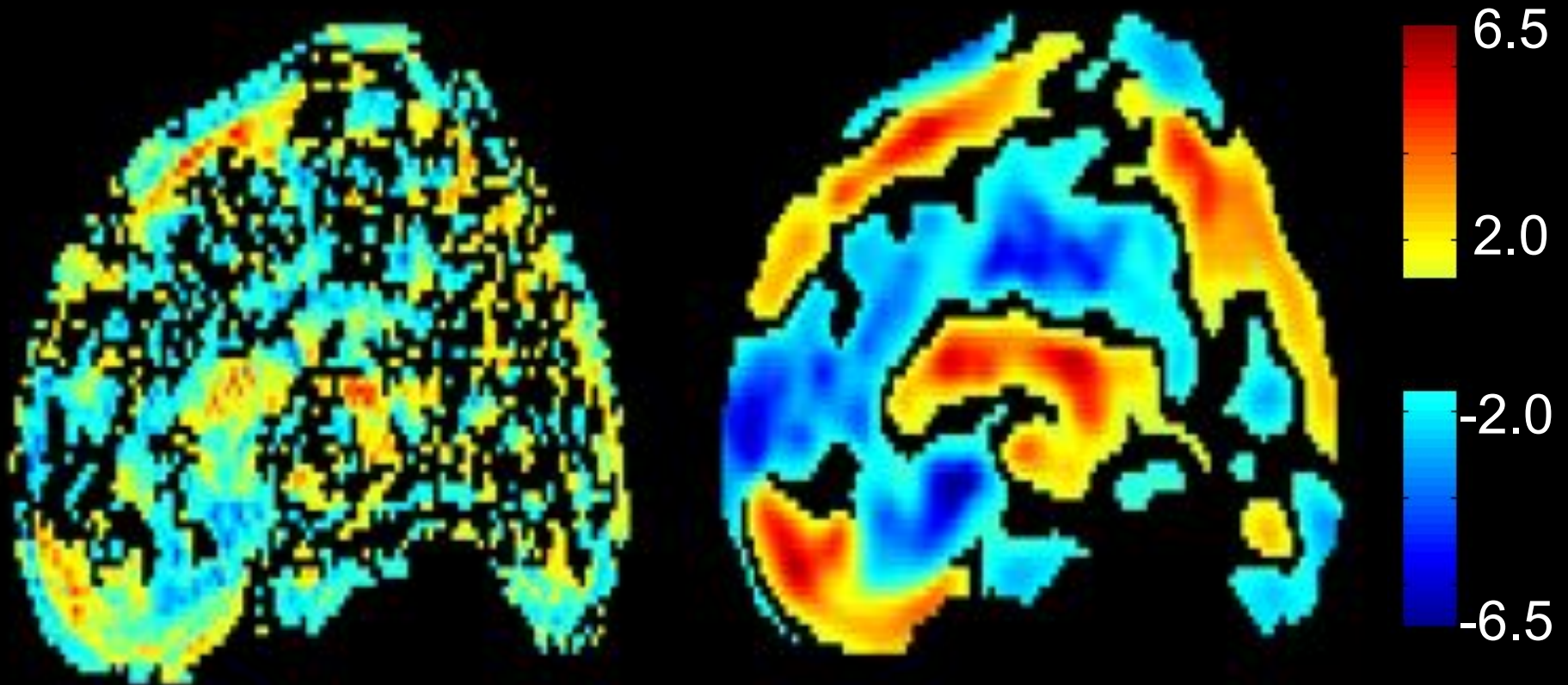


Signal enhancement



## Why do we smooth?

*t*-statistic map of Jacobian determinant change (volume change) for 28 normal subjects from age 12 to age 16.



10mm FWHM Gaussian kernel smoothing



## Diffusion Smoothing

It can be shown that the convoluted signal  $F(\mathbf{x}, t) = F^*(\mathbf{x}, \sqrt{2t})$  is the solution of a diffusion equation

$$\frac{\partial F}{\partial t} = \Delta F, \quad F(\mathbf{x}, 0) = f(\mathbf{x})$$

where the  $n$ -dimensional Laplacian is given by  $\Delta = \frac{\partial^2}{\partial x_1^2} + \dots + \frac{\partial^2}{\partial x_n^2}$ . The amount of smoothing is determined by the full width at the half maximum (FWHM) of Gaussian kernel

$$\text{FWHM} = 4(\ln 2)^{1/2} \sqrt{t} = 2(2 \ln 2)^{1/2} h$$

Since the cortical surface is non-Euclidean, the above Laplacian is not well defined on the cortical surface. The generalization of the Laplacian to an arbitrary curved surface is called the *Laplace-Beltrami operator* and it is defined in terms of the Riemannian metric tensors. For the Riemannian metric  $ds^2 = \sum_{i,j=1}^n g_{ij} du^i du^j$ , the Laplace-

Beltrami operator is given by

$$\Delta F = \frac{1}{|g|^{1/2}} \sum_{i,j=1}^n \frac{\partial}{\partial u^i} \left( |g|^{1/2} g^{ij} \frac{\partial F}{\partial u^j} \right)$$

where  $g^{-1} = (g^{ij})$  and  $|g| = \det(g_{ij})$ .

## Finite Element Method

The ASP algorithm (MacDonald, *et al.*, 2001) is used to extract the outer cortical surfaces each consisting of 81,920 triangles from MR scans. At this surface sampling rate, the average intervertex distance is 3-4 mm. In order to estimate the Laplace-Beltrami operator on a triangulated cortical surface, we use the *finite element method* (FEM) (Chung, 2001). Let  $F(\mathbf{p}_i)$  be the signal on the  $i$ -th node  $\mathbf{p}_i$  in the triangulation. If  $\mathbf{p}_1, \dots, \mathbf{p}_m$  are  $m$ -neighboring nodes around  $\mathbf{p}=\mathbf{p}_0$ , the Laplace-Beltrami operator at  $\mathbf{p}$  is estimated by

$$\widehat{\Delta}F(\mathbf{p}) = \sum_{i=1}^m w_i (F(\mathbf{p}_i) - F(\mathbf{p}))$$

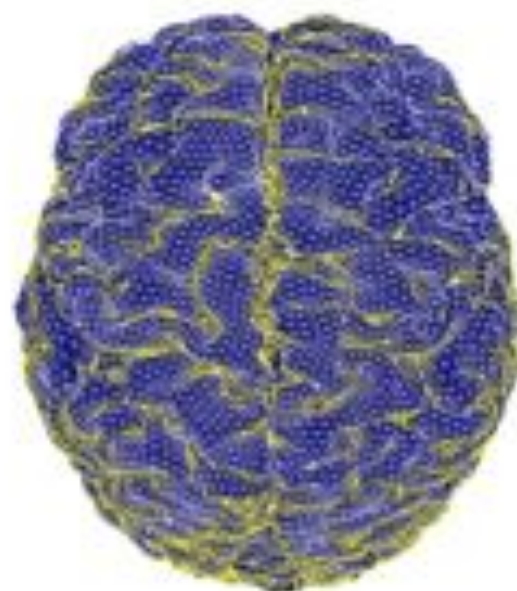
with the weights

$$w_i = (\cot \theta_i + \cot \phi_i) / |T|$$

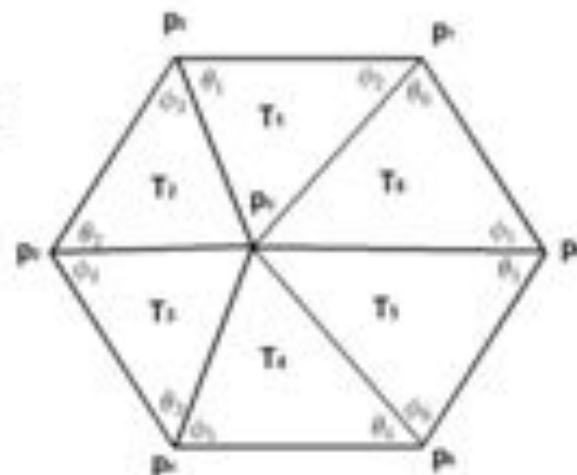
where  $\theta_i$  and  $\phi_i$  are the two angles opposite to the edge  $\mathbf{p}_i - \mathbf{p}$  in triangles and  $|T|$  is the sum of the areas of  $m$ -incident triangles at  $\mathbf{p}$ . Then the diffusion equation is solved via the *finite difference scheme*:

$$F(\mathbf{p}, t_{n+1}) = F(\mathbf{p}, t_n) + (t_{n+1} - t_n) \widehat{\Delta}F(\mathbf{p}, t_n)$$

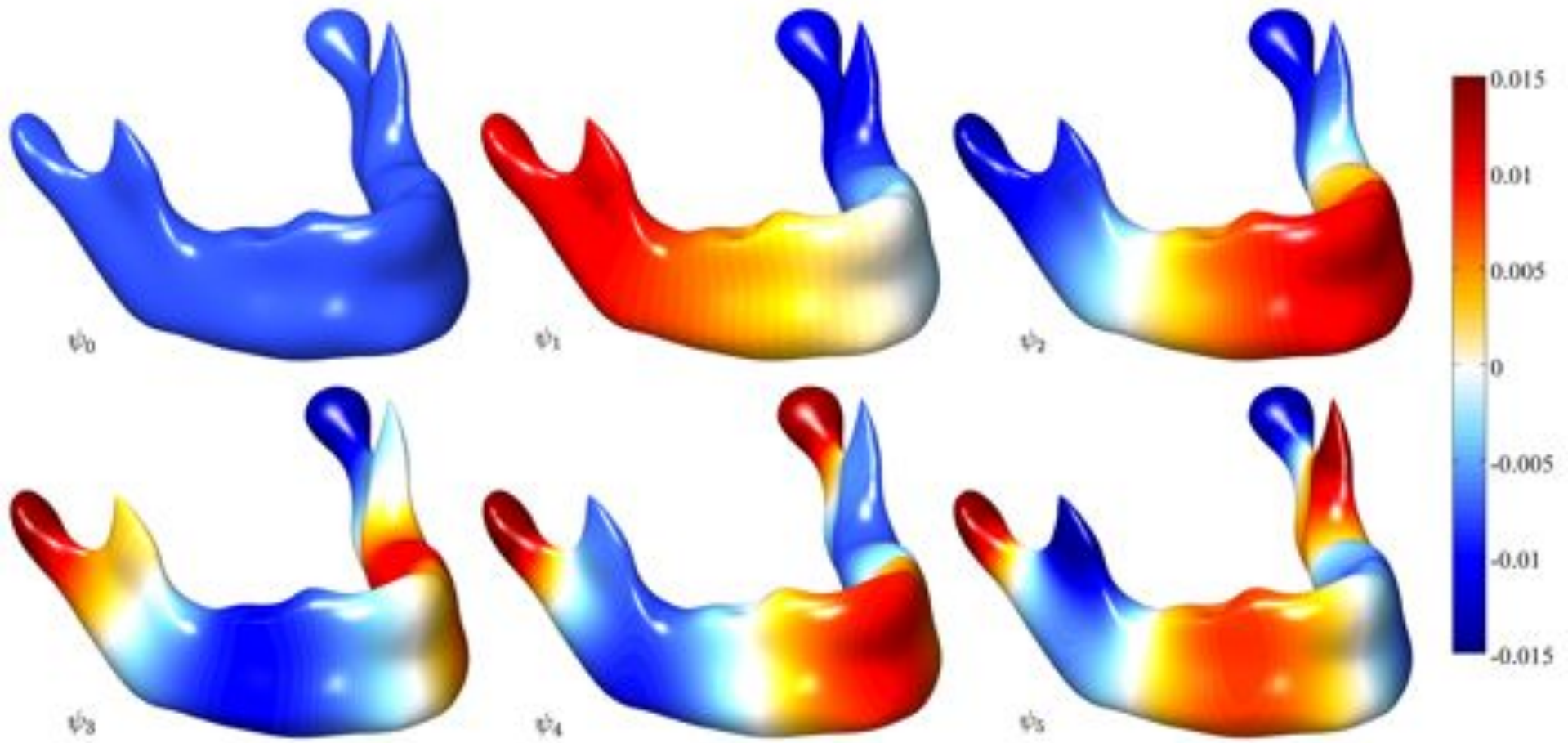
with the initial condition  $F(\mathbf{p}_i, t_0) = f(\mathbf{p}_i)$ . After  $N$ -iterations, the diffused signal is locally equivalent to Gaussian kernel smoothing with  $\text{FWHM} = 4(\ln 2)^{1/2} N^{1/2} (t_N - t_0)^{1/2}$ .



A typical triangular mesh of the outer cortical surface consisting of 81,920 triangles and 40,962 vertices.



Eigenvalues of Laplace-Beltrami operator  $\Delta f = \lambda f$



Seo *et al.*, MICCAI 2010

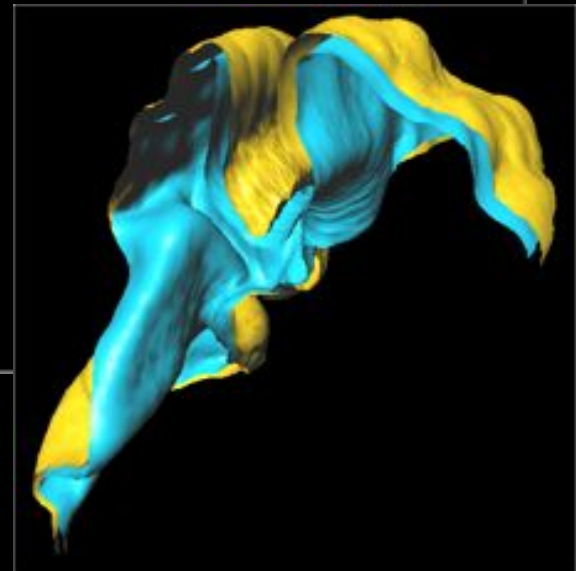
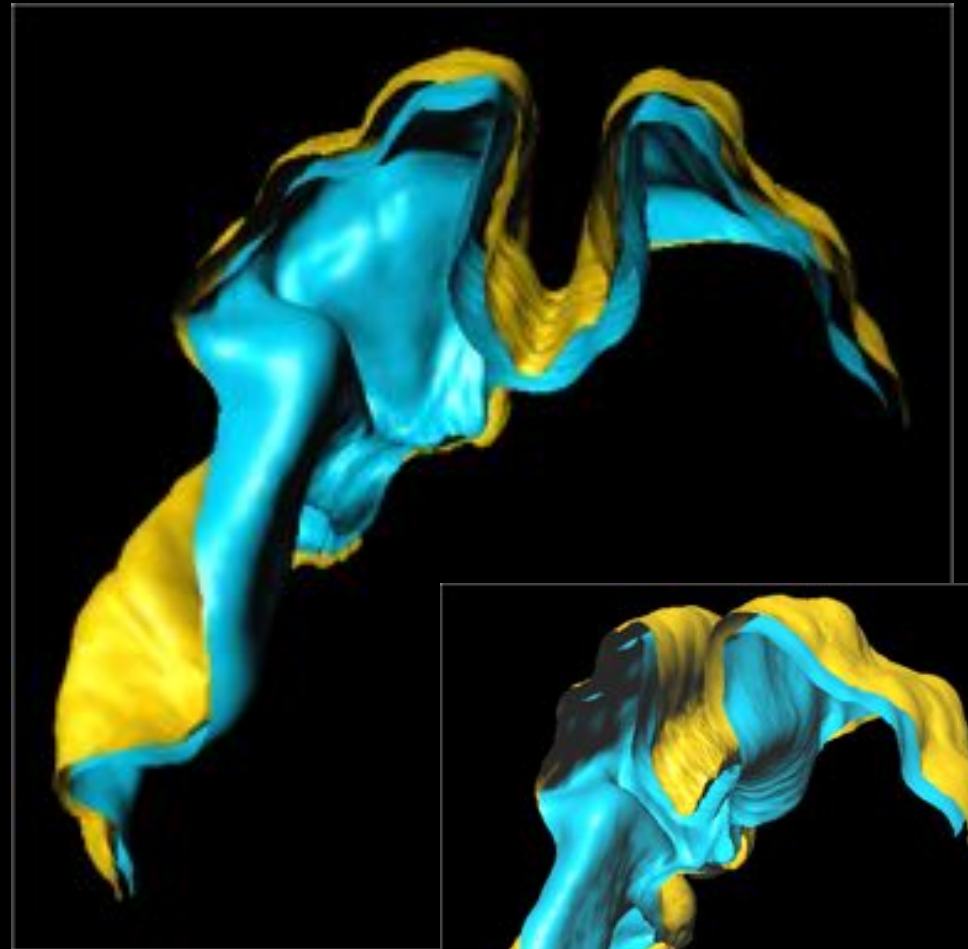
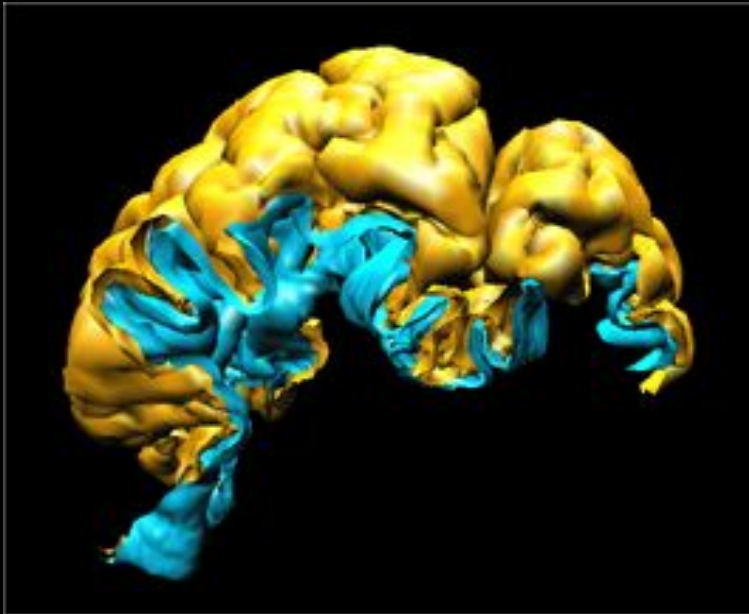


