Neuroimage Processing

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Lecture 08-09. Surface-based Morphometry (SBM) and spherical harmonic representation

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Surface-based Morphometry (SBM)

- Surface-specific morphometric technique.
- More sensitive to surface-specific changes.
- Example: substantial cortical changes were found in AD and other dementias and it is likely that different clinical populations will exhibit different cortical shape variability.

Motivating Example



Li Shen

Motivation for SBM

Compared to other 3D volumetric techniques, SBM can quantify cortical variations better.





Age 14

Comparison of cortical surface

Volumetric approach will not be able to detect surface specific change

Age 19







MNI cortical image processing pipeline



Each component was developed by a PhD student

Image intensity Nonuniformity correction N3 algorithm

Original data



Corrected



Source: Jason Lerch, MNI

Gaussian mixture modeling



SPM approach



Skull stripping





2 classes

3 classes

MNI Neural network classifier







Deformable surface algorithm McDonalds et al. (2001) NeuroImage

Multiscale triangle subdivision at each iteration increases the complexity of cortical folding.

Final surface extraction result





Inner surface

Outer surface



A massive 3D graph with 1 million nodes



Polygonal mesh data structure Basis of most surface rendering tools for 3D computer games: as 3D Max Studio, Maya





Data structure for polygonal mesh

Coordinates for subject 1								
Vertex	1	2	3	4	5	6		40962
X	57.1876	41.0450	-53.1115	-38.1080	1.8440	-0.2458		
у	21.6388	-56.3448	29.8912	-65.5394	22.9715	9.4176		
Z	2.9667	21.1399	-5.5088	23.6724	21.5146	16.9014		
Thickne	ess 5.0	4.9	3.0	2.1	3.4	4.5		
Coordinates for subject 2								
Vertex	1	2	3	4	5	6		40962
x	53.4240	41.0552	-61.4073	-43.2099	1.6256	-3.9101		
у	22.5535	-56.7731	20.9221	-65.9948	22.7979	29.7043		
Z	7.1866	22.4754	-0.1368	21.3962	20.2838	-10.8959		
Thickne	ess 5.5	3.4	2.7	5.1	3.7	4.5		

Corresponding vertices have approximate anatomical homology.

Two available cortical thickness analysis software

FreeSurfer: Bruce Fischl http://surfer.nmr.mgh.havard.edu

> BrainVisa: J.F. Mangin http://brainvisa.info

Other surface measures

- cortical thickness, curvatures, surface area, tissue density.
- fractal dimension = measure of complexity of anatomical shape.
- Gyrification index

Cortical thickness change t map between age 12 and 16.



Curvature estimation via Surface Parameterization

Global: tensor splines, SPHARM Local: quadratic surface fitting

$$X(u^{1}, u^{2}) = \begin{pmatrix} x_{1}(u^{1}, u^{2}) \\ x_{2}(u^{1}, u^{2}) \\ x_{3}(u^{1}, u^{2}) \end{pmatrix}$$



Find the best fitting tangent plane via PCA

Read chapter 6.3 of M.K.Chung.Book. 2009.pdf

 $s(u^{1}, u^{2}) = \beta_{1}u_{1} + \beta_{2}u_{2} + \beta_{3}u_{1}^{2} + 2\beta_{4}u_{1}u_{2} + \beta_{5}u_{2}^{2} + \cdots$

Read chapter 6.3 of M.K.Chung.Book.2009.pdf for detail



If $\mathbf{p}_1, \ldots, \mathbf{p}_m$ are *m* neighboring points of $\mathbf{p} = \mathbf{p}_0$ in the counter-clockwise direction with respect to the tangent plane $T_{\mathbf{p}}(\partial \Omega)$ at \mathbf{p} (Figure 6.1), then the unit normal vector \mathbf{n} is estimated as

$$\mathbf{n} = \frac{\sum_{i=1}^{m} \varphi_i \mathbf{n}_i}{\sum_{i=1}^{m} \varphi_i},$$

where the unit vectors \mathbf{n}_i are normal to each triangle T_i .