# Heat kernel smoothing

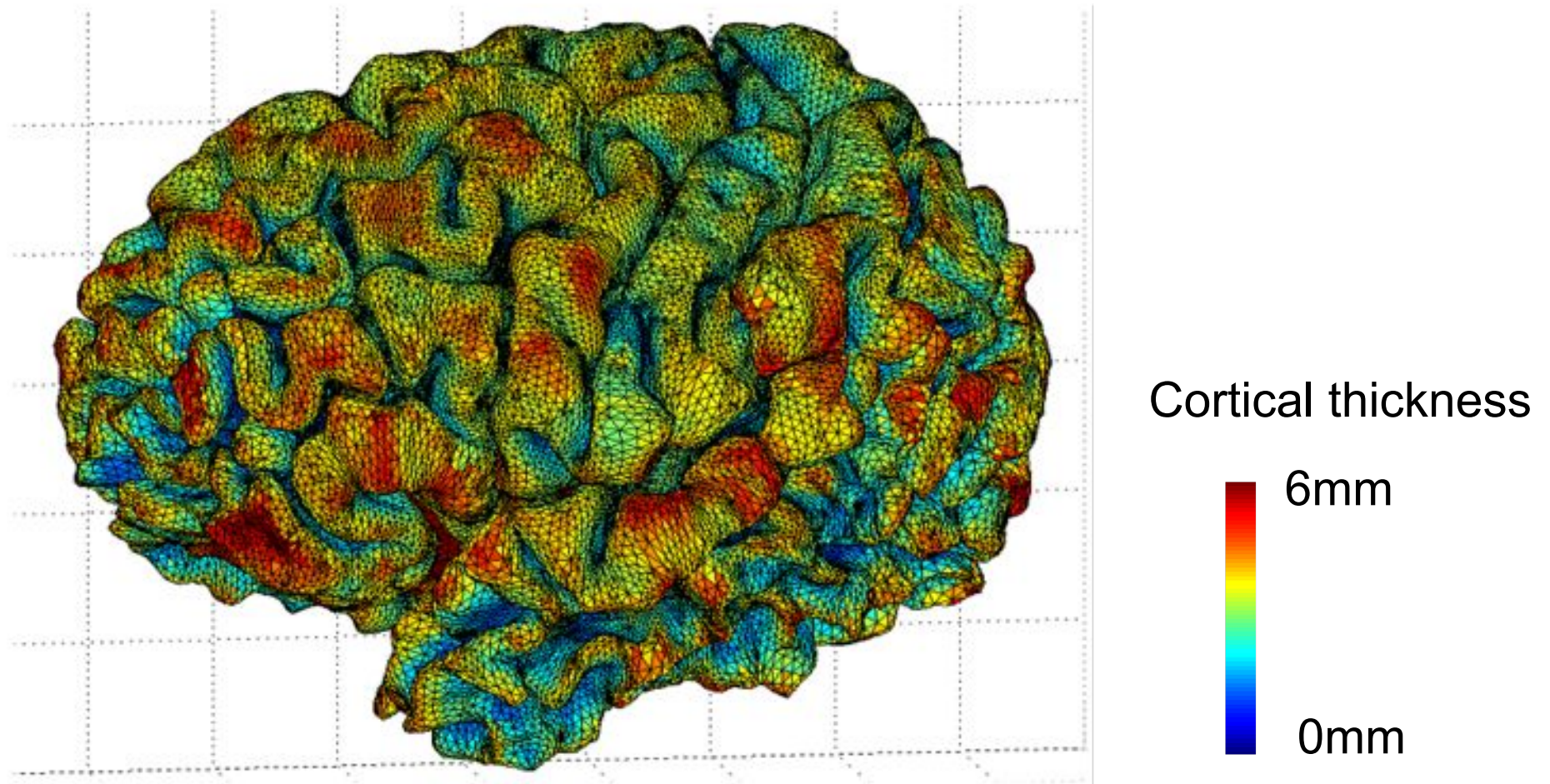




# cortical thickness



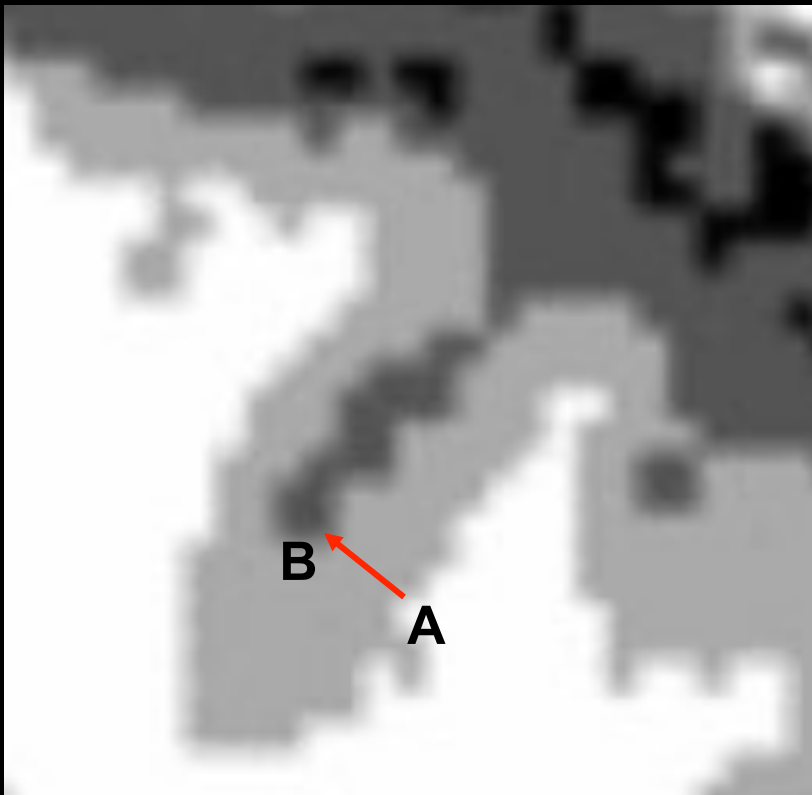
Cortical thickness = most widely used cortical measure



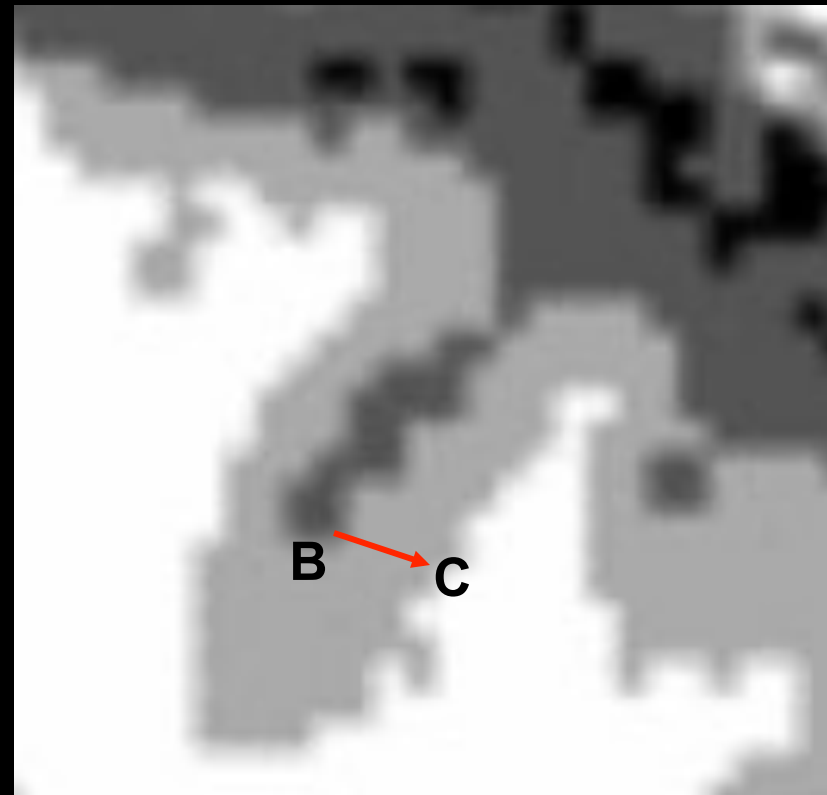
Chung *et al.*, NeuroImage 2003

## Cortical thickness

Inconsistent mathematical definitions. There are at least five different methods of measuring distance between tissue boundaries.



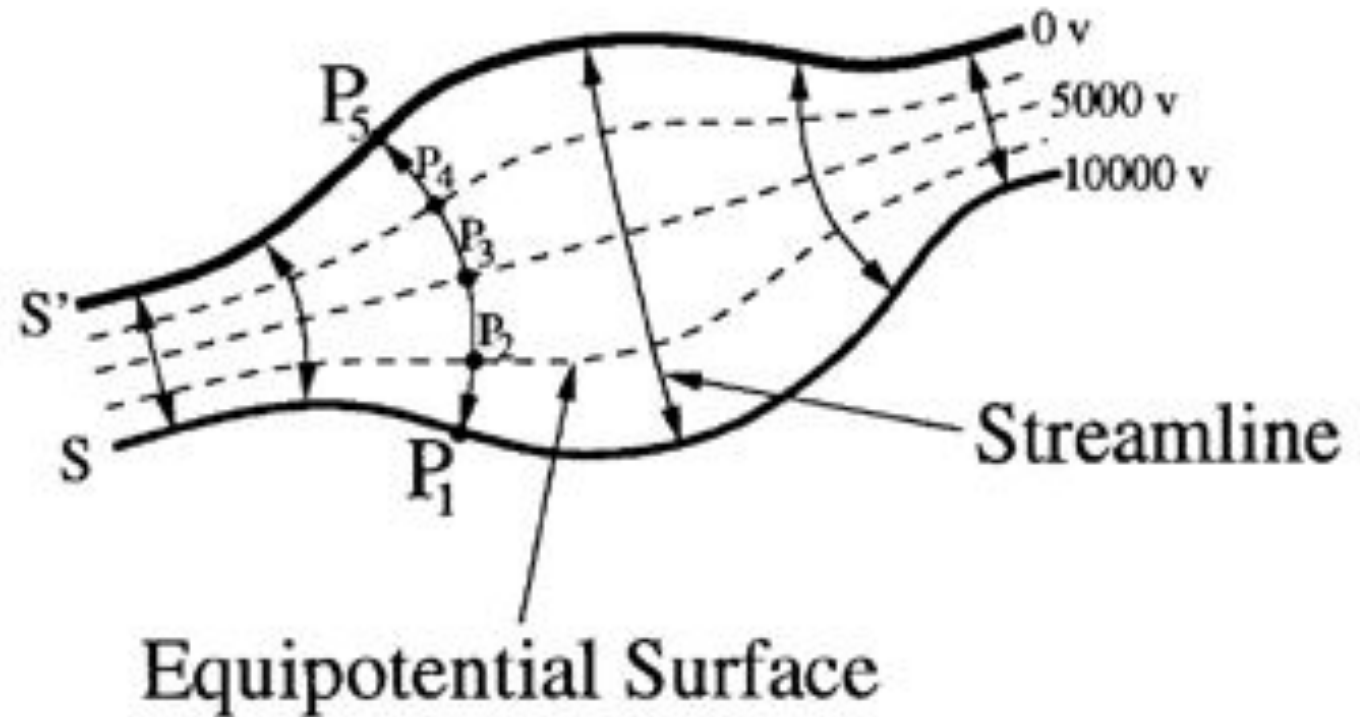
orthogonal projection from A to B



orthogonal projection from B to C

## Laplace equation method for defining cortical thickness

$$\Delta f = 0$$



**Figure 4.**

Two-dimensional example of Laplace's method. Laplace's equation is solved between S and S', which have predetermined boundary conditions of 10,000 V and 0 V, respectively. Three examples of resulting intermediate equipotential surfaces are indicated for 2,500 V, and 5,000 V, and 7,500 V. Field lines connecting S to S' are defined as being everywhere orthogonal to all equipotential surfaces, as exemplified by the line connecting P to P'.



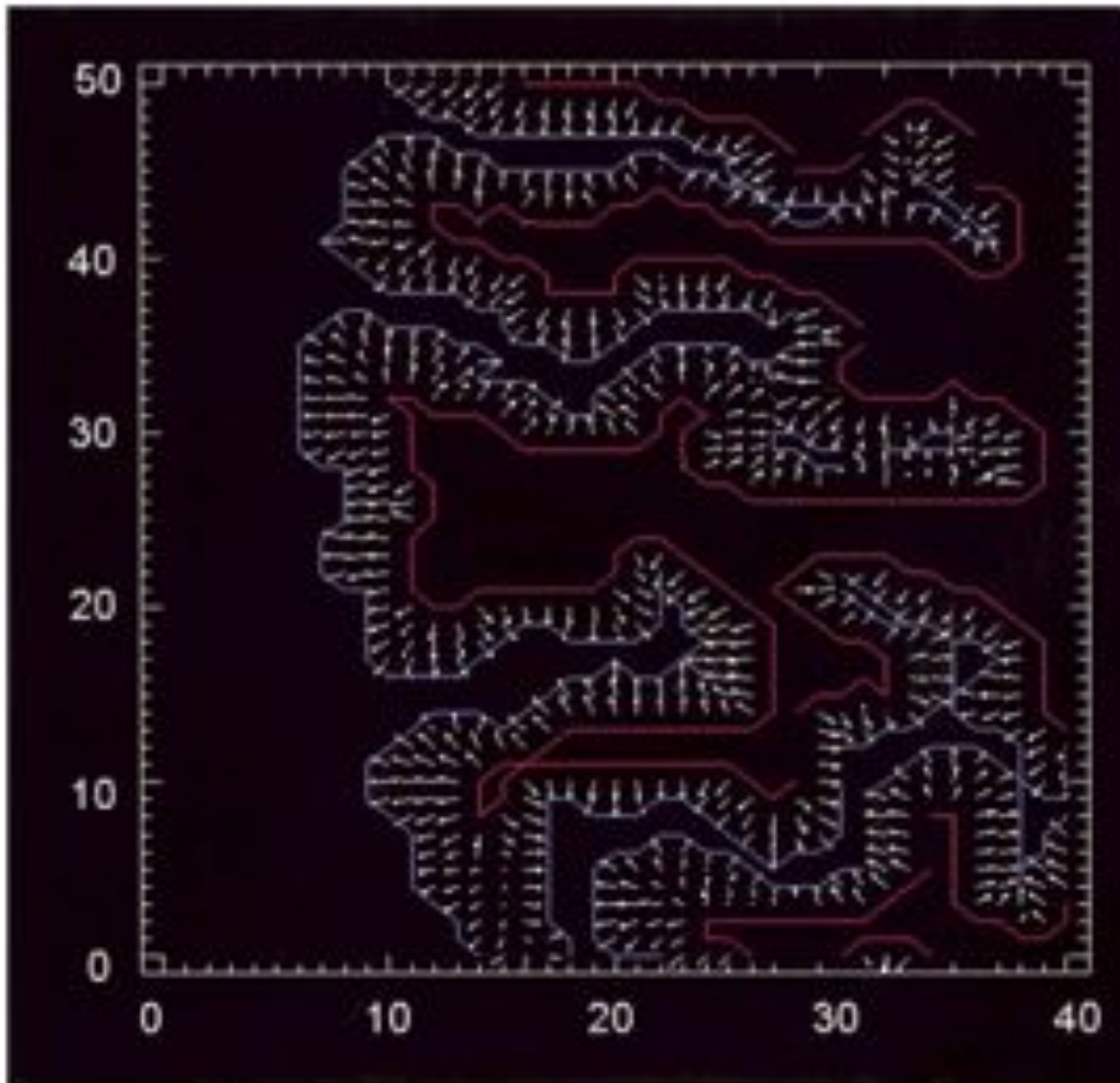


Figure 7.

Close-up example of gradients of Laplace's solution in an axial plane from real data. The blue line represents the gray-white junction, and the red line represents the gray-CSF junction. The small arrows are projections of the gradient vectors in the axial plane. These arrows are tangent to the streamlines connecting the two surfaces. Arrows appear short when they are projecting predominantly out of the axial plane [e.g., at position (15,5)]. The gradients are insensitive to small segmentation errors as seen but the sulcal discontinuity at position (30,29).

Thickness



Sagittal

mm

5.0

4.0

3.0

2.0

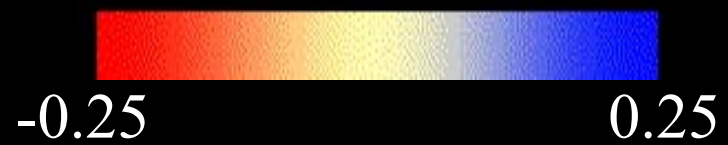
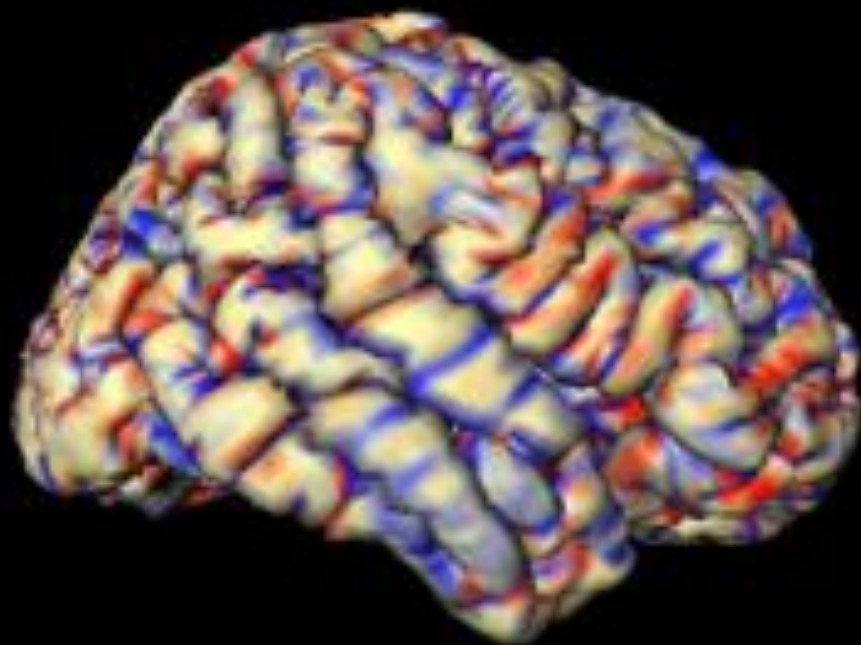




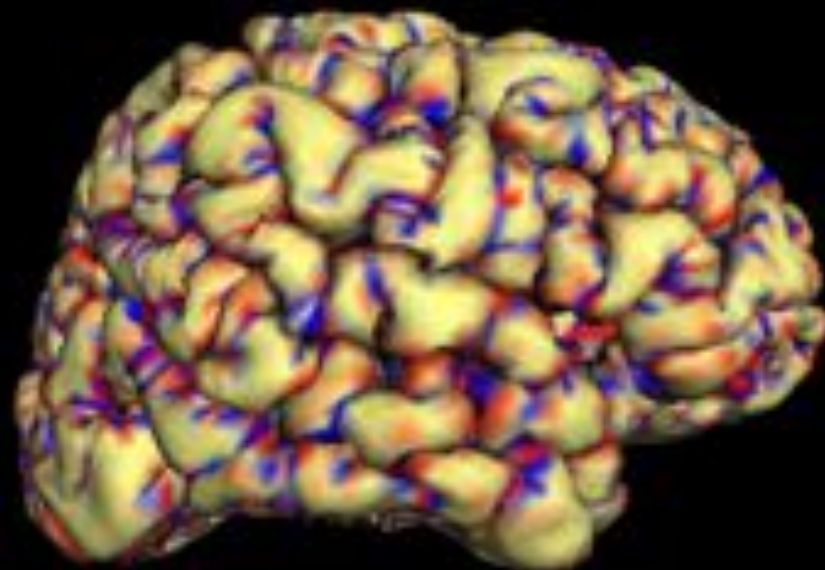
# Geometric computation

Geometric quantities such as curvatures, length, area, volume have been often used in characterizing brain shape.

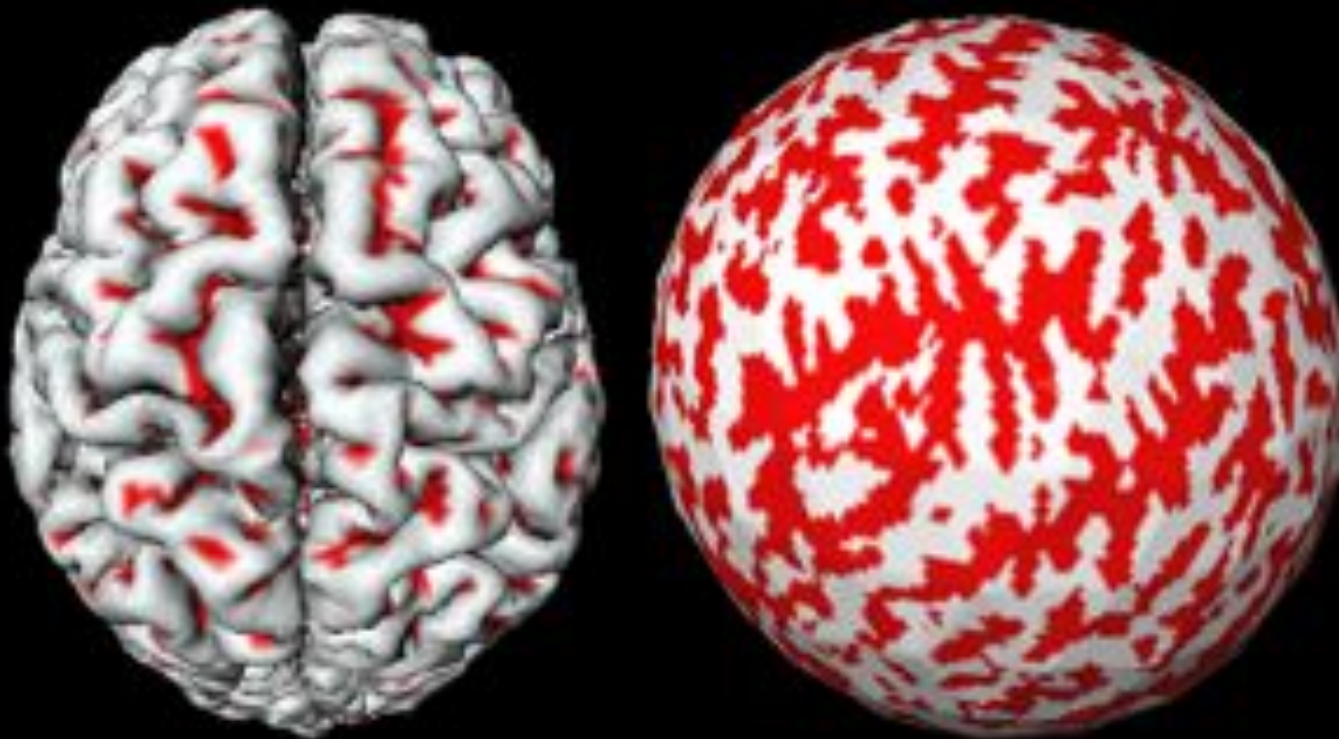
## Mean Curvature



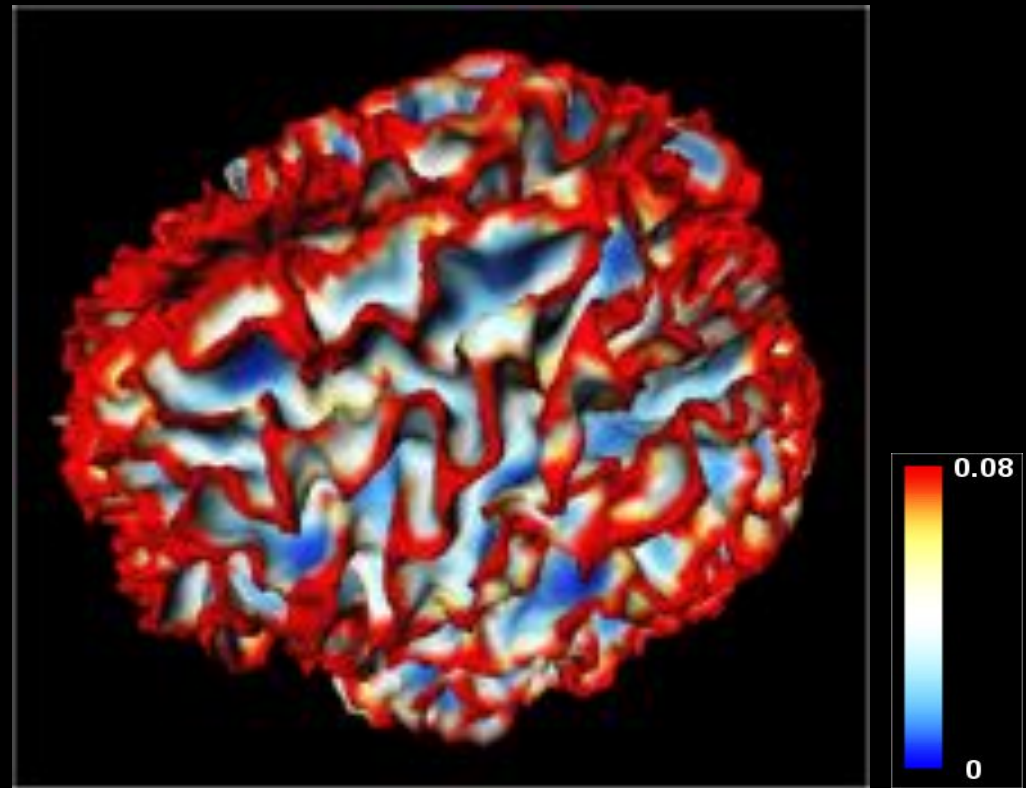
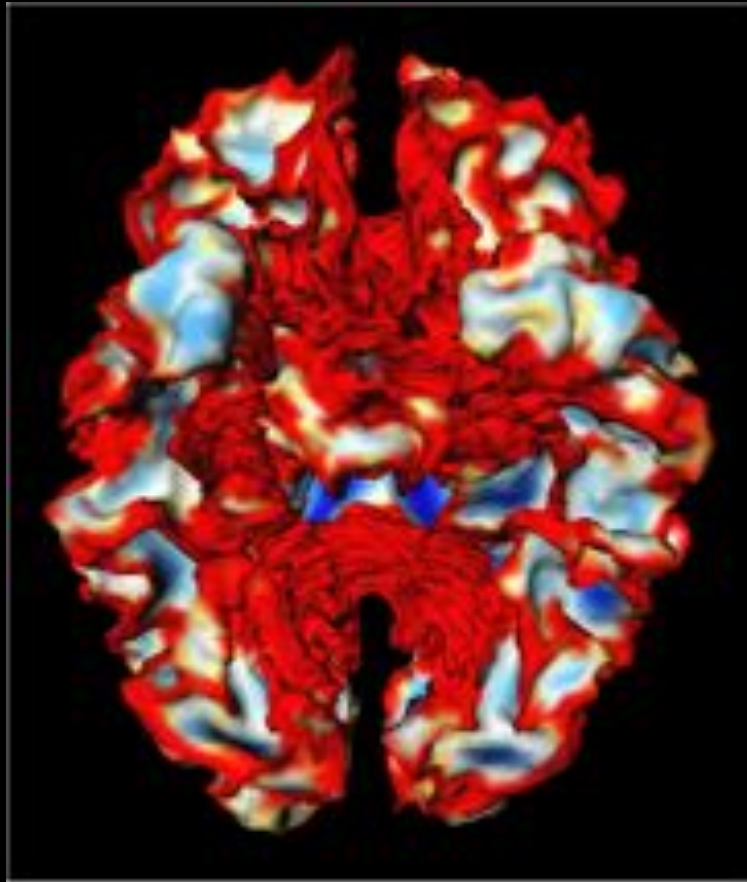
## Gaussian Curvature



Mean curvature can be used to quantify sulcal pattern



## Application of curvature measure: Tensor-based morphometry (TBM)



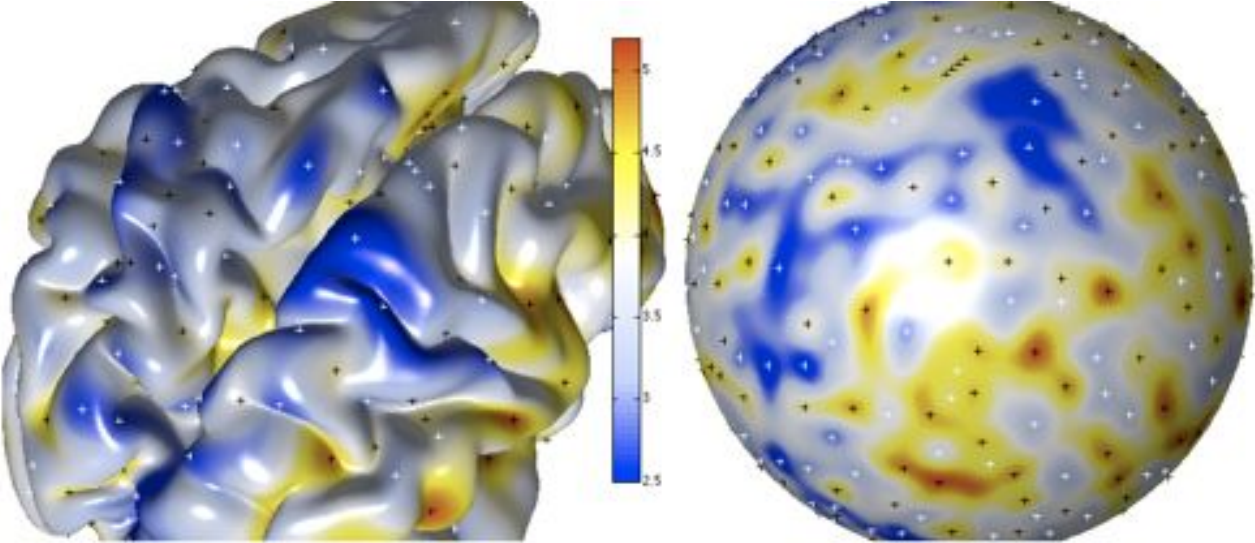
**Thin-plate spline energy** can be used to measure the curvature of the surface. Between ages 12 and 16, it increases both locally and globally.