$$\mathbf{n}_i = rac{(\mathbf{p}_{i+1} - \mathbf{p}) imes (\mathbf{p}_i - \mathbf{p})}{\|(\mathbf{p}_{i+1} - \mathbf{p}) imes (\mathbf{p}_i - \mathbf{p})\|}$$

and the interior angles are

$$\varphi_i = \cos^{-1} \frac{\langle \mathbf{p}_{i+1} - \mathbf{p}, \mathbf{p}_i - \mathbf{p} \rangle}{\|\mathbf{p}_{i+1} - \mathbf{p}\| \|\mathbf{p}_i - \mathbf{p}\|}.$$

65

Alternatively, we may employ a method similar to principal components analysis (PCA). The equation of the plane with the unit normal vector **n** passing through the point p is $\langle \mathbf{n}, x \rangle = \langle \mathbf{n}, \mathbf{p} \rangle$. The distance from the point \mathbf{p}_i to the plane is the length of the projection of $\mathbf{p}_i - \mathbf{p}$ onto the unit normal vector **n**, i.e. $\langle \mathbf{n}, \mathbf{p}_i - \mathbf{p} \rangle$. Then we find the best fitting tangent plane in the sense of minimizing the sum of squared distance of the points $\mathbf{p}_1, \ldots, \mathbf{p}_m$ to the plane:

$$\min_{\mathbf{n}} \sum_{i=1}^{m} \langle \mathbf{n}, \mathbf{p}_i - \mathbf{p} \rangle^2 = \min_{\mathbf{n}} \mathbf{n}^t \mathbf{C} \mathbf{n},$$

where $\mathbf{C} = \sum_{i=1}^{m} (\mathbf{p}_i - \mathbf{p})(\mathbf{p}_i - \mathbf{p})^t$. If the fitting plane is not forced to pass through the point p, \mathbf{C} becomes the sample covariance matrix of $\mathbf{p}_1, \ldots, \mathbf{p}_m$ and the optimization problem is exactly the standard PCA. Since $\mathbf{n}^t \mathbf{n} = 1$, using the Lagrange multiplier γ minimize $\mathbf{n}'\mathbf{Cn} - \gamma(\mathbf{n}'\mathbf{n} - 1)$. Differentiating with respect to \mathbf{n} , $\mathbf{Cn} - \gamma \mathbf{n} = 0$. Thus, γ is an eigenvalue of \mathbf{C} . Note that we are minimizing $\mathbf{n}'\mathbf{Cn} = \mathbf{n}'\gamma\mathbf{n} = \gamma$. So the unit normal vector \mathbf{n} of the best fitting tangent plane should be the eigenvector \mathbf{n} that corresponds to the smallest eigenvalue.

MATLAB Demonstration

Polynomial surface fitting (polynomial regression) on irregular triangular mesh

$$Y = \mathbb{X}\beta$$



$$\begin{pmatrix} u_1^3 \\ u_2^3 \\ \vdots \\ u_m^3 \end{pmatrix} = \begin{pmatrix} u_1^1 & u_1^2 & (u_1^1)^2 & u_1^1 u_1^2 & (u_1^2)^2 \\ u_1^1 & u_2^2 & (u_2^1)^2 & u_2^1 u_2^2 & (u_2^2)^2 \\ \vdots \\ u_m^1 & u_m^2 & (u_m^1)^2 & u_m^1 u_m^2 & (u_m^2)^2 \end{pmatrix} \begin{pmatrix} \beta_1 \\ \beta_2 \\ \vdots \\ \beta_m \end{pmatrix}$$

Riemannian Metric Tensors

The first fundamental form

The second fundamental form

$$g_{ij} = \langle \frac{\partial X}{\partial u^i}, \frac{\partial X}{\partial u^j} \rangle$$

$$l_{ij} = \langle \mathbf{n}, \frac{\partial^2 X}{\partial u^i \partial u^j} \rangle$$

 $K_M = \operatorname{tr}(g^{-1}l)/2$

Gaussian curvature

 $K_G = \det(g^{-1}l) = \det(l)/\det(g)$

Laplace-Beltrami operator

$$\Delta_X F = \frac{1}{|g|^{1/2}} \sum_{i,j=1}^2 \frac{\partial}{\partial u^i} \left(|g|^{1/2} g^{ij} \frac{\partial F}{\partial u^j} \right)$$



Mean Curvature



Gaussian Curvature





-0.015

0.015

Bending Energy for 14 year old subject



Bending energy or thin-plate spline energy can be used to measure the curvature of the surface. Between ages 12 and 16, it increases both locally and globally.