# Topological computation

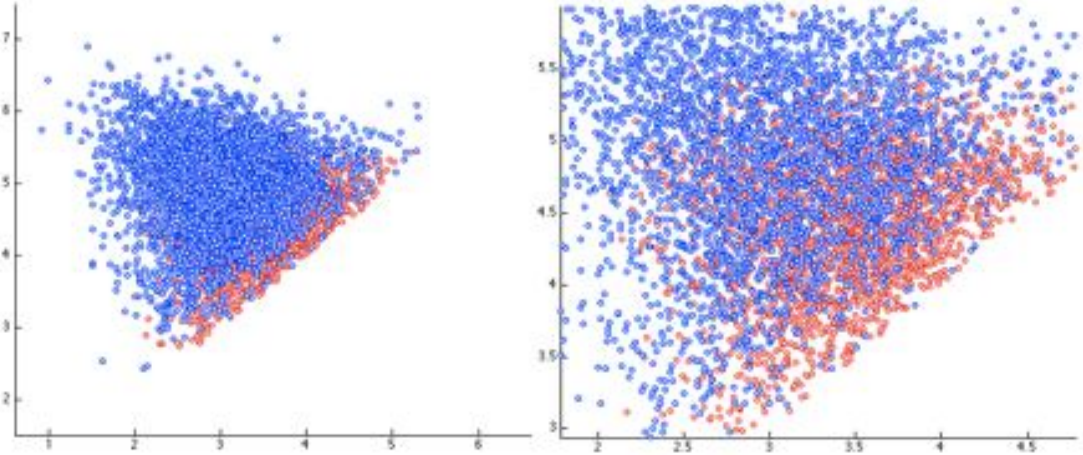
Topological properties are invariant under shape deformation. So topological invariants can be used to characterize an object of interest.



# Topological metric obtained from cortical thickness



**Topological data reduction**





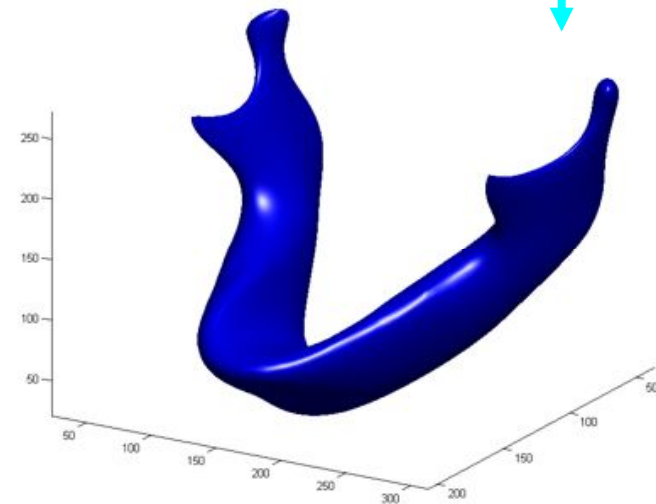
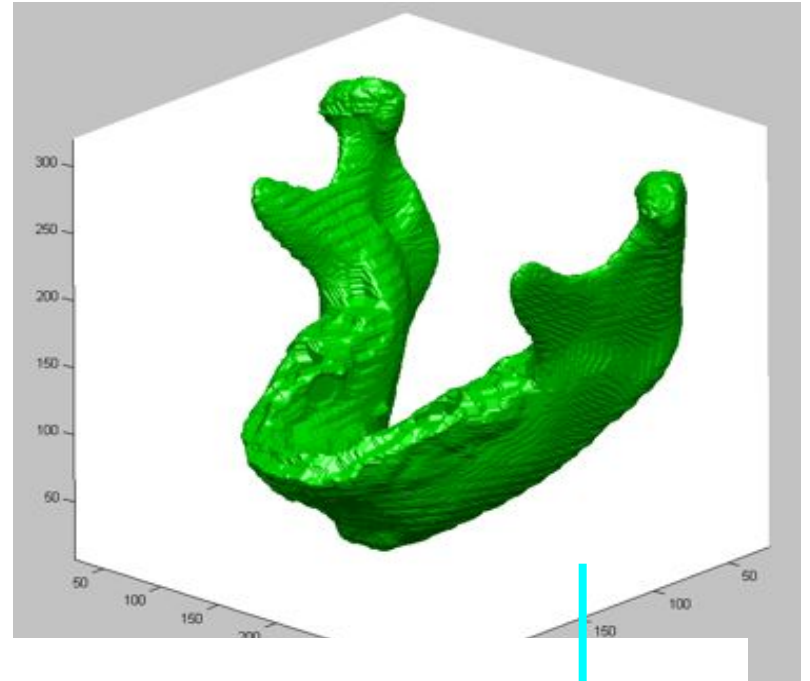
# Topology correction in images



Histogram  
thresholding



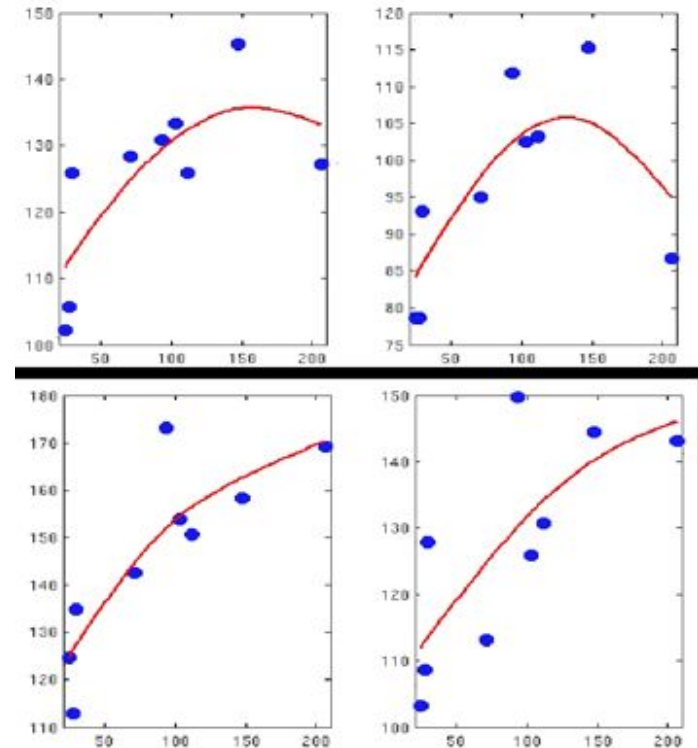
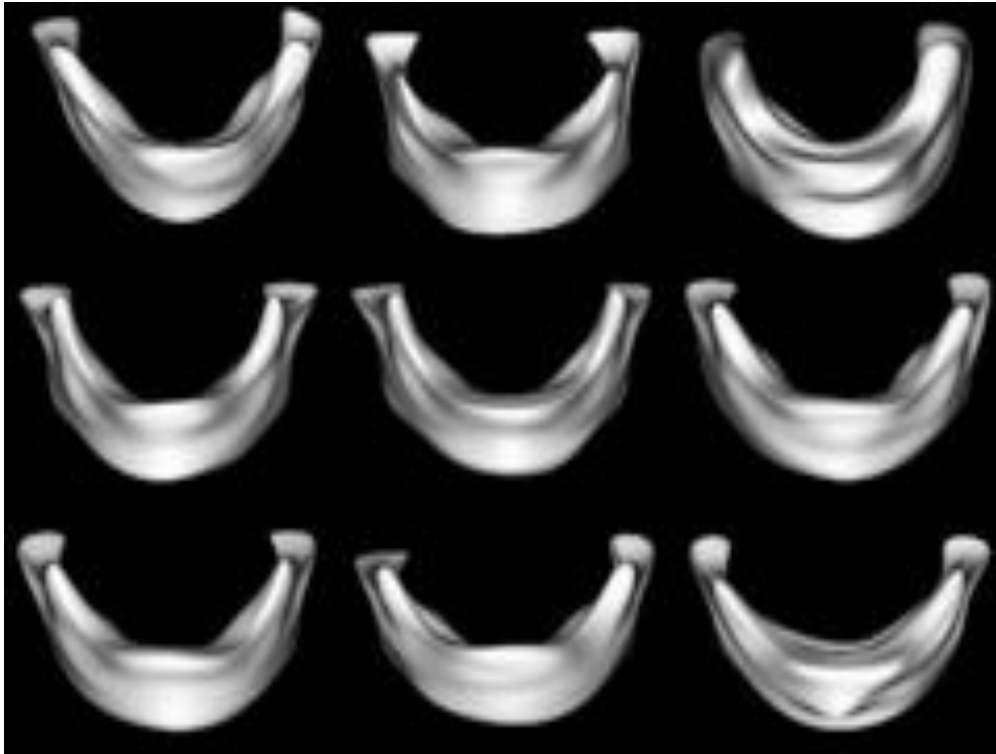
Hole  
patching



Automatic hole patching is necessary to construct surface topologically equivalent to sphere.

Approximately 20,000 triangle elements

# Mandible surface growth modeling



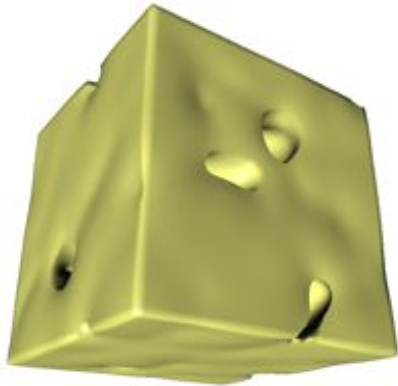
Quadratic fit of 9 male subjects over time in one particular point on the mandible surface

# Worsley's random field theory based approach

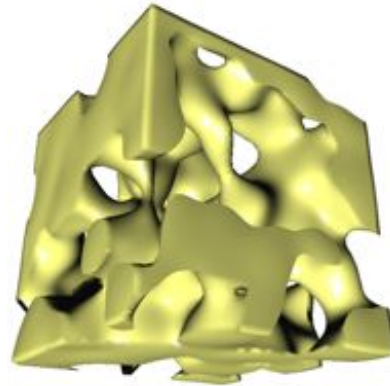
$Z(x)$ : Stationary isotropic random field in  $x \in \Omega \subset \mathbb{R}^N$

$A_z = \{x : Z(x) > z\}$  excursion set

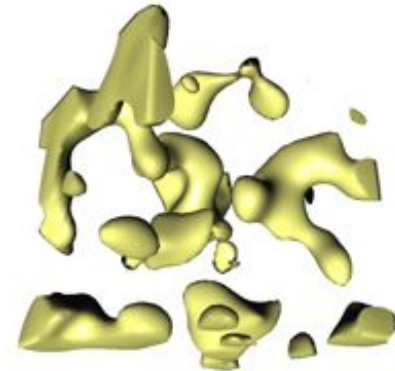
$\chi(A_z)$ : Euler characteristic



$z = -10$



$z = 0$



$z = 10$

$$P\left(\max_{x \in \Omega} Z(x) > z\right) \approx \mathbb{E}\left(\chi(A_z)\right)$$

(Adler, 1984)

# T random field on manifolds

$$P\left(\max_{\mathbf{x} \in \partial\Omega_{atlas}} T(\mathbf{x}) \geq y\right) \approx 2\rho_0(y) + \|\partial\Omega_{atlas}\| \rho_2(y)$$

Euler characteristic density

$$\rho_0(y) = \int_y^\infty \frac{\Gamma(\frac{n}{2})}{((n-1)\pi)^{1/2} \Gamma(\frac{n-1}{2})} \left(1 + \frac{y^2}{n-1}\right)^{-n/2} dy,$$

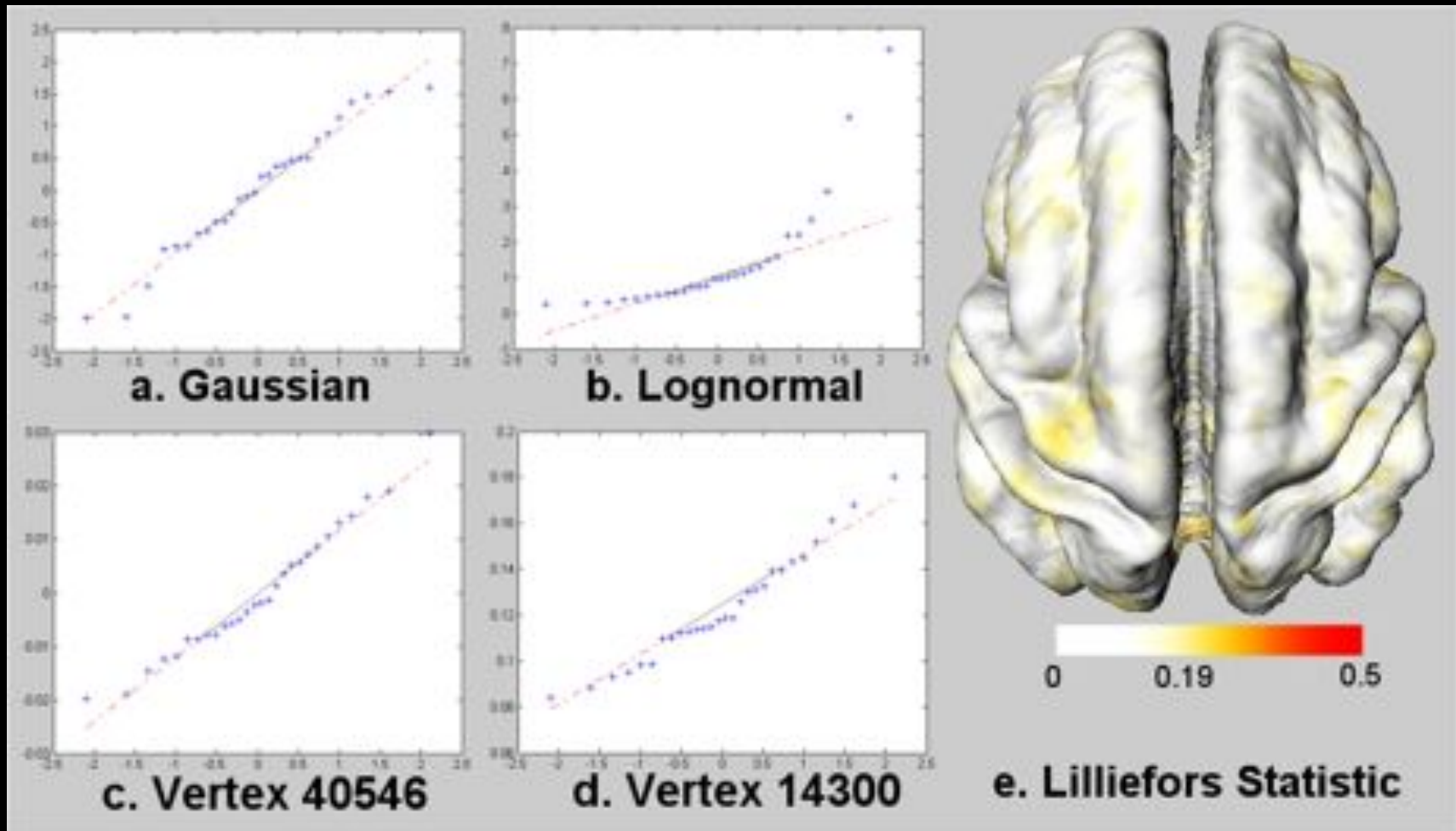
$$\rho_2(y) = \frac{1}{FWHM^2} \frac{4 \ln 2}{(2\pi)^{3/2}} \frac{\Gamma(\frac{n}{2})}{(\frac{n-1}{2})^{1/2} \Gamma(\frac{n-1}{2})} y \left(1 + \frac{y^2}{n-1}\right)^{-(n-2)/2}$$



Worsley (1995, NeuroImage)

FWHM of smoothing kernel or residual field

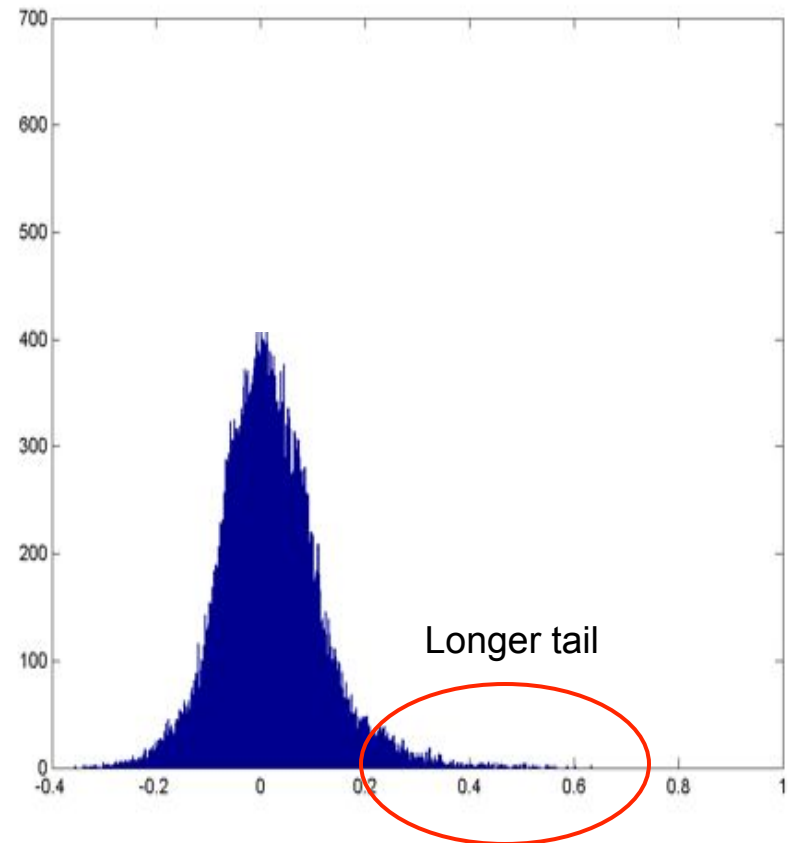
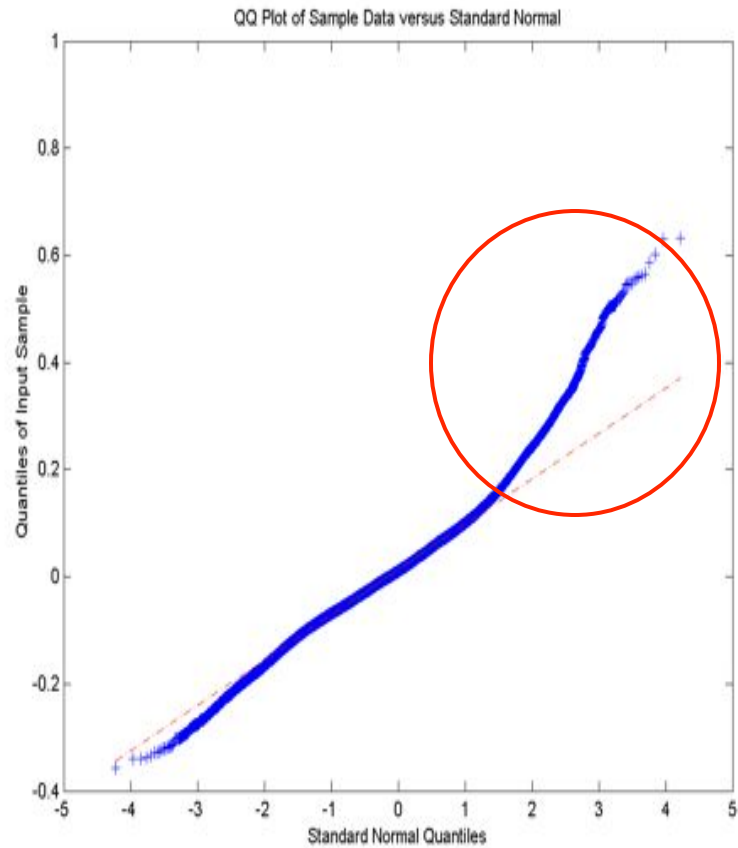
# Gaussianity may not be satisfied

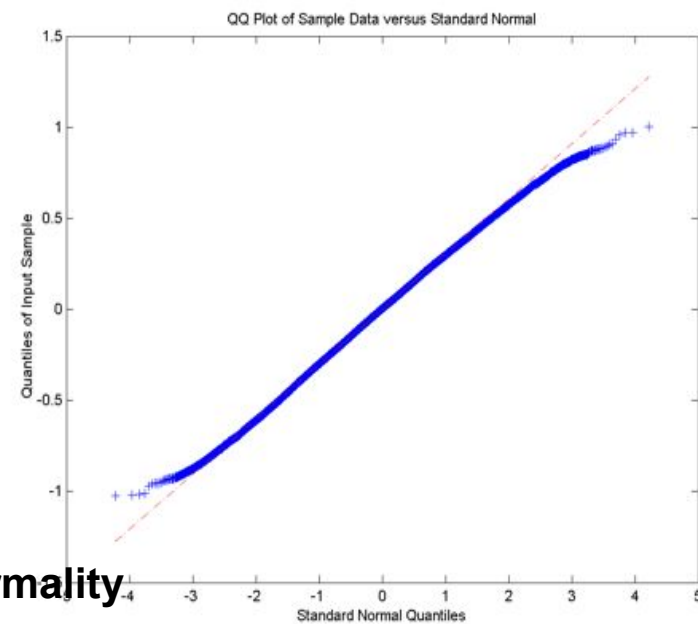
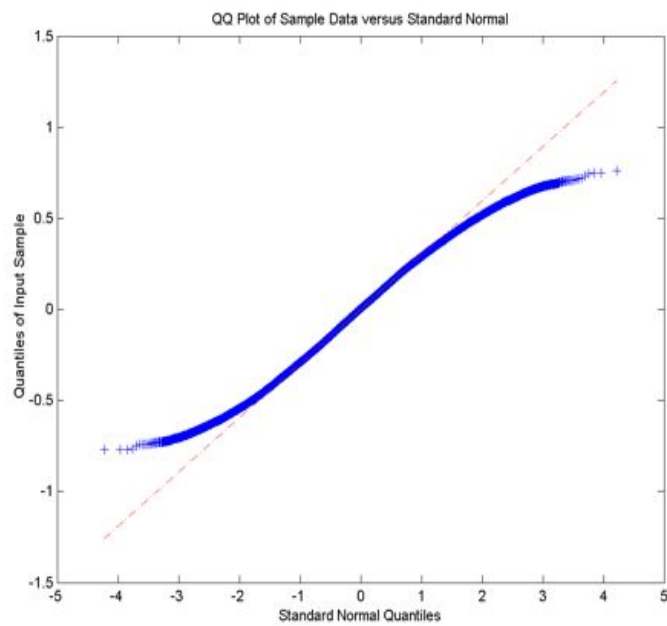


Checking normality of imaging measures

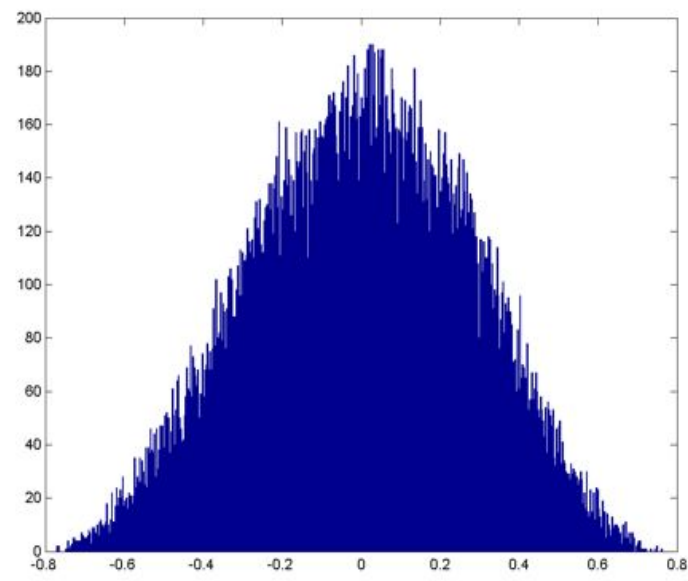


# Quantile-Quantile (QQ) plot showing asymmetric distribution

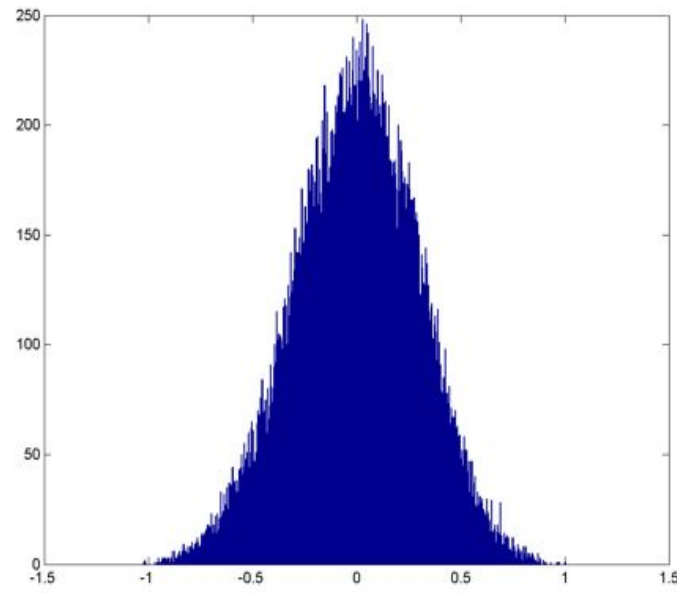




Increasing normality  
of data

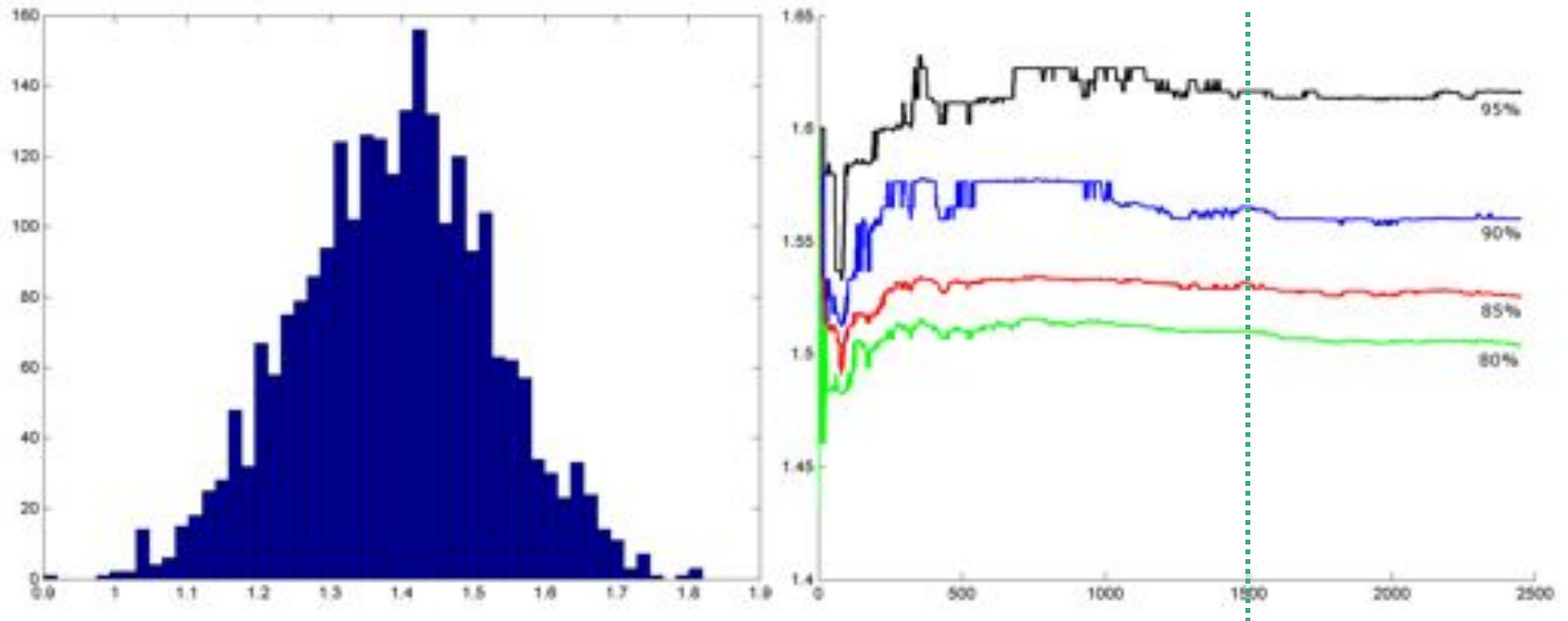


Fisher's  
Z transform  
on correlation



# Permutation test

model free statistical inference

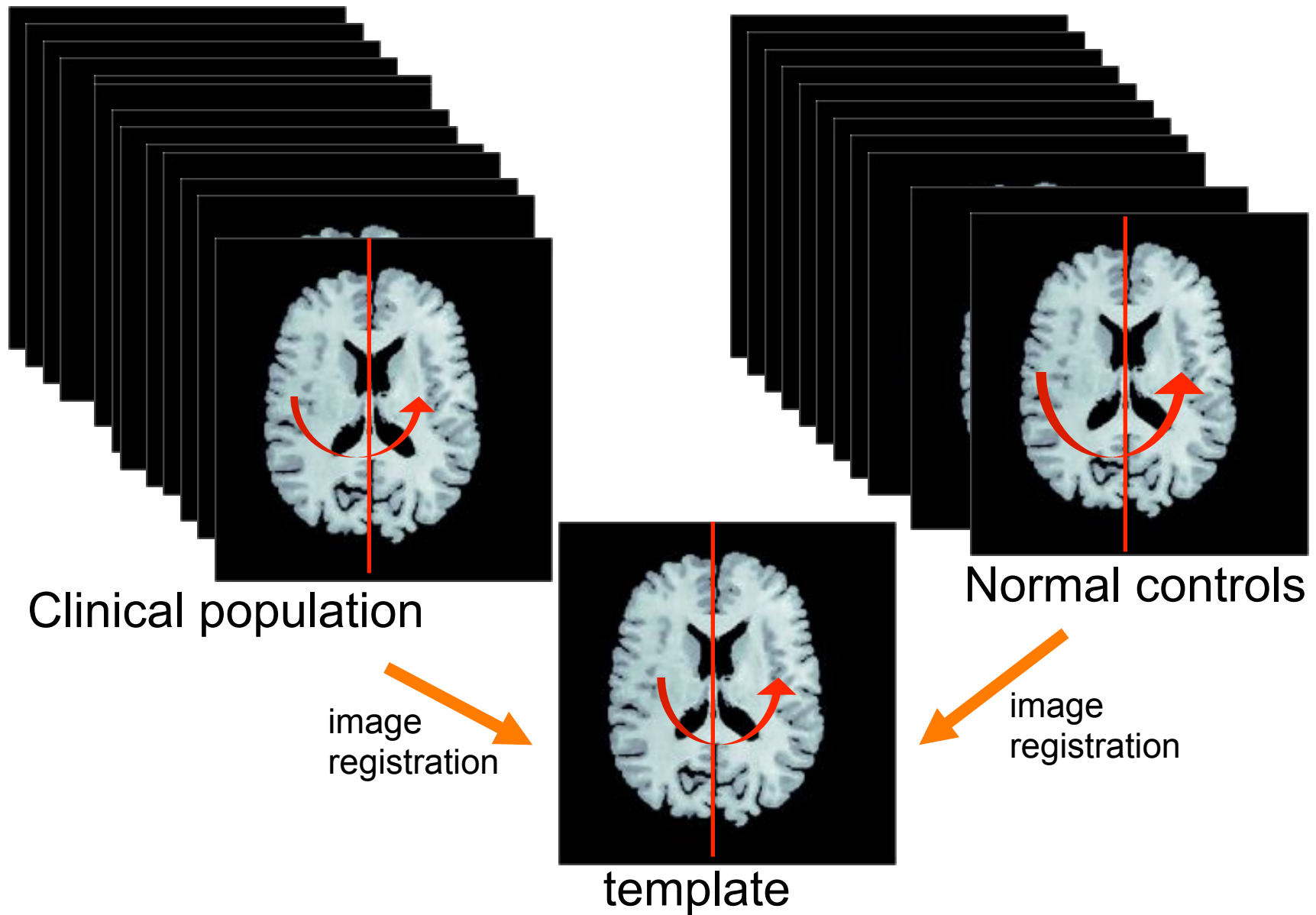


More than 1500 permutations are needed to guarantee the convergence of the thresholding. 8 hours of running time in MATLAB.