CVPR 2003

Curvature change t map between age 12 and 16





Surface area expansion/shrinking

Local surface area element:

$$\sqrt{|g|} = \sqrt{1 + \beta_1^2 + \beta_2^2}$$

Spherical harmonic representation was used to analytically compute and smooth surface area element



Local area expansion with respect to a template (it ranges between 0 and 1.3)



Surface area change t map



dilatation rate between age 12 and 16 min = - 57 % mean = - 0.02 % max = 65 %

Surface Registration

In order to compare cortical measures across subjects, it is necessary to find a mapping between homologous anatomical regions.



Sulcal pattern alignment= finger print of brain

Compute cortical curvature and map curvature to unit sphere

3D problem



2D problem



Unit sphere gives a natural coordinate system (spherical coordinates).

Sulcal pattern matching



Misalignment

Sulcal pattern matching by minimizing objective function = curvature difference - smoothness of deformation

See Paul Thompson's earlier IEEE TMI paper

Average Template construction

Averaged corresponding coordinates of individual surface



Top: Old technique Chung et al. NeuroImage (2003) Bottom: New technique Chung et al. NeuroImage (2005)

Demonstrating the alignment of sulci on average template



Principle curvature maps projected on the average template

Validation of surface registration based on 149 subjects



Central and temporal sulci

Traces of sulci provided by Cathia et al. IEEE TMI (2003)
Right central sulcus matching probability



3D volume registration 2D surface registration

Alternate approach: spherical harmonic (SPHARM) correspondence

• Surface registration is given implicitly by matching the coefficients of basis functions of deformation.

•Since the deformation is given in terms of smooth basis function, we do not need to worry about increasing the smoothness of deformation.

• This is shown to be optimal in the least squares fashion.

Spherical Harmonic (SPHARM) Representation

- Spherical harmonics are basis functions on a unit sphere.
- SPHARM can be used to construct the Fourier series representation of a functional measurement
- Recent development- Wavelet approach, Weighted-SPAHRM
- SPAHRM has been used in parameterizing anatomical boundary

Spherical harmonics

 Y_{lm} is called the *spherical harmonic* of degree l and order m.

$$Y_{lm} = \begin{cases} c_{lm} P_l^{|m|}(\cos \theta) \sin(|m|\varphi), \\ \frac{c_{lm}}{\sqrt{2}} P_l^0(\cos \theta), \\ c_{lm} P_l^{|m|}(\cos \theta) \cos(|m|\varphi), \end{cases} \begin{array}{l} -l \leq m \leq -1, \\ m = 0, \\ 1 \leq m \leq l, \end{cases}$$
where $c_{lm} = \sqrt{\frac{2l+1}{2\pi} \frac{(l-|m|)!}{(l+|m|)!}}$ and P_l^m is the associated Legendre polynomials of order m .

Spherical harmonic basis of degree 5, 30 and 45



Lower degree $\leftarrow \rightarrow$ Coarse anatomical detail Higher degree $\leftarrow \rightarrow$ Fine anatomical detail

SPHRM representation

•Given functional measurement *f(p)* on a unit sphere, it is modeled as

$$f(p) = \sum_{l=0}^{k} \sum_{m=-l}^{l} f_{lm} Y_{lm}(p) + e(p)$$

e: noise (image processing, numerical, biological) f_{lm} : unknown Fourier coefficients

•The parameters are estimated in the least squares fashion.

78th degree SPHARM representation



The coefficients are treated as a multivariate measure and feed into classification techniques.

SPHARM representation up to degree 35



•Based on direct numerical integration.

•More than 24 hours of computation.

•We will show you 10min super fast algorithm

Weighted-SPHARM representation

Initial data: i-th Cartesian coordinate

$$\frac{\partial g}{\partial \sigma} = \Delta g, \ g(p, \sigma = 0) = f(p)$$

Parameter σ controls the amount of smoothing.

The solution is written as the weighted linear combination of spherical harmonics.

Weighted SPHARM is directly related to the following smoothing techniques

- 1. Diffusion smoothing
- Partial Differential Equation (PDE) based approach with finite element method (FEM).
- 2. Heat kernel smoothing
- Spatially adaptive iterative application of Nadaya-Waton type kernel smoother.