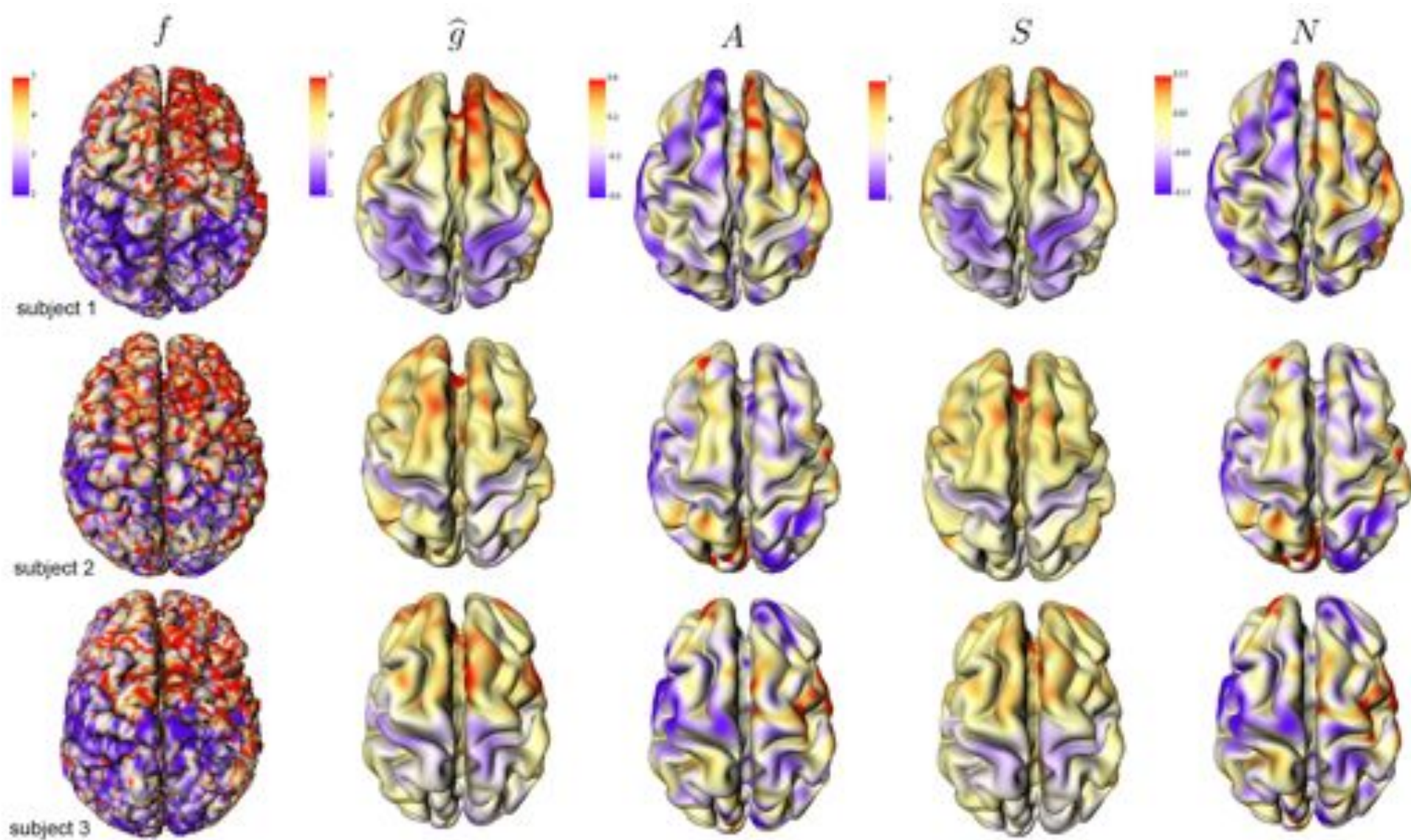
# Logistic discriminant analysis

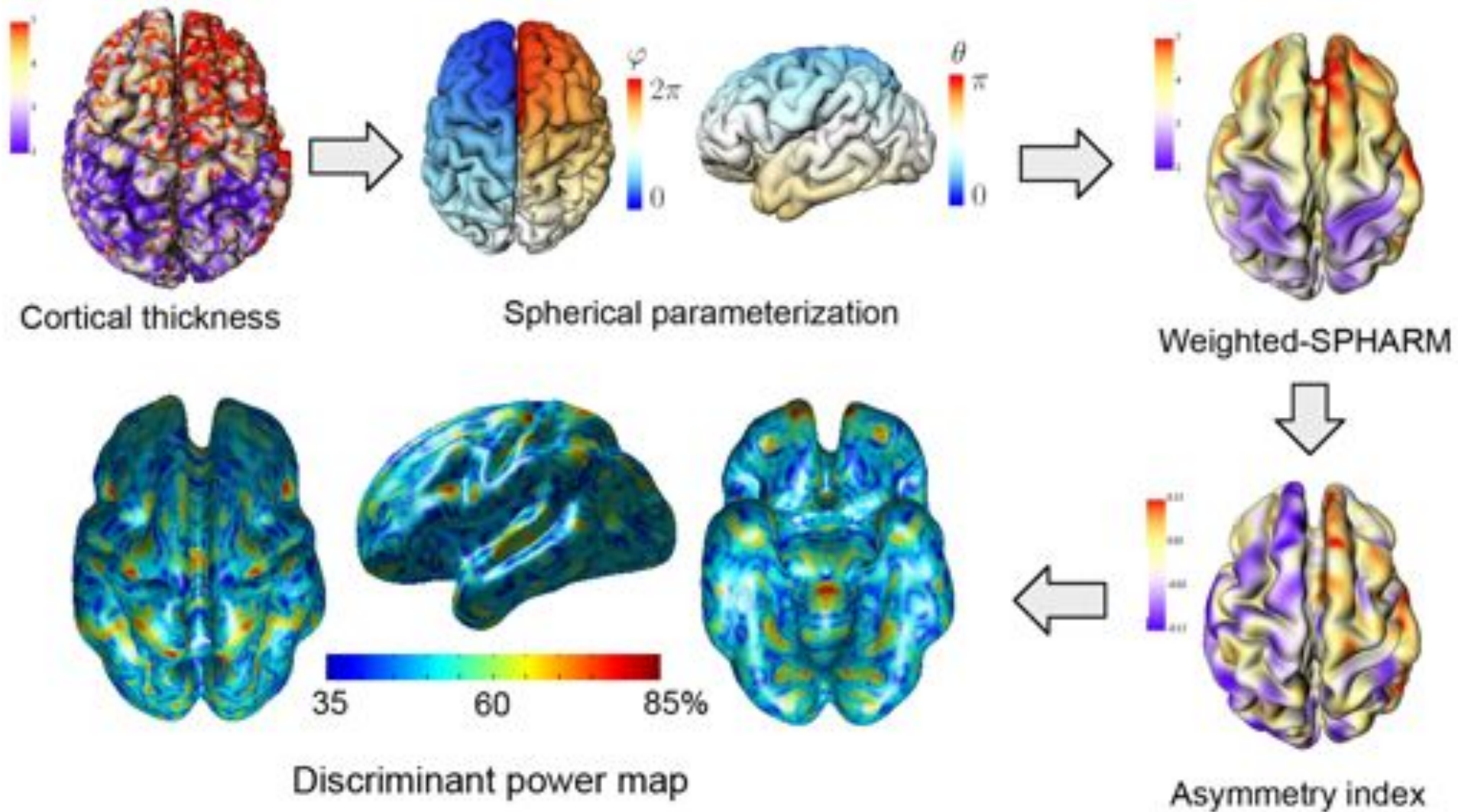
Based on logistic regression that connects categorical variables to continuous variables, we can perform a discriminant analysis

# asymmetry analysis framework











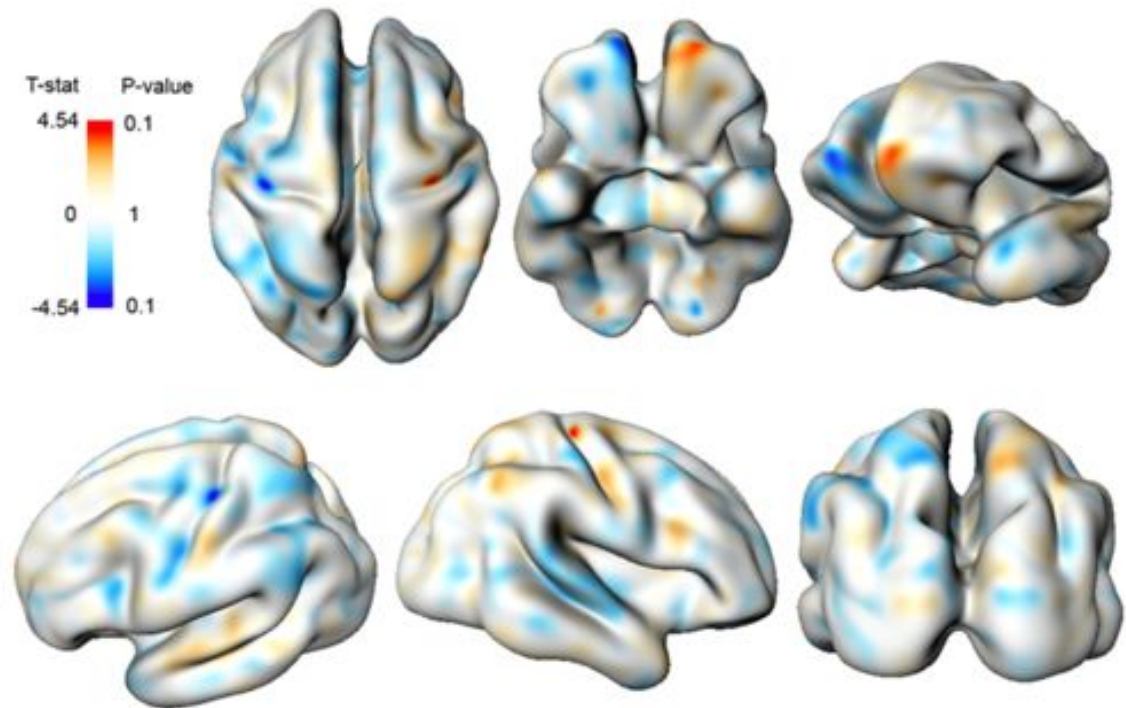
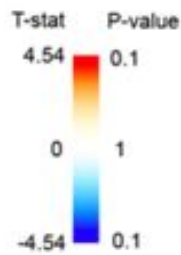
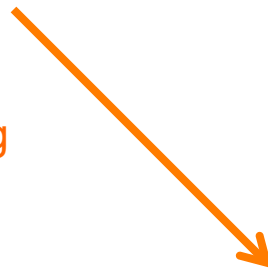
# Statistical Parametric Map

multiple comparison correction via the random field theory  
(Worsley et al. 1995) → not so trivial

$$P(\sup_{p \in \partial\Omega} Z(p) > h) \approx \sum_{d=0}^2 \phi_d(\partial\Omega) \rho_d(h)$$

> 40000 correlated hypotheses

Very involving  
mathematical  
derivation



T-stat resulting showing  
group difference  
between autism and  
control

Hypothesis & P-value free approach

# Discriminant Power Map

Logistic  
model

$$\log \frac{\pi_i}{1 - \pi_i} = \beta_0 + \beta_1 n_i$$

Probability of autism

Asymmetry index

Classification  
rule:

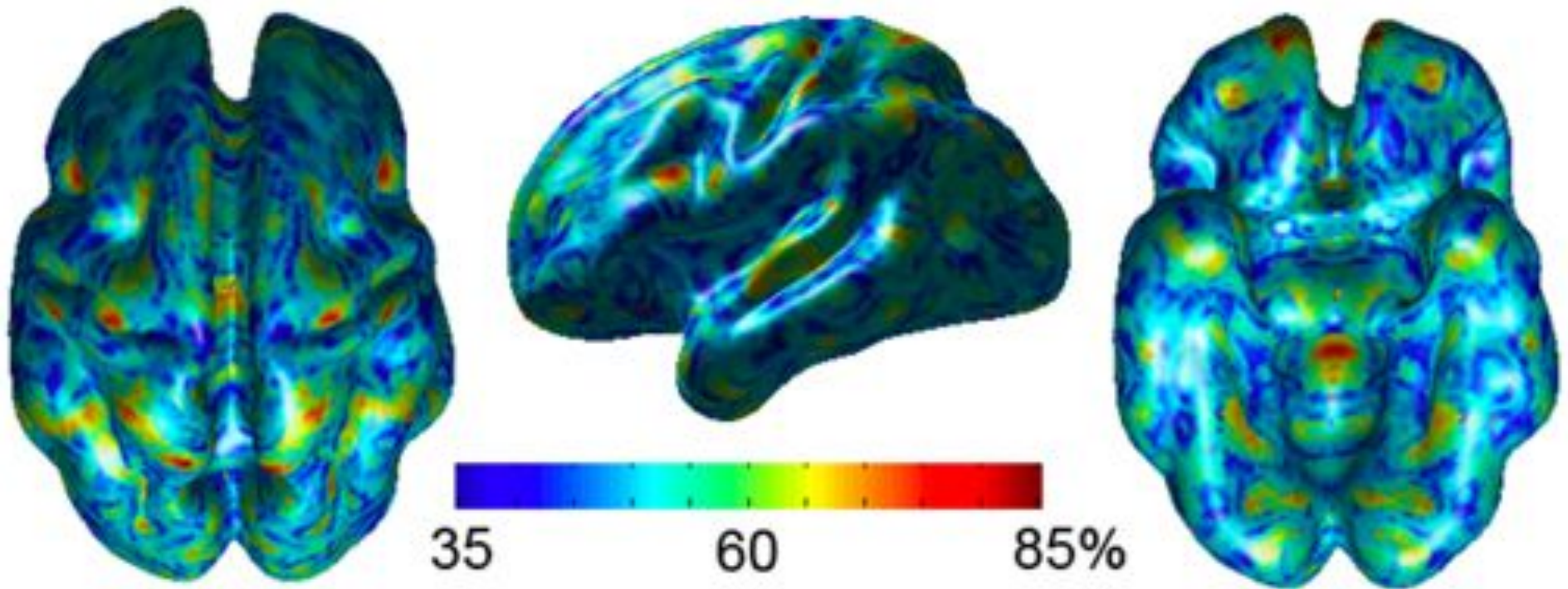
$$\pi_i > \frac{1}{2}$$

Leave-one-out  
cross-validation

Classification  
error rate

# Discriminant Power Map

= 1 - error rate

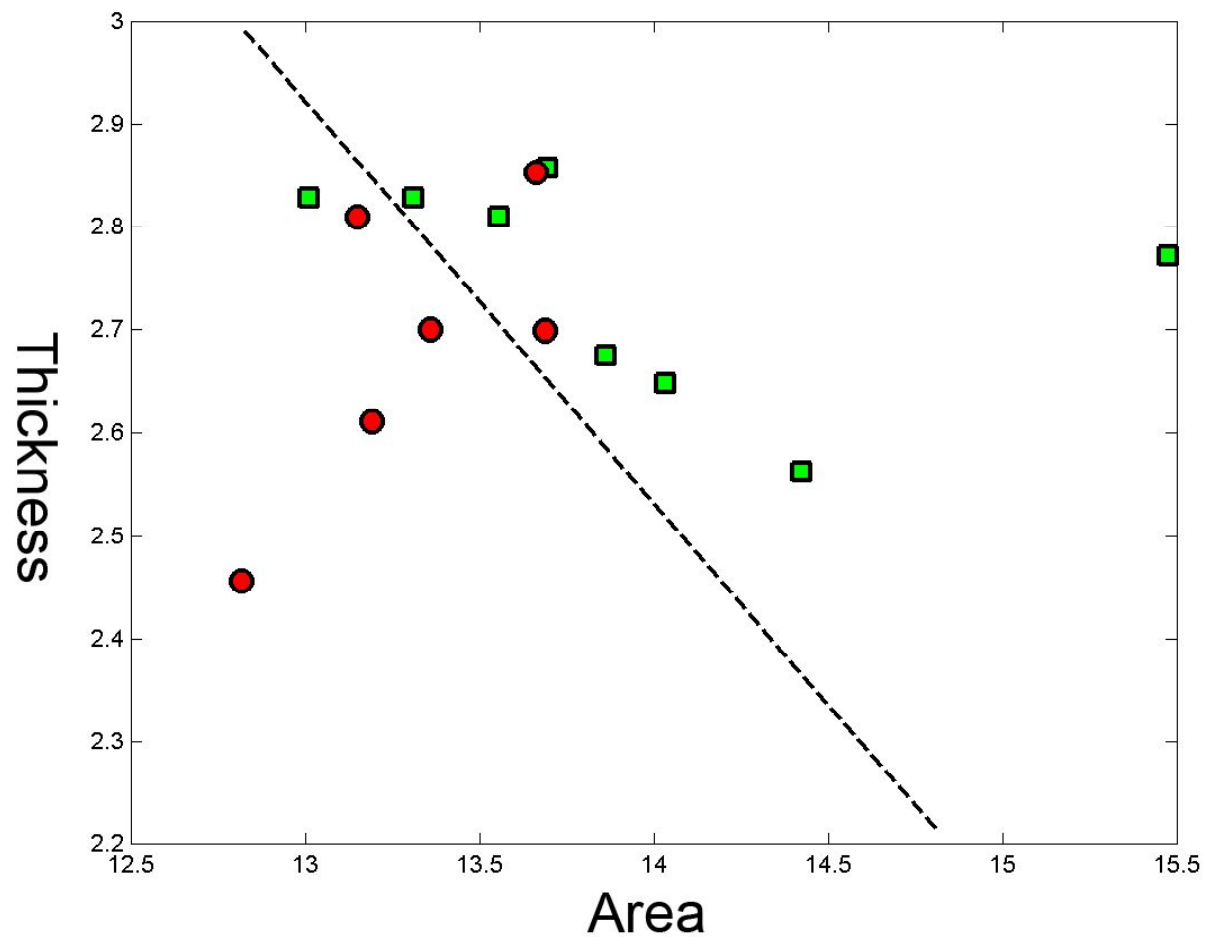


Avoid the traditional hypothesis driven approach  
No need to compute P-value → No need for random field theory

Adaboost version with spatial dependency constrain  
Singh et al., MICCAI 2008.



## Classification for imaging biomarkers via logistic discriminant analysis



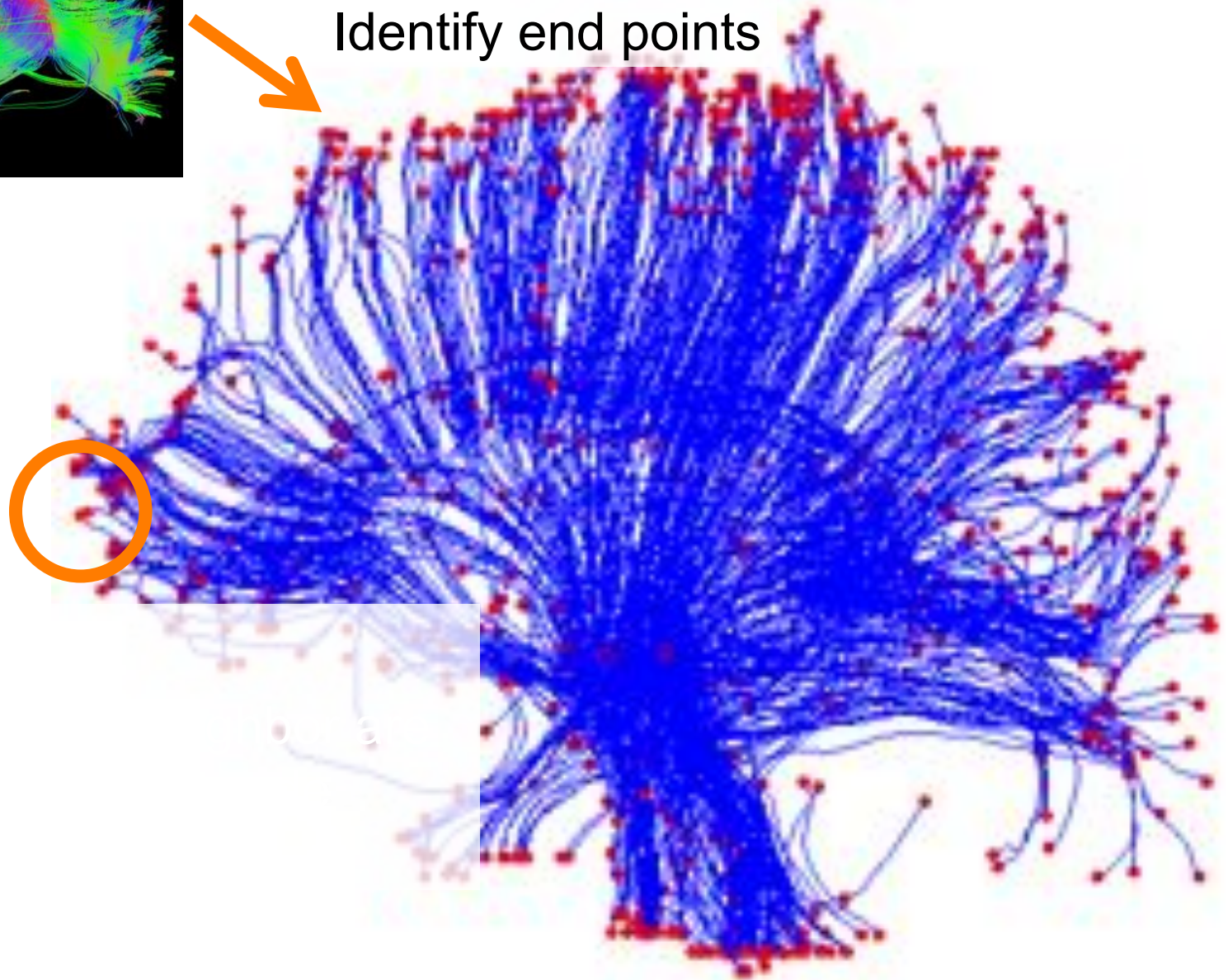
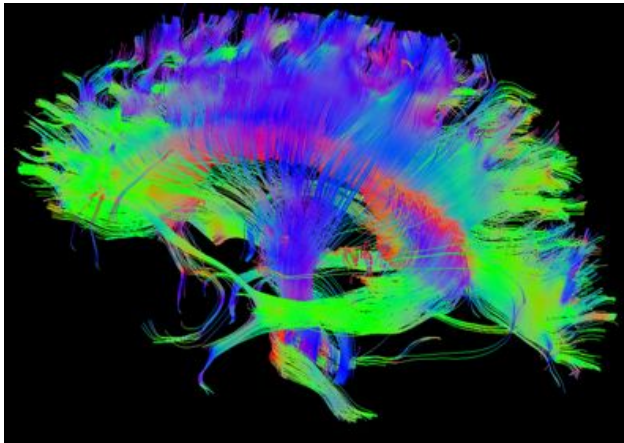
Red: mild cognition impairment (MCI)  
Green: elderly normal controls

# Brain Network Analysis

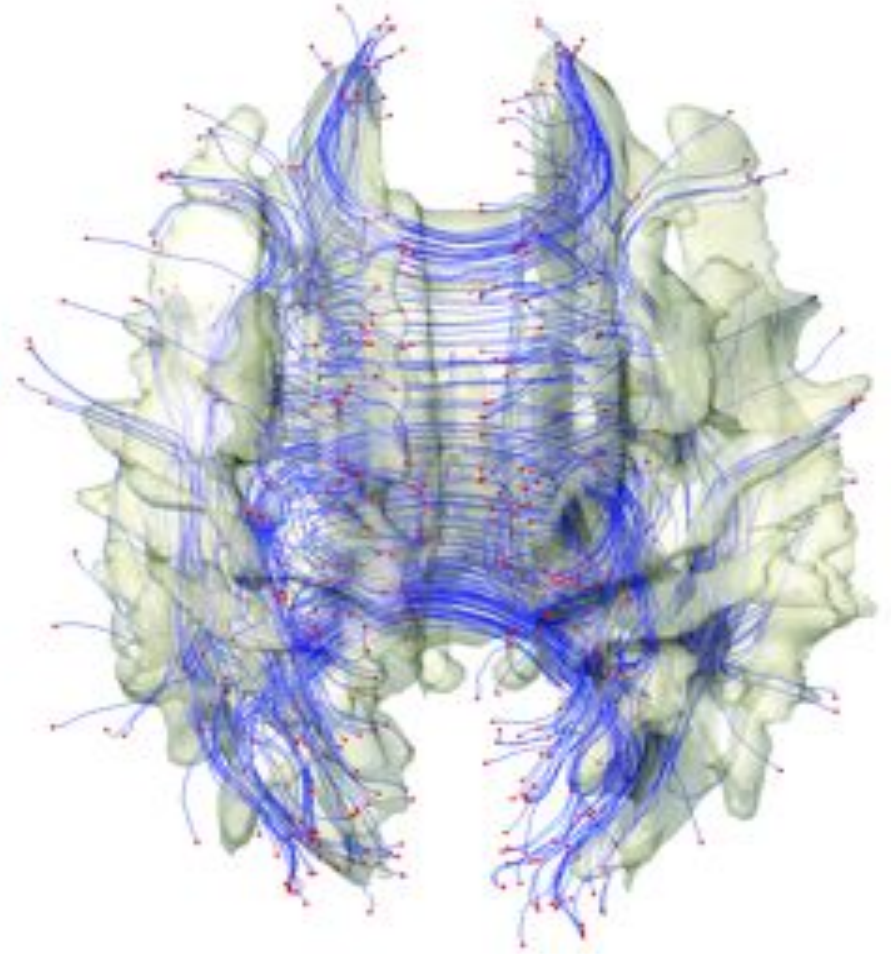
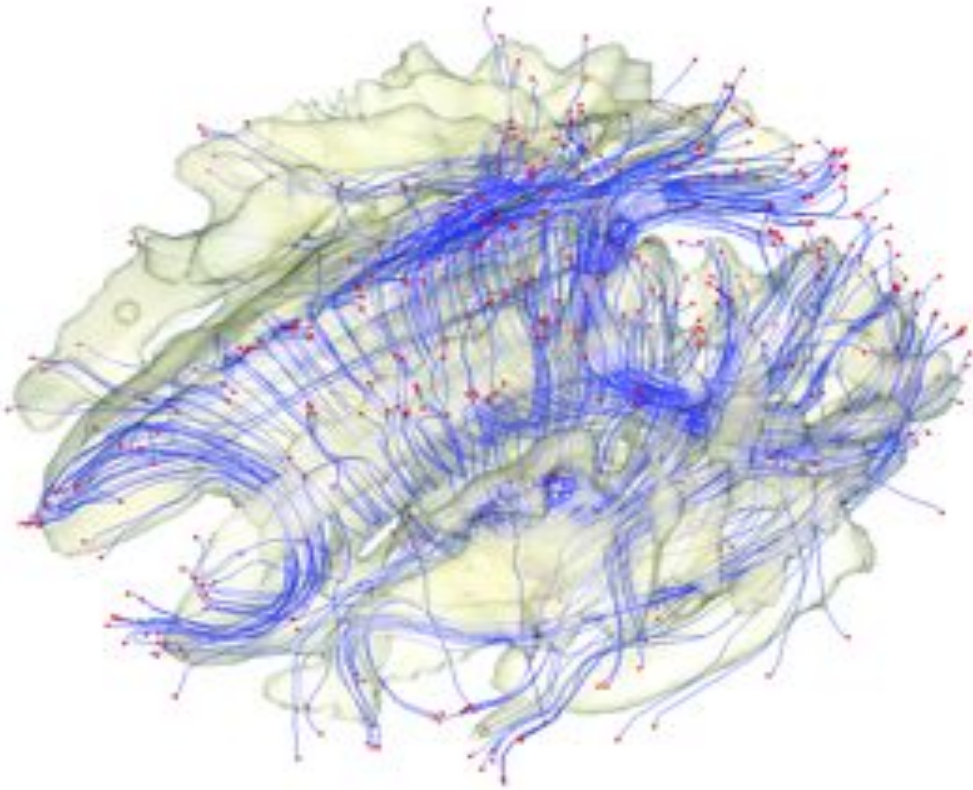
Graph theoretic approach to brain connectivity analysis. Various topological invariants are used to characterize brain connectivity.