One main advantage of weighted-SPHARM over Fourier series approach: **Reduction of Gibbs effect (ringing artifacts)**



Weighted-SPHARM representation

Surface coordinates

$$v(\theta, \varphi) = (v_1(\theta, \varphi), v_2(\theta, \varphi), v_2(\theta, \varphi))$$

Weighted-SPHARM

$$v_i(\theta,\varphi) = \sum_{l=0}^k \sum_{m=-l}^l e^{-l(l+1)\sigma} f_{lm}^i Y_{lm}(\theta,\varphi) + \text{noise}$$

This generalizes the traditional SPHARM representation.

Statistical model on weighted-SPHARM

$$v_{i}(\theta,\varphi) = \sum_{l=0}^{k} \sum_{m=-l}^{l} e^{-l(l+1)\sigma} f_{lm}^{i} Y_{lm}(\theta,\varphi)$$
$$f_{lm}^{i} \sim N(\mu_{lm}^{i},\sigma_{l}^{2})$$
Equivalent to Random Field Theory

$$v_i(\theta,\varphi) = \sum_{l=0}^k \sum_{m=-l}^l e^{-l(l+1)\sigma} \mu_{lm}^i Y_{lm}(\theta,\varphi) + \epsilon(\theta,\varphi)$$

Coordinates on cortex

Need for a spherical mapping with the least metric distortion:

Quasi area preserving algorithm



 T_1, T_2, \cdots, T_n :*n* triangles in the cortex $f(T_1), f(T_2), \cdots, f(T_n)$:deformed triangles $||T_i||$ area of a triangle.

Flatten the cortex in such a way that

$$\frac{\|f(T_1)\|}{\|T_1\|} = \frac{\|f(T_2)\|}{\|T_2\|} = \dots = \frac{\|f(T_n)\|}{\|T_n\|} = \lambda$$

for some constant . There are *n* equations but approximately 3/2*n* deformation parameters to estimate so there will be infinite number of areapreserving flat maps. Among all solutions, we find one solution that minimize the sum of squared errors:

Quasi area preserving algorithm

$$L(f) = \sum_{i=1}^{n} \left(||T_i|| - \lambda ||f(T_i)|| \right)^2$$

 Gradient descent method is used to find the minimum. In each iteration step, the position of every vertices are slightly moved to locally minimize L until it is not possible to minimize any further.

One possible research project. A student was working on this problem but did not finish it.

Mapping from cortex to unit sphere Each x, y, z Cartesian coordinates are represented independently.





How do we estimate SPHARM coefficients numerically?

Weighted-SPHARM

$$v_i(\theta, \varphi) = \sum_{l=0}^k \sum_{m=-l}^l e^{-l(l+1)\sigma} f_{lm}^i Y_{lm}(\theta, \varphi)$$

15,000 Fourier coefficients
Iterative residual fitting (IRF) algorithm.
See Chung et al. TMI (2007) for detail.

It will not be discussed here.

Previous approach in estimating Fourier coefficients

- For each point p_i , we have measurement $f(p_i)$.
- Corresponding Fourier series:

$$f(p_i) = \beta_0 \phi_0(p_i) + \beta_0 \phi_0(p_i) + \dots + \beta_k \phi_k(p_i)$$

• Matrix form:

$$F = \Phi \beta$$
$$\beta = (\Phi' \Phi)^{-1} \Phi' F$$

• This is a nontrivial linear problem

Estimating 15,000 Fourier coefficients Direct numerical integration takes forever. Fast Fourier transform (FFT) is not fast either.

Iterative residual fitting (IRF) algorithm

- 1. Estimate the Fourier coefficients iteratively from lower degree to higher degree.
- 2. Break one huge linear problem (3GB) into many smaller linear problems (500MB).
- 3. At each iteration, residual is used to estimate the coefficients of next degree.

Iterative residual fitting (IRF) algorithm

MATLAB implementation can be downloaded from http://www.stat.wisc.edu/~mchung/softwares/weighted-SPHARM/weighted-SPHARM.html

Sample cortical surface data is also provided.

Weighted-SPHARM at the 80th degree for different bandwidth



Root mean squared error (RMSE) = error between original surface and weighted-SPHARM

Determining the optimal degree via stepwise forward model selection framework

Consider the following (k-1)-th degree model

$$f(p_i) = \sum_{l=0}^{k-1} \sum_{m=-l}^{l} e^{-\lambda(l+1)\sigma} f_{lm} Y_{lm}(p_i) + \epsilon(p_i), \ i = 1, \cdots, n$$

where ϵ are Gaussian random variables. Testing if the k-th degree model is better than the previous (k-1)-th degree model can be done by testing

$$H_0: f_{km} = 0$$
 for all $-k \le m \le k$.

Then under the null hypothesis, the test statistic is

$$F = \frac{(\mathrm{SSE}_{k-1} - \mathrm{SSE}_k)/(2k+1)}{\mathrm{SSE}_{k-1}/(n-(k+1)^2)} \sim F_{2k+1,n-(k+1)^2}$$

For each bandwidth σ , optimal degree is automatically selected via **forward best model selection procedure**.



Optimal degree= first P-value >0.05

Weighted-SPHARM at different bandwidth



- •The degree is selected automatically.
- •The only free parameter in the model is the bandwidth.



Outer Surface

Inner Surface

80 degree SPHARM



Surface registration via SPHARM-correspondence

•The correspondence minimizes the sum of squared errors.

•Simply match the SPHARM coefficients. Most classification techniques are based on this idea.

Establishing Hemispheric correspondence



Consistent definition using weighted-SPHARM



Cortical thickness $\sum_{l=0}^{k}\sum_{m=-l}^{l}e^{-\lambda(\lambda+1)\sigma}[\sum_{i=1}^{3}(g_{lm}^{i}-f_{lm}^{i})^{2}]^{1/2}$

MIAR (2006), TMI (2007)

SPHARM estimation of cortical thickness



Thickness estimation based on traditional method Too much smoothing

Weighted-SPHARM of cortical thickness



Weighted-SPHARM at different scale



Cortical Asymmetry Index



Cortical Asymmetry Result



Application: correlating anatomy with nonimaging measures

Facial emotion discrimination task response time



24 emotional faces, 16 neutral faces

Dalton et al. (2005)

Let $Y = (Y_1, Y_2)$ be two variables of interests and $X = (X_1, \dots, X_p)$ be a row vector of multivariate that should be removed. For instance, we may let Y_1 to be the cortical thickness and Y_2 be the face recognition tasks while X_1 and X_2 are age and total surface area. The covariance matrix of (Y, X)' is given by

$$\mathbb{V}(Y,X)' = \begin{pmatrix} \Sigma_{YY} & \Sigma_{YX} \\ \Sigma_{XY} & \Sigma_{XX} \end{pmatrix}$$
(1)

Note Σ_{YY} , Σ_{YX} and Σ_{XX} are the cross covariance matrices. Then the partial covariance of Y given X is

$$\Sigma_{YY} - \Sigma_{YX} \Sigma_{XX}^{-1} \Sigma_{XY} = (\sigma_{ij}).$$

The partial correlation $\rho_{Y_i,Y_j|X}$ is then defined as the correlation between variables Y_i and Y_j while controlling for other variables X and given by

$$\rho_{Y_i,Y_j|X} = \frac{\sigma_{ij}}{\sqrt{\sigma_{ii}\sigma_{jj}}}.$$

The reason we are using the *conditional* notation | in defining the partial correlation is due to the fact that the partial correlation is equivalent to *conditional correlation* if $\mathbb{E}(Y|X) = a + BX$ for some vector a and matrix B, which is true under normal assumption. This is the formulation we used to compute the par-
See

http://www.stat.wisc.edu/~mchung/ papers/ partial.correlation.TR1109.2005.pdf

detailed explanation on partial correlation

Correlation between response time and cortical thickness

(the effect of age and brain size difference has been removed)



The final SPM showing statistically significant correlation difference between the groups.



Scatter plot of response time vs. thickness



Thin Plate Spline (TPS) segmentation and modeling

TPS represents anatomical boundary as the zero level set of smooth function consists of polynomial and radial basis functions (Wahba, 1990; Xie et al., 2005a).



Thin Plate Spline

Measurement *f* is represented as

$$f(p) = \sum_{i} \alpha_{i} \phi_{i}(p) + \sum_{j} \beta_{j} \varphi(p - p_{j})$$

where ϕ_i is polynomial basis and φ is the TPS radial basis

Parameters are estimated by minimizing

$$\min_{f} \sum_{i=1} |y_i - f(p_i)|^2 + \lambda J_3^2(f),$$