# Graph construction

Identify end points

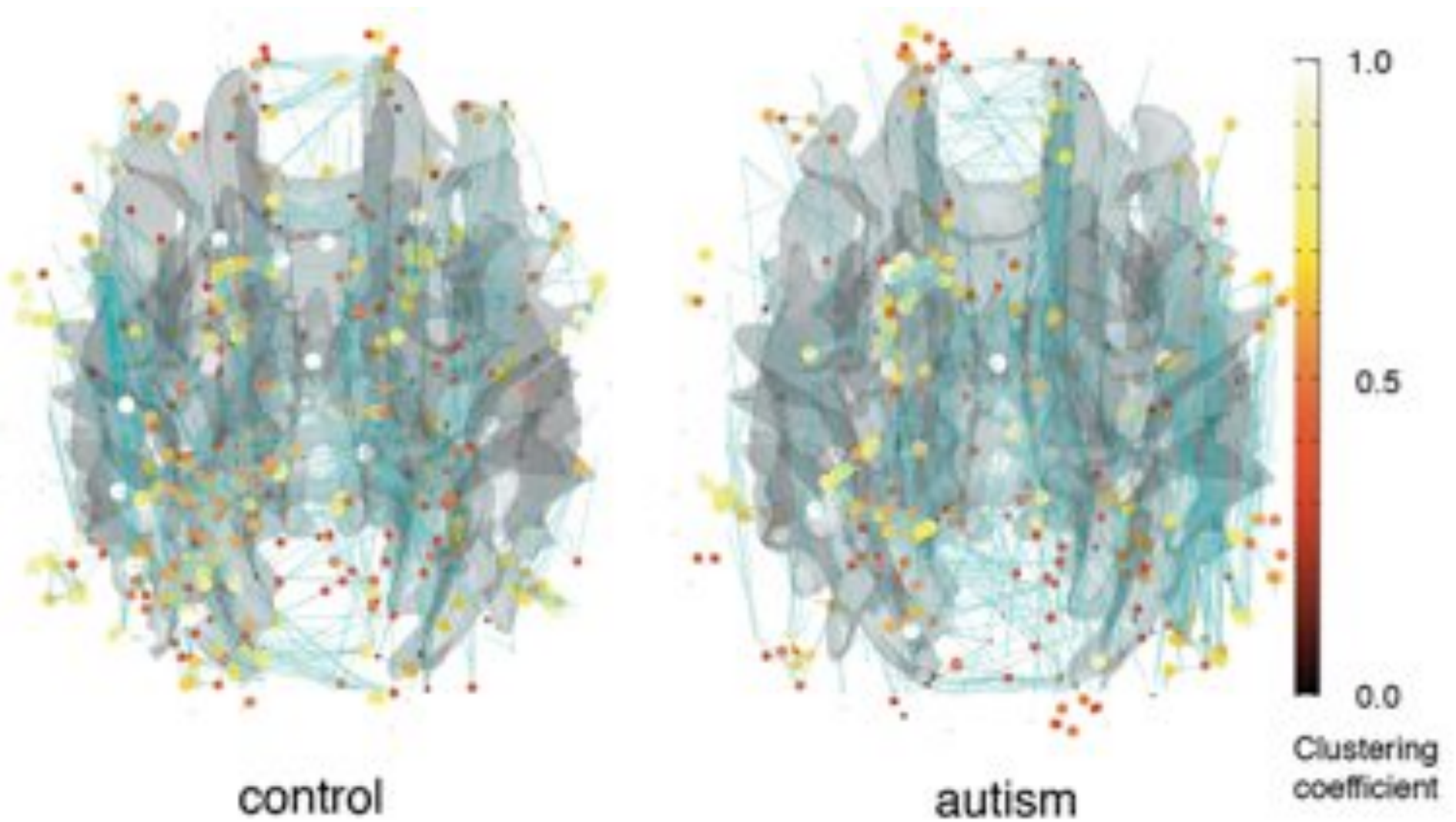


# End points of tracts



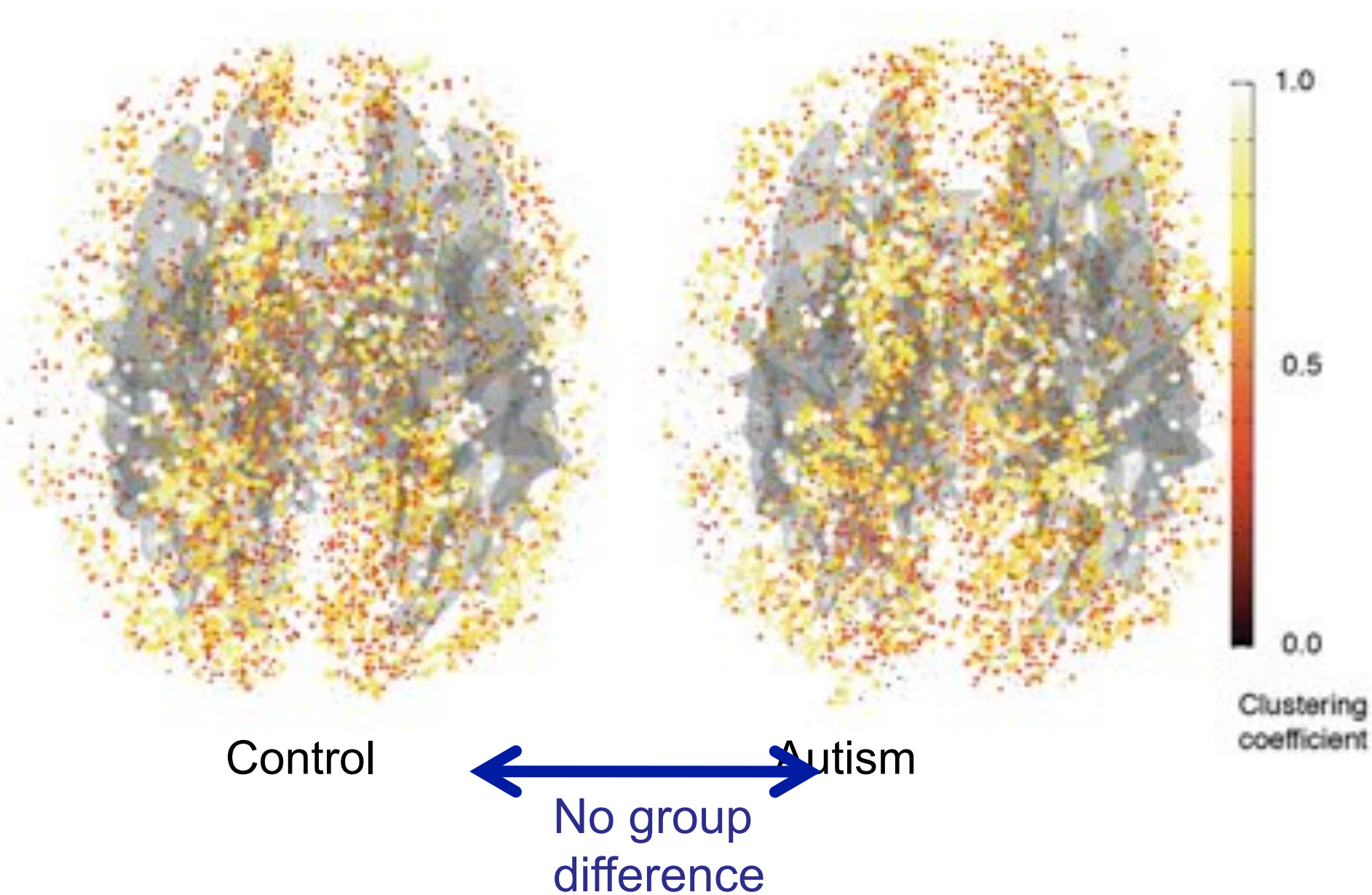


# Clustering coefficient

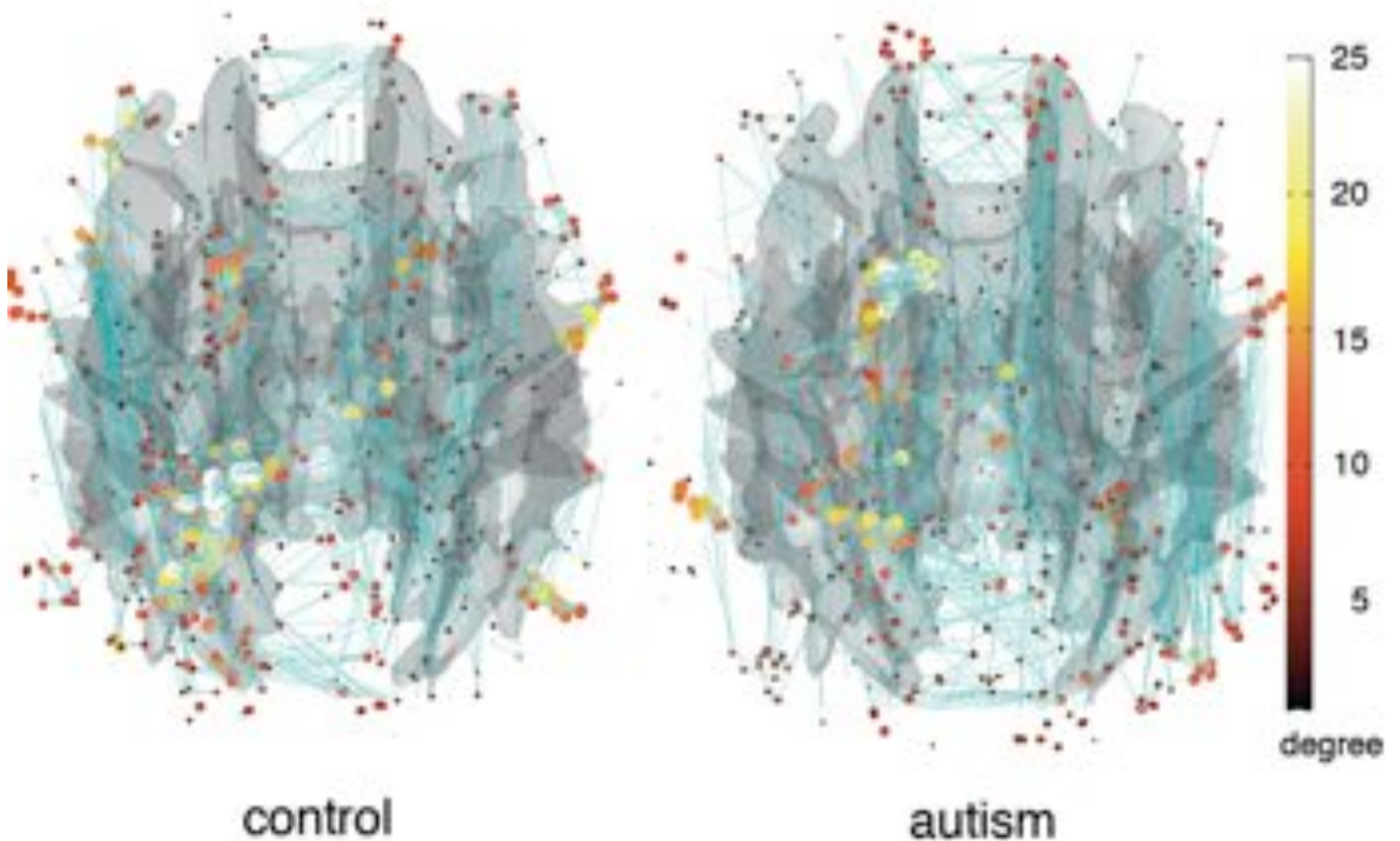




# Clustering coefficients for all subjects

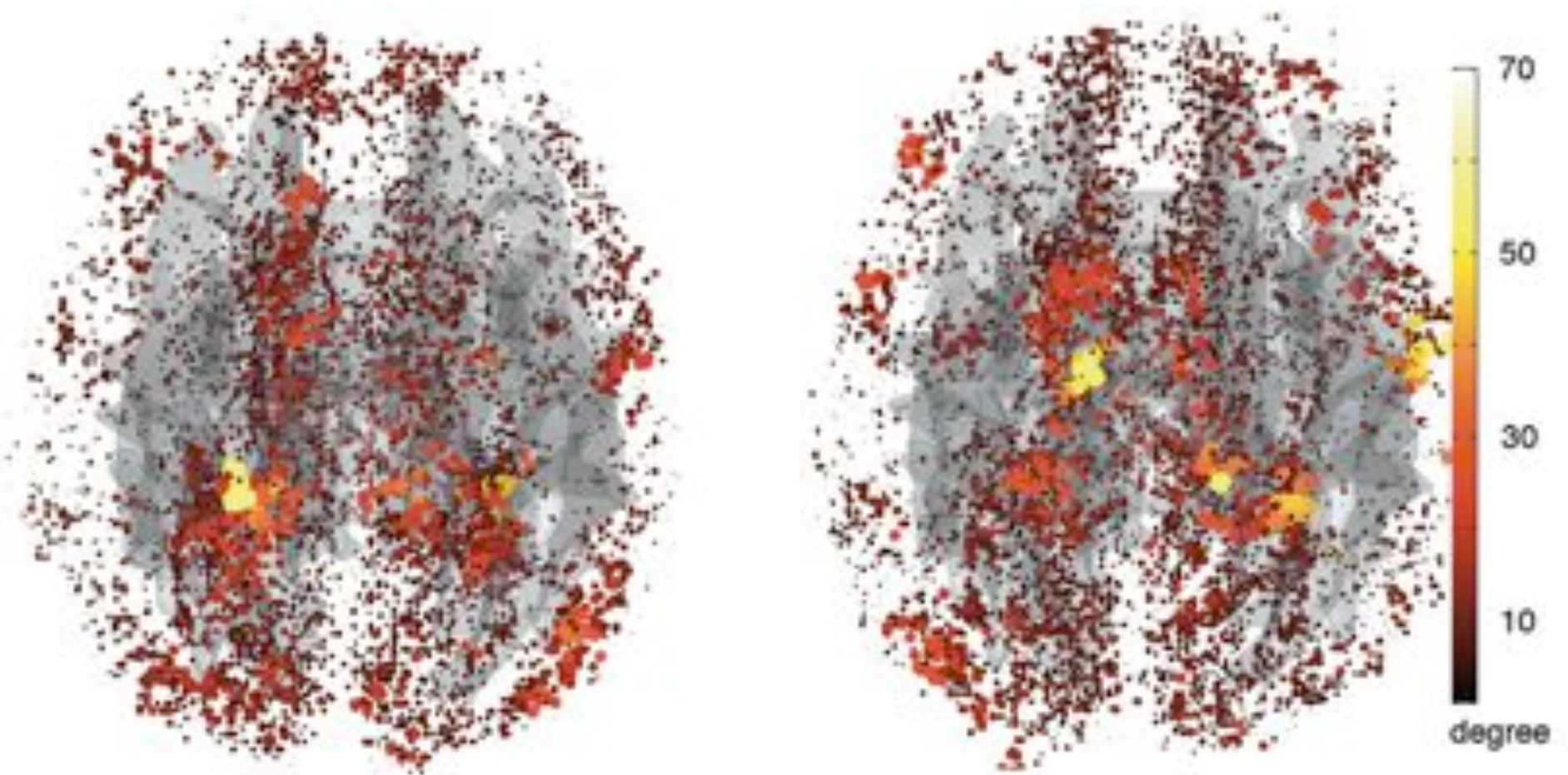


Degree of nodes: measure of local network complexity





# Local degree distribution for all subjects

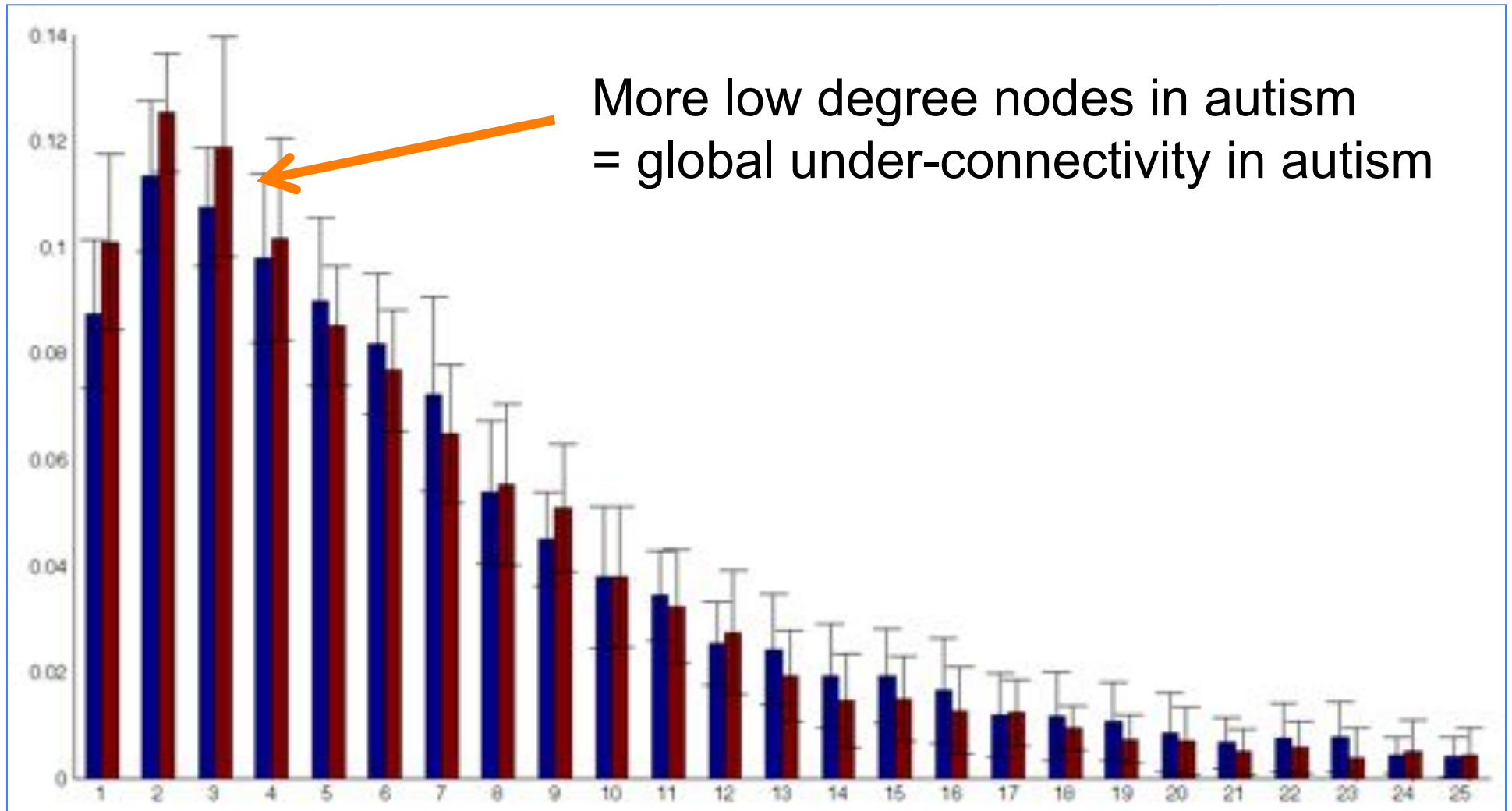


Control

Autism

# Global degree distribution

red: autism  
blue: control



pvalues = 0.024, 0.015 and 0.080 for degrees 1, 2 and 3.

# Lecture 2

Least squares estimation

General linear model

Multivariate general linear model

Read two papers put in the [literature](#) directory:

chung.2004.ni.autism.pdf ← GLM

chung.2010.NI.pdf ← MGLM